

§ 1

2019 3 27

2018 1 1 2018 12 31

§ 1	2
1.1	2
1.2	3
§2	5
2.1	5
2.2	5
2.3	5
2.4	6
2.5	6
§ 3	7
3.1	7
3.2	8
3.3	10
§4	11
4.1	11
4.2	14
4.3	14
4.4	16
4.5	16
4.6	17
4.7	17
4.8	18
4.9	18
§5	19
5.1	19
5.2	19
5.3	19
§6	20
6.1	20
6.2	20
§7	22
7.1	22
7.2	23
7.3	24
7.4	25
§8	55
8.1	55
8.2	55
8.3	56
8.4	56
8.5	58
8.6	59
8.7	59

8.8	59
8.9	59
8.10	59
8.11	59
§ 9	61
9.1	61
9.2	61
9.3	61
§ 10	62
§ 11	63
11.1	63
11.2	63
11.3	63
11.4	63
11.5	63
11.6	63
11.7	63
11.8	65
§12	70
12.1	20%	70
12.2	70
§13	71
13.1	71
13.2	71
13.3	71

§

	519977	
	2012 3 30	
	765,880,389.72	
	A	C
	CX A	CX C
	519977	519976
	246,197,407.74	519,682,981.98

	*10%	70%+ 300

	021-61009999	0755-25878287
	zhouyg@cxfund.com.cn	LI SHUAI SHUAI 130@pingan.com.cn
	4007005566	95511-3
	021-61009800	0755-82080387

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	200120	518001

	www.cxfund.com.cn
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3.1.1	2018		2017		2016	
	A	C	A	C	A	C
	1, 538, 224. 28	-3, 463, 285. 95	-12, 217, 491. 25	-3, 297, 615. 62	-32, 791, 069. 38	-12, 370, 290. 93
	-10, 017, 076. 96	-57, 630, 179. 11	1, 347, 903. 86	-5, 756, 954. 07	-57, 670, 335. 36	-28, 968, 942. 69
	-0. 0430	-0. 1085	0. 0057	-0. 0358	-0. 1923	-0. 2254
	-3. 45%	-8. 97%	0. 46%	-2. 92%	-15. 54%	-18. 44%
	-3. 49%	-3. 90%	1. 25%	0. 83%	-11. 95%	-12. 33%
3.1.2	2018		2017		2016	
	-42, 613, 216. 05	-101, 751, 859. 89	-39, 255, 857. 86	-31, 239, 267. 99	-36, 513, 301. 28	-18, 871, 244. 63
	-0. 1731	-0. 1958	-0. 1837	-0. 2010	-0. 1322	-0. 1451

288, 645, 339. 11 595, 397, 799. 55 259, 609, 527. 27 185, 303, 569. 62 331, 418, 683. 93 153, 817, 134. 73

