

2018

2018 12 31

2019 3 29

§ 1

2019 3 27

2018 3 7

2018 12 31

§ 2

2.1

	004805
	004805
	2018 3 7
	121, 553, 595. 89

2.2

	y 20% y 80%+

2.3

	021-61009999	010-66105798
	zhouyg@cxfund. com. cn	custody@i cbc. com. cn
	4007005566	95588
	021-61009800	010-66105799

2.4

	www.cxfund.com.cn
	68 9 55

§ 3

3.1

3.1.1	2018 3 7 ()-2018 12 31
	-9,378,439.49
	-18,142,836.50
	-0.1340
	-14.68%

3.2.2

1		2018	3	7			
		2018	3	7	2018	12	31
2						6	

3.2.3

§ 4

4.1

4.1.1

2003 63

1.65

44.55%

31.21%

15.15%

4.55%

4.54%

2018 12 31

64

100

(LOF)

(LOF)

LOF

LOF

500

FOF

4.1.2

	LOF	2018 7	3	-	8
					2010 7
					LOF
					LOF

--	--	--	--	--	--

1

/

2

4.2

2

5 1

3

4

5

4.3.2

4.3.3

5%

4.4

4.4.1

2018

10
25% 35%
50 20% 25% 300 25% 30% 500 33%

2018

2018

2018

4.4.2

0.8532 0.8532
-14.68% -15.61%

4.5

2019

A

2018

A

4.6

“ ”

1/2

4.7

1

2

3

4

5

4.8

§ 5

5.1

5.2

—

5.3

2018

§ 6

2018

() (19) P00131

§ 7

7.1

2018 12 31

	2018 12 31
	12, 586, 950. 71
	164, 763. 27
	26, 351. 34
	91, 534, 479. 75
	91, 534, 479. 75
	-
	-
	-
	-
	-
	-
	393, 838. 40
	3, 017. 73
	-
	-
	-
	-
	104, 709, 401. 20
	2018 12 31
	-
	-
	-
	-
	466, 478. 36
	101, 518. 06
	135, 046. 25
	22, 507. 72
	-
	34, 283. 80
	-
	-

	-
	-
	240,382.60
	1,000,216.79
	121,553,595.89
	-17,844,411.48
	103,709,184.41
	104,709,401.20

1 2018 12 31 0.8532 121,553,595.89

2 2018 3Td(31)TJMC /Spn <</0 >>BDC /C2_0 1 Tf1.239 0 Td[<1C10.7

5.	“ - ”	639,871.40
		2,445,791.27
1		1,576,466.43

	121, 553, 595. 89	-17, 844, 411. 48	103, 709, 184. 41

5@

80%

3%

5%

y 80%+

y 20%

2019 3 25

7.4.2

(“ ”)

7.4.3

2018 12 31

2018 3 7 (

) 2018 12 31

7.4.4

7.4.4.1

1 1 12 31

2018 3 7 () 2018 12 31

7.4.4.2

7.4.4.3

1)

2)

7.4.4.4

(

)

7.4.4.5

1)

(

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2)

3)

4)

7.4.4.6

7.4.4.7

7.4.4.8

()

()

7.4.4.9 / ()

-

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()

-

7.4.4.10

1.50%

0.25%

7.4.4.11

1)

3

2)

3)

4)

5)

7.4.4.12

7.4.4.13

1)

() > ([2017]6)

<

2)

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[2017]13)

(AMAC)

[2013]13)

(

(

(

3)

<

2015 1

> ([2014]24)

(

)

7.4.5

7.4.5.1

7.4.5.2

7.4.5.3

7.4.6

[2005]103

[2008]1

2008

9 18

[2012]85

[2014]48

[2015]101

[2016]36

[2016]140

[2017]56

[2017]90

1) ()

2018 1 1

3%

2)

3)

1

(1)

1

1 (1)

50%

1

20%

4)

20%

5)

A

0.10%

()

7.4.7

“ ”	
“ ”	

1

2

[2018]1905

15.15%

2018 12 21

7.4.8**7.4.8.1****7.4.8.1.1****7.4.8.1.2****7.4.8.1.3****7.4.8.1.4****7.4.8.1.5**

7.4.8.2**7.4.8.2.1**

	2018 3 7 ()	2018 12 31
		1, 576, 466. 43
		1, 109, 360. 33

1 2018 3 7

2 y 1. 50%

=

y 1. 50%..

7.4.8.2.2

	2018 3 7 ()	2018 12 31
		262, 744. 44

1 2018 3 7

2 y 0. 25%

=

y 0. 25%..

7.4.8.3

()

()

7.4.8.4**7.4.8.4.1****7.4.8.4.2****7.4.8.5**

	2018 3 7 () 2018 12 31	
	12,586,950.71	378,350.49

1 2018 3 7

2 “ ”

2018 12 31 164,763.27

7.4.8.6

7.4.8.7

7.4.9 2018 12 31

7.4.9.1 /

7.4.9.1.1	

§ 8

8.1

			%
1		91, 534, 479. 75	87. 42
		91, 534, 479. 75	87. 42
2		-	-
3		-	-
		-	-
4		-	-
5		-	-
6		-	-
		-	-
7		12, 751, 713. 98	12. 18
8		423, 207. 47	0. 40
9		104, 709, 401. 20	100. 00

8.2

8.2.1

A		-	-
B		-	-
C		61, 199, 097. 51	59. 01
D		-	-
E		-	-
F		2, 720, 000. 00	2. 62
G		-	-
H		-	-
I		3, 857, 686. 58	3. 72
J		15, 377, 761. 20	14. 83
K		-	-
L		-	-
M		-	-

