

2010 1

“ ” 2005 10 11

[2005] 168

2006 4 30

()

() 2010 4 30

2010 1 1 2010 3 31 ()

.....	1
.....	2
.....	5
.....	16
.....	21
.....	28
.....	29
.....	30
.....	42
.....	51
.....	54
.....	56
.....	58
.....	64
.....	66
.....	68
.....	69
.....	74
.....	77
.....	80
.....	97
.....	107
.....	109
.....	111
.....	112

“ ” “ ”

			213
			NBA Loftus Capital Partners Limited, Australia KVB Kunlun Pty Ltd, Australia

2

			792

3

			ENBA

4

		2008 6 2	

			2007 9 2008 6
		2006 7 6 2008 6 12	
		2006 4 30 2006 9 22	

5

	98 2010 4 30		
		7	7%
		46	47%
		37	38%
		6	6%

		2	2%
		98	100%

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

30

24

25

26

27

1

2

4

1

1

2

3

4

2

1

2

3

4

5

6

7

8

9

10

11

3

1

2

3

4

5

4

5

1

2

3

4

5

6

7

8

6

1

2

3

4

5

6

7

7

1

2

500

12

1992 10 19

88 3005

[2003] 105

021 61618888

CFII

2009 12 31	16,227.18	
12,953.42	9,288.55	132.17

1.621

,

32

1955

1951

1965

()

2002 10

2003 9 10

2009 12 31

1

2

3

4

5

6

7

8

4

5

6

2

1

2

3

4

5

6

7

3

1

2

3

4

T

T

T 1

3

1	
68 9	
68 9	
021-61009916	021-61009917
400-700-5566	www.cxfund.com.cn
2	
1	
69	
100	
010-68424199	010 68297268
95599	www.abchina.com
2	
25	
1 1 ()	
95533	www.ccb.com
3	
18	
188	
021-58781234	021-58408483
95559	www.bankcomm.com
4	
7088	
7088	
0755 83198888	0755 83195049
95555	www.cmbchina.com
5	
131	
131	
	010-66415194



95580		wwwpsbc.com
6		
500		
12		
021-61618888		021-63604199
95528		www.spdb.com.cn
7		
154		
154		
0591-87839338		0591-87841932
95561		www.cib.com.cn
8		
2		
2		
010-58351666		010-83914283
95568		www.cnbc.com.cn
9		
8		C
8		C
010-65557018		
95558		bank.eciti.com
10		
8		
8		
027-65799999		027-85481900
4008-888-999 027-85808318		www.95579.com
11		
618		
168		29
021-38676161		021-62583439
4008888666		www.gtja.com
12		

35		C	
35		C	
010-66568888		010-66568116	
4008-888-888		www.chinastock.com.cn	
13			
63		12	
188			
021-68634518		021-68865938	
400-888-1551		www.xcsc.com	
14			
98			
689			
021-23219000		021-23219100	
400-8888-001 95553		www.htsec.com	
15			
66		4	
:		188	
010-65186758		010-65182261	
400-8888-108		www.csc108.com	
16			
99			
1199		• 1 21	
021-38565785		www.xyzq.com.cn	
400-8888-123			
17			
128			
128			
0531-81283728 0531-81283731		0531-81283735	
95538		www.djq.com.cn	
18			
233			
43		B	



010-62267799		010-62296854
0991-96562 010-62294600		www.ehongyuan.com
19		
	1508	
	1508 3	
021-22769081 021-22169089		021 22169134
4008-888-788 10108998		www.ebscn.com
20		
	6	
	118	
021-65526481		021-65526481
400-8811-177		www.xzsec.com
21		
	38-45	
	38-45	
0755-82969223		0755-82943121
95565 400-8888-111		www.newone.com.cn
22		
	510 9	
	500 26	
021-68761616		021-68767981
400-8888-128		www.tebon.com.cn
23		
	166 27	
	166 27	
021-50122222		021-50122222
4008209898		4008209898
24		
	336	
	1468 2	
021-53519888		021-53519888
962518		962518