3.1.3	2018		2017		2016	
		126.89%	114.85%	135.10%	123.56%	132.19%

1

2

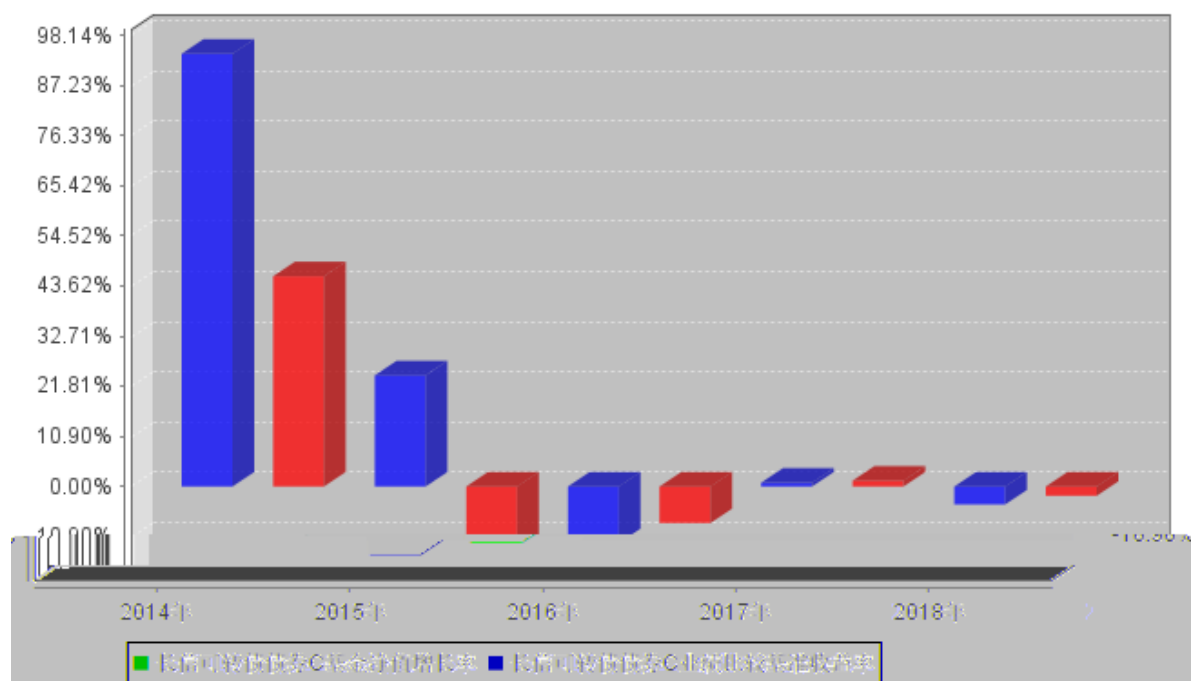
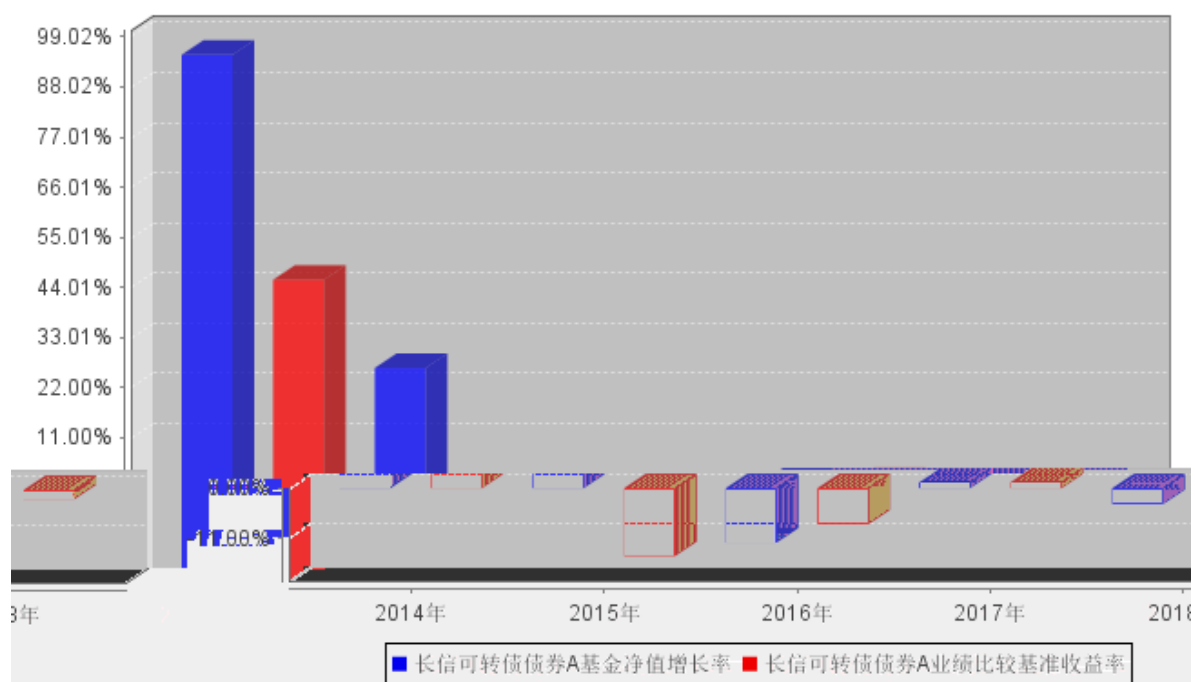
3