N		-	-
O		-	-
P		-	-
Q		-	-
R		8,379,934.46	8.08
S		-	-
		91,534,479.75	88.26

8.2.2**8.3**

1	600373		380,038	4,944,294.38	4.77
2	000333		130,100	4,795,486.00	4.62
3	600438		500,080	4,140,662.40	3.99
4	000568		100,470	4,085,110.20	3.94
5	002304		41,435	3,924,723.20	3.78
6	600660		170,201	3,877,178.78	3.74
7	600406		208,186	3,857,686.58	3.72
8	601318		68,200	3,826,020.00	3.69
9	600741		207,600	3,819,840.00	3.68
10	000100	TCL	1,550,000	3,797,500.00	3.66

8.4**8.4.1****2% 20**

1	000100	TCL	7,775,657.78	7.50
2	600809		7,433,630.72	7.17
3	600519		6,979,888.95	6.73

4

6	000333		6, 113, 644. 00	5. 89
7	600373		5, 907, 667. 37	5. 70
8	601601		5, 779, 482. 21	5. 57
9	000568		5, 499, 396. 82	5. 30
10	600867		5, 469, 728. 95	5. 27
11	000651		5, 235, 251. 00	5. 05
12	600332		5, 228, 529. 00	5. 04
13	600741		5, 169, 461. 00	4. 98
14	000858		5, 104, 780. 91	4. 92
15	000963		5, 069, 340. 20	4. 89
16	002304		4, 972, 765. 70	4. 79
17	600104		4, 967, 294. 54	4. 79
18	603288		4, 868, 376. 05	4. 69
19	600036		4, 721, 473. 99	4. 55
20	600690		4, 689, 371. 13	4. 52
21	000895		4, 451, 017. 25	4. 29
22	600398		4, 434, 171. 94	4. 28
23	002024		4, 402, 517. 98	4. 25
24	600660		4, 396, 869. 79	4. 24
25	600566		4, 374, 629. 00	4. 22
26	601318		4, 293, 082. 00	4. 14
27	002640		4, 141, 305. 00	3. 99
28	600438		4, 112, 206. 24	3. 97
29	600406		3, 992, 244. 76	3. 85
30	601888		3, 753, 751. 08	3. 62
31	601288		3, 640, 938. 00	3. 51
32	002027		3, 550, 356. 98	3. 42
33	300182		3, 389, 752. 00	3. 27
34	600977		3, 224, 866. 20	3. 11
35	601607		3, 185, 486. 00	3. 07
36	300122		3, 184, 700. 00	3. 07
37	601818		3, 087, 000. 00	2. 98
38	002311		2, 825, 353. 45	2. 72

“ ”

8.4.2**2% 20**

1	300251		5,545,788.17	5.35
2	600519		5,025,639.50	4.85
3	603288		4,728,993.25	4.56
4	600036		4,627,871.00	4.46
5	000963		4,573,318.73	4.41
6	600104		4,331,543.75	4.18
7	600867		4,091,393.38	3.95
8	002024		4,079,203.00	3.93
9	600398		3,844,043.41	3.71
10	601888		3,779,591.00	3.64
11	000100	TCL	3,566,783.64	3.44
12	002027		3,503,885.00	3.38
13	002640		3,232,503.00	3.12
14	600809		3,066,245.99	2.96
15	300122		2,904,331.00	2.80
16	300182		2,565,308.00	2.47
17	002311		2,472,490.20	2.38
18	600276		2,325,950.40	2.24
19	002572		2,298,041.52	2.22
20	601628		2,072,882.00	2.00

“ ”

8.4.3

	210,366,612.32
	100,744,145.53

“ ”

8.5

8.6

8.7

8.8

8.9

8.10

8.10.1

8.10.2

8.11

8.11.1

8.11.2

8.11.3

8.12

8.12.1

8.12.2**8.12.3**

1		26,351.34
2		393,838.40
3		-
4		3,017.73
5		-
6		-
7		-
8		-
9		423,207.47

8.12.4**8.12.5****8.12.6**



§ 10

2018 1 3 9—7 8t	229, 561, 960. 30
	2, 032, 372. 79
:	110, 040, 737. 20
"_"	-
	121, 553, 595. 89

2018 3 7

2018 3 7 2018 12

§ 11

11.1

11.2

11.2.1

2018 8 28

11.2.2

11.3

11.4

11.5

50,000.00

1

11.6

11.7

11.7.1

	2	134,694,312.57	43.37%	125,440.70	52.81%	-
	2	113,850,742.96	36.66%	60,489.55	25.47%	-
	1	62,051,694.06	19.98%	51,583.79	21.72%	-
	1	-	-	-	-	-
	1	-	-	-	-	-
	1	-	-	-	-	-
	1	-	-	-	-	-
	2	-	-	-	-	-
	1	-	-	-	-	-
	1	-	-	-	-	-

11.7.2

	-	-	614,800,000.00	100.00%	-	-
	-	-	-	-	-	-
	-	-	-	-	-	-
	-	-	-	-	-	-
	-	-	-	-	-	-
	-	-	-	-	-	-

	-	-	-	-	-	-
	-	-	-	-	-	-
	-	-	-	-	-	-
	-	-	-	-	-	-

1

2

2

<1998>29

[2007]48

1

a

b

c

d

2

§ 12

12.1

20%

20%

12.2

2019 3 29