25			
81			
5 A 6-9			
010-59355974		010-66553791	
40088-95618		www.e5618.com	
26			
56			
56			
0451-82336863		0451-82336863	
400-666-2288		400-666-2288	
27			
291			
291			
0791-6768763		0791-6768763	
400-8866-567		400-8866-567	
28			
4018 35 28 A02			
4018 35 28 A02			
0755-82558305		0755-82558305	
4008001001		4008001001	
29			
24			
1 15-16			
0411-39673301		0411-39673219	
4008-169-169		www.daton.com.cn	
30			
318 2 22-29			
318 2 21-29			
021-63325888		021-63327888	
95503		www.dfzq.com.cn	
31			
181			



181		18-21	
0512-65581136		0512-65588021	
0512-33396288		www.dwzq.com.cn	
32			
157		7 8	
157		7 8 10	
0591-87841160		0591-87841150	
0591-96326		www.gfhfzq.com.cn	
33			
		3 9 10	
		3 9 10	
010-84183389		010-84183311-3389	
400-818-8118		www.guodu.com	
34			
95			
95			
028-86690126		028-86690126	
4006600109		www.gjzq.com.cn	
35			
308			
308			
0931-4890100		0931-4890118	
0931-8730264		www.hlzqgs.com	



021-962505	www.txsec.com
3	
http://www.sse.com.cn/sseportal/ps/zhs/hyzq/zxzg_szj_t.jsp	

	27 23	256 1405	1 2 8
	27 23	256 1405	1266 50
	010-58598839	021-51150298	021-22122888
	010-58598907	021-51150398	021-62881889

			2005	10	11	
[2005] 168						
	2006	3	27	2006	4	25
		1.00				613,254,516.24
		6.606				

4 30

5000

5000

60

200

200

20

2006

60

1

2

“ ” “ ” “ ”

3

4

5

www.cxfund.com.cn

1

2

3

3

3

3

4

3

1 " "

2 " "

3 " "

4

5

3

1

2

T

T+1

T 2

3

T 7

1

1,000

10

1,000

1,000

1,000

2

1.00

1.00

3

3

4

2

2

6

4

5

1

1

2

M		
M 100		1.5%
100 M 500		1.0%
M 500		1000

3

N		
N 1		1.5%
1 N 3		1.2%
3 N 5		0.8%
N 5		0

2

0.5%

N 2		0.5%
2 N 3		0.25%
N 3		0

3

3

25%

1

1

/ 1

/

1

10,000

2,5600

1.5%

10,000	1.5%	9,852.22	147.78	2,5600	3,848.52

2

= /T

2

10,000

1.1

10,000	1.100	9,090.91

3

2

1

T+1

T+2

T+1

3

1

2

3

4

5

1

4

1

2

3

4

3

20

1

10%

2

1

2

10%

3

3

2

20

3

1

[2009] 32

2010 4 23

“ ”

“ ”

()

()

1

2

“ ”