A

	-5.35%	0.69%	-1.81%	0.48%	-3.54%	0.21%
	-2.79%	0.72%	0.18%	0.46%	-2.97%	0.26%
	-3.49%	0.89%	-2.01%	0.55%	-1.48%	0.34%
	-13.96%	0.77%	-8.63%	0.51%	-5.33%	0.26%
	112.13%	1.39%	13.31%	1.21%	98.82%	0.18%
	126.89%	1.25%	16.39%	1.07%	110.50%	0.18%

C

	-5.44%	0.69%	-1.81%	0.48%	-3.63%	0.21%
	-2.99%	0.72%	0.18%	0.46%	-3.17%	0.26%
	-3.90%	0.89%	-2.01%	0.55%	-1.89%	0.34%
	-15.05%	0.77%	-8.63%	0.51%	-6.42%	



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2003 63
1.65
44.55%
31.21% 15.15% 4.55%
4.54%
2018 12 31 64

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		2012 3 30	-	20	CIM Investors Group Financial Services Co. Ltd 2002

					LOF
		2018 12 8	-	19	2018 7
		2017 7 28	-	3	

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2018

					2018		
	GDP	6.6%	2017	0.2%	GDP	2017	
2	7	2018	2.9%				

2019

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	2018 12 31 2018
	2018 12 31 2018
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2018 12 31

		2018 12 31	2017 12 31
	7.4.7.1	2, 132, 734. 35	13, 776, 634. 20
		2, 526, 611. 24	5, 914, 050. 09
		378, 783. 90	410, 141. 09
	7.4.7.2	1, 090, 181, 057. 70	580, 242, 534. 06
		159, 896, 468. 60	64, 400, 106. 16
		-	-
		930, 284, 589. 10	515, 842, 427. 90
		-	-
		-	-
	7.4.7.3	-	-
	7.4.7.4	-	-
		-	-
	7.4.7.5	5, 397, 512. 67	1, 838, 013. 59
		-	-
		910, 986. 86	490, 903. 91
		-	-
	7.4.7.6	-	-
		1, 101, 527, 686. 72	602, 672, 276. 94
		2018 12 31	2017 12 31
		-	-
		-	-
	7.4.7.3	-	-
		215, 000, 000. 00	155, 000, 000. 00

		-	-
		-	-
	7.4.7.8	420,789.76	492,001.47
		217,484,548.06	157,759,180.05
	7.4.7.9	765,880,389.72	369,143,799.80
	7.4.7.10	118,162,748.94	75,769,297.09
		884,043,138.66	444,913,096.89
		1,101,527,686.72	602,672,276.94

2018 12 31

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1.1457

765,880,389.72

A

246,197,407.74

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519,682,981.98

2018 1 1 2018 12 31

		2018 1 1 2018 12 31	2017 1 1 2017 12 31
		-48,742,683.99	7,789,640.68
1.		8,497,863.32	3,144,876.58
	7.4.7.11	1,067,722.80	558,810.31
		7,430,140.52	2,586,066.27
		-	-
		-	-
		-	-
2.	-	8,200,002.42	-6,696,756.49
	7.4.7.12	-3,170,007.05	-4,621,345.80
		-	-
	7.4.7.13	10,088,946.36	-2,543,559.51
	7.4.7.13.5	-	-
	7.4.7.14	-	-
	7.4.7.15	-	-
	7.4.7.16	1,281,063.11	468,148.82
3.	7.4.7.17	-65,722,194.40	11,106,056.66
-			
4.	-	-	-
5.	-	7.4.7.18 281,644.67	235,463.93

		18,904,572.08	12,198,690.89
1	7.4.10.2.1	6,501,839.64	3,412,406.43
2	7.4.10.2.2	1,857,668.42	974,973.23
3	7.4.10.2.3	2,232,984.16	686,893.01
4	7.4.7.19	2,096,278.39	2,414,128.57
5		5,929,356.09	4,362,849.65
		5,929,356.09	4,362,849.65
6		9,245.38	-
7	7.4.7.20	277,200.00	347,440.00
	-	-67,647,256.07	-4,409,050.21
		-	-
	-	-67,647,256.07	-4,409,050.21

2018 1 1 2018 12 31

	2018 1 1 2018 12 31		
	369,143,799.80	75,769,297.09	444,913,096.89
	-	-67,647,256.07	-67,647,256.07
-	396,736,589.92	110,040,707.92	506,777,297.84
1.	2,798,729,015.08	626,085,905.17	3,424,814,920.25
2.	-2,401,992,425.16	-516,045,197.25	-2,918,037,622.41
	-	-	-
-	765,880,389.72	118,162,748.94	884,043,138.66

	2017	1 1	2017 12 31
	406,324,150.10	78,911,668.56	485,235,818.66
	-	-4,409,050.21	-4,409,050.21
-	-37,180,350.30	1,266,678.74	-35,913,671.56
1.	725,134,709.43	195,193,514.98	920,328,224.41
2.	-762,315,059.73	-193,926,836.24	-956,241,895.97
-	-	-	-
	369,143,799.80	75,769,297.09	444,913,096.89

7.1 7.4

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[2012]6

2012

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373,884,064.36

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[2017]13) (AMAC) ()
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< 2015 1
> ([2014]24)
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7.4.6.1

2008 4 24
3‰ 1‰
2008 9 19

[2005]103

7.4.6.2

[2016]36
2016 5 1

[2016]46

[2016]70

[2016]140

[2017]56

2018 1 1

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7.4.6.3

2011

2011

7.4.6.4

[2004]78

2004 1 1

[2005]103

[2008]1

7.4.6.5

[2005]103

[2008]132

2008 10 9

			[2012]85		
			2013	1	1
			1		1
	1		1	1	50%
1	25%				20%

		[2015]101
		2015 9 8
		1

	2018 12 31	2017 12 31
	2,132,734.35	13,776,634.20
	-	-
1	-	-
1-3	-	-
3	-	-
	-	-
	2,132,734.35	13,776,634.20

		2018 12 31		
		205,840,605.37	159,896,468.60	-45,944,136.77
		-	-	-
		921,088,713.97	890,252,589.10	-30,836,124.87
		40,028,130.00	40,032,000.00	3,870.00
		961,116,843.97	930,284,589.10	-30,832,254.87
		-	-	-
		-	-	-
		-	-	-

	1,166,957,449.34	1,090,181,057.70	-76,776,391.64
	2017 12 31		
	66,753,577.54	64,400,106.16	-2,353,471.38
-	-	-	-
	504,615,413.76	495,882,427.90	-8,732,985.86
	19,927,740.00	19,960,000.00	32,260.00
	524,543,153.76	515,842,427.90	-8,700,725.86
	-	-	-
	-	-	-
	-	-	-
	591,296,731.30	580,242,534.06	-11,054,197.24

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.32

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87

	155,431,380.73	155,431,380.73
	2,579,637,213.13	2,579,637,213.13
-	-2,215,385,611.88	-2,215,385,611.88
- /	-	-
/	-	-
	-	-
-	-	-
	519,682,981.98	519,682,981.98

A			
	-39,255,857.86	85,152,966.06	45,897,108.20
	1,538,224.28	-11,555,301.24	-10,017,076.96
	-4,895,582.47	11,463,482.60	6,567,900.13
	-36,442,559.44	91,695,792.45	55,253,233.01
	31,546,976.97	-80,232,309.85	-48,685,332.88
	-	-	-
	-42,613,216.05	85,061,147.42	42,447,931.37

C			
	-31,239,267.99	61,111,456.88	29,872,188.89
	-3,463,285.95	-54,166,893.16	-57,630,179.11
	-67,049,305.95	170,522,113.74	103,472,807.79
	-478,128,851.28	1,048,961,523.44	570,832,672.16
	411,079,545.33	-878,439,409.70	-467,359,864.37
	-	-	-
	-101,751,859.89	177,466,677.46	75,714,817.57

	2018	1	1	2018	2017	1	1	2017	12	31
		12	31							
				767,150.14				461,815.91		
				-				-		
				-				-		
				205,913.75				74,942.03		

	94,658.91	22,052.37
	1,067,722.80	558,810.31
	8,057.69	86,601.22

	2018	1	1	2018	12	2017	1	1	2017	12
				31					31	
				669,813,462.35					841,745,520.34	
				672,983,469.40					846,366,866.14	
				-3,170,007.05					-4,621,345.80	

	2018	1	1	2018	2017	1	1	2017
		12	31			12	31	
				10,088,946.36				-2,543,559.51
				-				-
				-				-
				10,088,946.36				-2,543,559.51