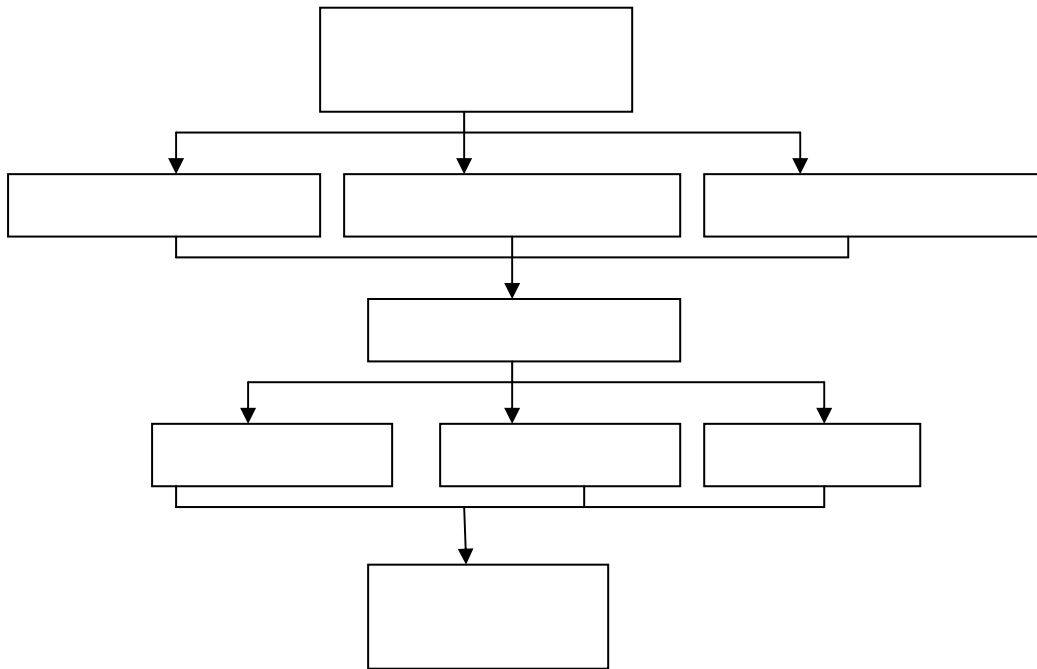
1

60-95%

0-35%

5 15

2

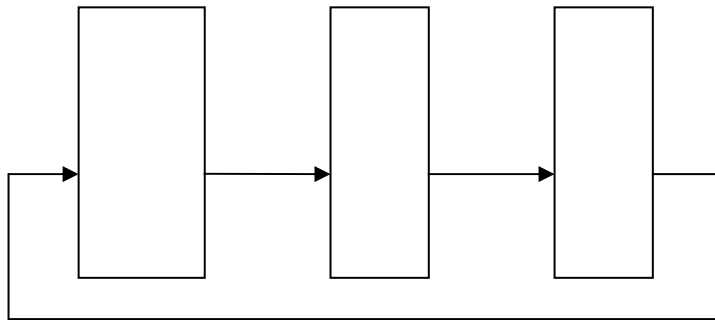


3

4

1

1



2

3

a

3

SGCAM

HSGCAM

2-5

4

PSG

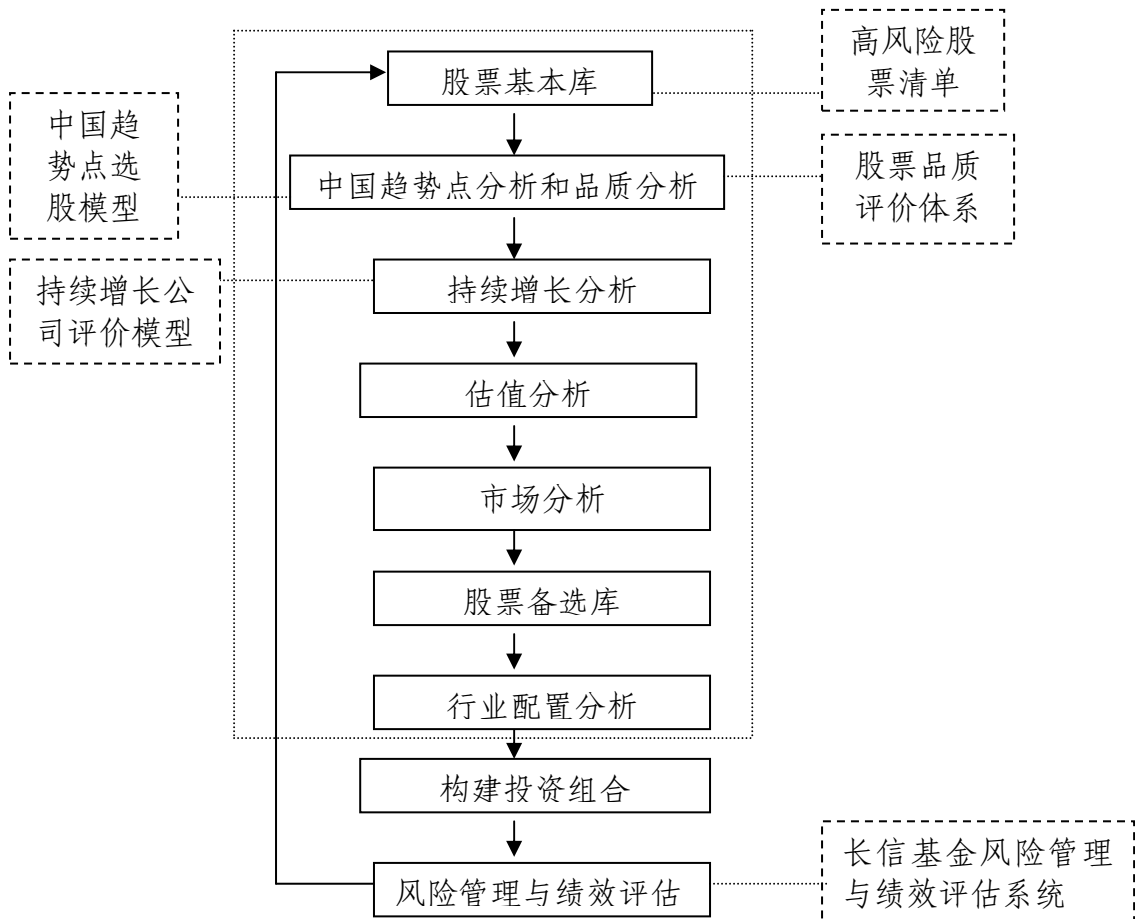
P/C

P/B

PEG

5

5



6

1

a.

b.

c.

d.

2

1

2

3

4

1

2

3

4

5

6

7

1

10%

2

10%

3

4

5

10

6

1

2

3

4

5

6

7

8

6

6

A × 70% × 30%

1

2

3

4

2010 4 18

2010 3 31 2010

C3		304,631,364.32	3.55
C4		312,670,536.73	3.64
C5		35,646,449.26	0.41
C6		491,390,685.40	5.72
C7		681,589,980.81	7.93
C8		368,453,050.26	4.29
C99			
D			
E			
F			
G		549,098,566.55	6.39
H		398,064,465.79	4.63
I		3,568,544,657.10	41.54
J			
K		269,296,360.41	3.13
L		194,154,733.85	2.26
M		142,497,292.50	1.66
		7,955,443,532.67	92.60

2

				()	
1	600036		34,250,372	557,596,056.16	6.49
2	600570		20,452,101	476,533,953.30	5.55