	2018	1	1	2018	2017	1	1	2017
		12	31			12	31	
				817,680,010.37				642,691,168.06
				800,606,832.63				642,059,594.68
				6,984,231.38				3,175,132.89
				10,088,946.36				-2,543,559.51

	2018	1	1	2018	12	2017	1	1	2017	12
	31				31					
	1,281,063.11				468,148.82					
	-				-					
	1,281,063.11				468,148.82					

	2018	1	1	2018	12	2017	1	1	2017	12
	31				31					
1.	-65,722,194.40				11,106,056.66					
---	-43,590,665.39				12,375,431.47					
---	-22,131,529.01				-1,269,374.81					
---	-				-					
---	-				-					
---	-				-					
2.	-				-					
---	-				-					
3.	-				-					

:	-	-
	-65,722,194.40	11,106,056.66

	2018 1 1 31	2018 12 31	2017 1 1 31	2017 12 31
		278,829.98		215,639.84
		2,814.69		19,824.09
		281,644.67		235,463.93

25%

7

	2018 1 1 31	2018 12 31	2017 1 1 31	2017 12 31
		2,094,153.39		2,413,402.82
		2,125.00		725.75
		-		-
		-		-
		-		-
		2,096,278.39		2,414,128.57

	2018 1 1 31	2018 12 31	2017 1 1 31	2017 12 31
		60,000.00		50,000.00
		180,000.00		260,000.00
		36,000.00		36,000.00
		1,200.00		1,440.00
		277,200.00		347,440.00

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15.15%

2018 12 21

	2018 1 1			2018 12 31			2017 1 1			2017 12 31		
				544,900,688.98		36.77%				517,323,811.06		33.47%

	2018 1 1			2018 12 31			2017 1 1			2017 12 31		
				1,478,050,303.96		92.17%				1,190,131,431.31		94.50%

	2018 1 1			2018 12 31			2017 1 1			2017 12 31		
				27,222,800,000.00		100.00%				10,868,800,000.00		100.00%

	2018 1 1			2018 12 31			2017 1 1			2017 12 31		
				507,466.01		39.45%				25,560.96		31.25%
	2017 1 1			2017 12 31			2017 1 1			2017 12 31		
				481,782.39		33.90%				113,680.11		26.83%

	A	C	
	-	1,738,314.89	1,738,314.89
	-	20,097.95	20,097.95
	-	498.28	498.28
	-	1,758,911.12	1,758,911.12
	2017 1 1 2017 12 31		
	A	C	
	-	267,563.21	267,563.21
	-	28,468.21	28,468.21
	-	303.89	303.89
	-	296,335.31	296,335.31

A

C

0.35% C

C

0.35%

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	2018 1 1	2018 12 31	2017 1 1	2017 12 31
	2,132,734.35	767,150.14	13,776,634.20	461,815.91

2018 12 31
2017 12 31

2018 12 31

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2018 12 31

2018 12 31
215,000,000.00 2019 1 2

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10%

10%

	2018 12 31	2017 12 31
A-1	0.00	0.00
A-1	0.00	0.00
	30,033,000.00	25,951,000.00
	30,033,000.00	25,951,000.00

	2018 12 31	2017 12 31
AAA	839,806,609.60	355,630,264.30
AAA	15,317,089.50	134,261,163.60
	45,127,890.00	0.00
	900,251,589.10	489,891,427.90

2018 12 31	6	6 -1	1-5	5		
	2,132,734.35	-	-	-	-	2,132,734.35
	2,526,611.24	-	-	-	-	2,526,611.24
	378,783.90	-	-	-	-	378,783.90
	376,672,638.80	312,951,729.50	240,660,220.80	-	159,896,468.60	1,090,181,057.70
	-	-	-	-	5,397,512.67	5,397,512.67
	-	-	-	-	910,986.86	910,986.86
	-	-	-	-	-	-
	381,710,768.29	312,951,729.50	240,660,220.80	-	166,204,968.13	1,101,527,686.72

	215,000,000.00	-	-	-	-	215,000,000.00
	-	-	-	-	615,167.05	615,167.05
	-	-	-	-	370,569.92	370,569.92
	-	-	-	-	605,742.95	605,742.95
	-	-	-	-	173,069.38	173,069.38
	-	-	-	-	215,308.44	215,308.44
	-	-	-	-	82,175.67	82,175.67
	-	-	-	-	-26,415.47	-26,415.47
	-	-	-	-	28,140.36	28,140.36
	-	-	-	-	420,789.76	420,789.76
	215,000,000.00	-	-	-	2,484,548.06	217,484,548.06
	166,710,768.29	312,951,729.50	240,660,220.80	-	163,720,420.07	884,043,138.66
2017 12 31	6	6 -1	1-5	5		
	13,776,634.20	-	-	-	-	13,776,634.20
	5,914,050.09	-	-	-	-	5,914,050.09
	410,141.09	-	-	-	-	410,141.09
	309,180,113.20	81,893,041.10	124,769,273.60	-	64,400,106.16	580,242,534.06
	-	-	-	-	1,838,013.59	1,838,013.59
	-	-	-	-	490,903.91	490,903.91
	-	-	-	-	-	-
	329,280,938.58	81,893,041.10	124,769,273.60	-	66,729,023.66	602,672,276.94
	155,000,000.00	-	-	-	-	155,000,000.00
	-	-	-	-	1,170,766.05	1,170,766.05
	-	-	-	-	286,793.48	286,793.48
	-	-	-	-	81,940.99	81,940.99
	-	-	-	-	65,138.25	65,138.25
	-	-	-	-	423,875.32	423,875.32

	-	-	-	-	225,274.35	225,274.35
	-	-	-	-	13,390.14	13,390.14
	-	-	-	-	492,001.47	492,001.47
	155,000,000.00	-	-	-	2,759,180.05	157,759,180.05
	174,280,938.58	81,893,041.10	124,769,273.60	-	63,969,843.61	

	13,776,634.20	-	-	-	-	13,776,634.20
	5,914,050.09	-	-	-	-	5,914,050.09
	410,141.09	-	-	-	-	410,141.09
	309,180,113.20	81,893,041.10	124,769,273.60	-	64,400,106.16	580,242,534.06
	-	-	-	-	1,838,013.59	1,838,013.59
	-	-	-	-	490,903.91	490,903.91
	-	-	-	-	-	-
	329,280,938.58	81,893,041.10	124,769,273.60	-	66,729,023.66	602,672,276.94
	155,000,000.00	-	-	-	-	155,000,000.00
	-	-	-	-	1,170,766.05	1,170,766.05
	-	-	-	-	286,793.48	286,793.48
	-	-	-	-	81,940.99	81,940.99
	-	-	-	-	65,138.25	65,138.25
	-	-	-	-	423,875.32	423,875.32
	-	-	-	-	225,274.35	225,274.35
	-	-	-	-	13,390.14	13,390.14
	-	-	-	-	492,001.47	492,001.47
	155,000,000.00	-	-	-	2,759,180.05	157,759,180.05
	174,280,938.58	81,893,041.10	124,769,273.60	-	63,969,843.61	444,913,096.89

		2018 12 31	2017 12 31
	27	9,426,141.60	6,160,056.82
	27	-9,426,141.60	-6,160,056.82

VaR (Value at Risk)

	2018 12 31		2017 12 31	
		%		%
-	159,896,468.60	18.09	64,400,106.16	14.47
	-	-	-	-
	930,284,589.10	105.23	515,842,427.90	115.94
	-	-	-	-
	-	-	-	-
	-	-	-	-
	1,090,181,057.70	123.32	580,242,534.06	130.42

95%				
		2018 12 31		2017 12 31
VaR	1.01%	2017 12	-8,887,282.38	-2,295,776.15
31 VaR	0.52%)			

VaR

95%

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			%
1		159,896,468.60	14.52
		159,896,468.60	14.52
2		-	-
3		930,284,589.10	84.45
		930,284,589.10	84.45
		-	-
4		-	-
5		-	-
6		-	-
		-	-
7		4,659,345.59	0.42
8		6,687,283.43	0.61
9		1,101,527,686.72	100.00