3	600016		55,007,495	423,007,636.55	4.92
4	600030		14,299,775	406,399,605.50	4.73
5	601318		7,149,661	360,342,914.40	4.19
6	601328		41,999,735	347,757,805.80	4.05
7	600804		25,072,616	342,491,934.56	3.99
8	601166		8,499,888	313,815,864.96	3.65
9	000792		5,999,887	305,334,249.43	3.55
10	600963		29,983,402	304,631,364.32	3.55

1

2

1

2

3

1		1,436,332.95
2		6,909,364.38
3		
4		232,977.14
5		136,017.74
6		
7		
8		
9		8,714,692.21

4

5

2010

2006 4 30	39.18%	1.45%	63.79%	1.15%	-24.61%	0.30%	
2006 12 31							
2007 1 1	151.23%	2.12%	80.32%	1.94%	70.91%	0.18%	
2007 12 31							
2008 1 1	-58.68%	2.47%	-58.63%	2.37%	-0.05%	0.10%	
2008 12 31							
2009 1 1	65.99%	1.65%	60.98%	1.56%	5.01%	0.09%	
2009 12 31							
2010 1 1	-5.00%	1.20%	-4.15%	1.02%	-0.85%	0.18%	
2010 3 31							

2006 4 30 2010 3 31

2

6

0-35%

60-95%

5 15

1
2
3
4
5
6
7
8
9

1
2
3

”
”

”
”

1

2

3

4

5

6

1

1

2

4

$$FV=C+(P-C) \times (D - D_r) \quad D$$

FV

C

D

3

4

5

6

7

1 6

1 6

8

3

1

2

3

4

1 3

1 3

5

4

4

5

Q 25%

Q 5%

1

” ” ”

”

2

1

2

3

“ ”

4

5

6

7

3

1

2

3

4

5

Q 25%

Q 5%

1

2

3

1

1 3

2 7

3 4

2

1

1

2

3

4

5

6

7

2

1

1.5%

H Ex 1.5%

H

E

2

2

2.5%

H Ex 2.5%

H

E

2

3 " 1

" 3 7

3

4

3

1

"

"

2

"

"

3

"

"

4

"

"

1

2

1 1 12 31

3

3

4

5

6

7

1

2

3

2

“ ”
“ ”

- 1
- 2
- 3
- 4
- 5
- 6

3

1

6

45

15

2

3

90

60

15

2

2

2

1

2

3

4

5

6

7

8

9

10

30

11

50

12

13

14

15

16

17

Q5

18

19

20

21

22

23

24

25

26

30

1

1

2

3

4

5

2

3

4

5

6

7

IT

8

9

10

11

1

1

2

3

4

5

6

7

8

9

2

3

4

1

1

2

6

3

4

2

1

1

30

2

3

2

1

2

3

4

5

6

7

3

4

5

5

6

15

1

1

2

3

4

5

6

7

8

9

2

1

2

3

4

5

6

7

1

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

2

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

30

24

25

26

27

1

1

2

3

4

5

6

7

8

9

2

1

2

3

4

5

6

7

8

11

12

13

14

15

16

17

18

19

20

21

22

23

1

1

2

3

4

5

6

7

8

9

10

2

1

2

3

4

5

1

2

3

4

10%

10%

10%

10%

5

4

10%

10%

6

1

30

1

2

3

4

5

2

1

1

2

50% 50%

2

1

2

2

3

50% 50%

4

3

5

1

10%

10%

2

1

50%

50%

2

2

1

50%