A		-	-
B		-	-
C		64,168,200.00	7.26
D		-	-
E		-	-
F		-	-

2018

-	-
-	-
-	-
-	-
96,468.60	18.09

263,200.00	2.18
787,000.00	1.67

1	603986		103,324,328.51	23.22
2	300348		42,541,720.92	9.56
3	603019		41,784,340.00	9.39
4	600588		41,540,333.80	9.34
5	000977		33,213,058.92	7.47
6	002153		32,551,063.42	7.32
7	002405		26,713,992.78	6.00
8	600570		26,616,592.50	5.98
9	300212		26,294,434.98	5.91
10	002049		24,231,601.52	5.45
11	300474		24,222,338.26	

8	002153		20,667,091.38	4.65
9	002410		17,735,560.08	3.99
10	002920		16,892,699.54	3.80
11	000100	TCL	15,596,493.00	3.51
12	600570		15,419,513.00	3.47
13	000063		15,364,264.00	3.45
14	300047		12,412,787.00	2.79
15	000636		12,316,021.87	2.77
16	300253		12,039,998.20	2.71
17	300027		11,430,637.59	2.57
18	300383		11,265,549.00	2.53
19	600887		11,220,980.00	2.52
20	002236		9,950,603.00	2.24
21	002371		9,879,007.00	2.22

	812,070,497.23
	669,813,462.35

1		-	
2		-	
3		75,160,890.00	8.5
		75,160,890.00	8.5
4		-	
5		-	
6		-	-

1	113013		2,800,000	294,224,000.00	33.28
2	113011		2,685,680	282,318,681.60	31.93
3	132009	17 EB	1,359,520	137,026,020.80	15.50
4	132015	18 EB	960,000	93,859,200.00	10.62
5	018005	1701	349,750	35,128,890.00	3.97

1		378,783.90
2		-
3		-
4		5,397,512.67
5		910,986.86
6		-
7		-
8		-
9		6,687,283.43

1	113013		294,224,000.00	33.28
2	113011		282,318,681.60	31.93
3	128024		12,717,600.00	1.44
4	113504		9,306,960.00	1.05
5	113009		6,077,400.00	0.69
6	110031		3,808,707.20	0.43
7	123003		2,706,000.00	0.31
8	128027		1,898,679.50	0.21
9	113014		949,300.00	0.11
10	128032		456,150.00	0.05

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A	20,135	12,227.34	36,541,371.84	14.84%	209,656,035.90	85.16%
C	7,696	67,526.37	389,447,031.09	74.94%	130,235,950.89	25.06%
	27,831	27,518.97	425,988,402.93	55.62%	339,891,986.79	44.38%

A		79,401.91	0.03%
C		9.86	0.00%
		79,411.77	0.01%

A			0
C			0
			0

A

§ 10

	A	C
2012 3 30	65,799,961.38	308,084,102.98
	213,712,419.07	155,431,380.73
	219,091,801.95	2,579,637,213.13
:	186,606,813.28	2,215,385,611.88
"_"	-	-
	246,197,407.74	519,682,981.98

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2018 8 28

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60,000.00

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	1	936,983,270.60	63.23%	778,914.77	60.55%	-
	1	544,900,688.98	36.77%	507,466.01	39.45%	-
	1	-	-	-	-	-
	1	-	-	-	-	-

	125,508,789.40	7.83%	-	-	-	-
	1,478,050,303.96	92.17%	27,222,800,000.00	100.00%	-	-
	-	-	-	-	-	-
	-	-	-	-	-	-

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1	2017 12 31		2018 1 1
2			2018 1 4
3			2018 1 5
4			2018 1 16
5	4 2017		2018 1 20
6			2018 2 1
7			2018 2 2
8			2018 2 6
9			2018 2 7
10			2018 2 10
11	53		2018 3 24
12			2018 3 24
13			2018 3 24
14			2018 3 29
15			2018 3 29

16			2018 3 30
17	2017		2018 3 31
18			2018 4 18
19	2018 1		2018 4 20
20			2018 4 21
21			2018 5 7
22			2018 5 8
23			2018 5 9
24	2018 1		2018 5 12
25			2018 5 17
26			2018 5 28
27			2018 5 31
28			2018 6 7

29			2018 6 15
30			2018 6 20
31			2018 6 22
32			2018 6 28
33			2018 6 30
34	2018 6 30		2018 6 30
35			2018 7 4
36			2018 7 5
37			2018 7 12
38	2	2018	2018 7 20
39			2018 7 30
40			2018 8 2

56			2018 12 27
57			2018 12 28

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20%

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