50%

2

2

1

1

2

3

4

2

5

1

2

6

80%

3

4

5

6

3

7

8

9

5

1

2

3

4

5

6

7

1

1.5%

HEx 1.5%

H

E

2

2

2.5%

H Ex 2.5%

H

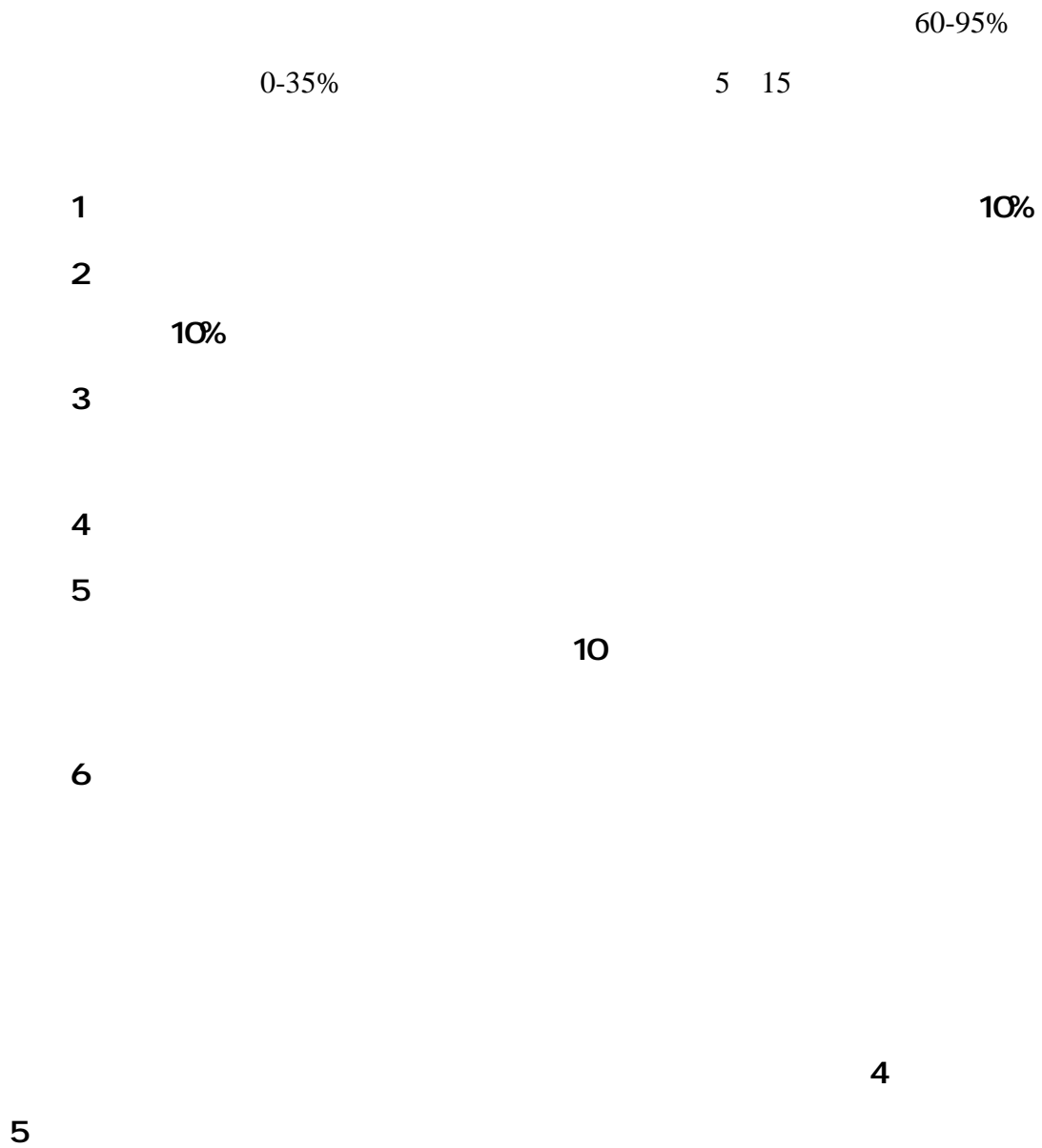
E

2

3

3.7

3



1

1

2

3

4

5

6

7

8

9

2

2

6

3

4

1

30

2

3

4

1

2

3

4

5

6

7

5

15

1600

16

200002

2003 4 28

[2003] 63

1

500

200120

1992 10 19

[2003] 105

39.15

1

2

3

1

2

3

1

2

3

4

1

2 2

2

1

2

3

1

,

2

3

1

2

,

,

1

2

1

2

1

2

1

2

3

1

2

1

2

4

3

1

2

3

4

5

6

7

1

6

1

6

8

4

1

2

3

4

1

3

1

3

5

5

6

7

1

2 3

3 7

4 4

2

1

2

3

1

2

Q 25%

3

Q5

4

5

6

1

2

3

4

“ ” “ ” “ ”

”

			T 2
			15
			15
			“ ” /
			T+2 “ ”
		1	
		2	
			www.cxfund.com.cn

			7x 24 5
			6
			400-700-5566 www.cxfund.com.cn
			4007005566 8 30-17 00
			021-61009800-1000/1001
			http://www.cxfund.com.cn
			service@cxfund.com.cn

1	2009-11-6	
2	2009-11-13	
3	2009-11-20	
4	2009-11-20	
5	2009-12-2	
6	2009-12-10	
7	2009-12-15	
8	2009-12-16	
9	2009-12-29	
10	2010-1-4	2009 12 31
11	2010-1-7	
12	2010-1-8	
13	2010-1-8	
14	2010-1-13	
15	2010-1-13	
16	2010-1-13	
17	2010-1-20	00
18	2010-2-11	
19	2010-3-6	
20	2010-3-6	
21	2010-3-10	

22	2010-3-27	2009
23	2010-4-2	
24	2010-4-7	
25	2010-4-13	
26	2010-4-13	
27	2010-4-17	
28	2010-4-21	
29	2010-4-22	2010
30	2010-4-24	
2010 4 30		

2010 6 12