

2019 1

2019 3 31

2019 4 22

§ 1

2019 4 18

2019 1 1 2019 3 31

§ 2

| | |
|--|----------------------|
| | |
| | 519977 |
| | |
| | 2012 3 30 |
| | 1, 617, 766, 906. 20 |
| | |
| | |
| | 70%+ |
| | 300 *10% |
| | |
| | |
| | A |
| | C |

| | | |
|--|----------------|----------------|
| | CX A | CX C |
| | 519977 | 519976 |
| | 891,506,060.56 | 726,260,845.64 |

§ 3

3.1

| | 2019 1 1 | 2019 3 31 |
|---|------------------|----------------|
| | A | C |
| 1 | 44,606,026.52 | 48,909,113.69 |
| 2 | 101,992,194.79 | 198,692,011.38 |
| 3 | 0.1753 | 0.2129 |
| 4 | 1,219,422,754.42 | 969,321,456.59 |
| 5 | 1.3678 | 1.3347 |

1

2

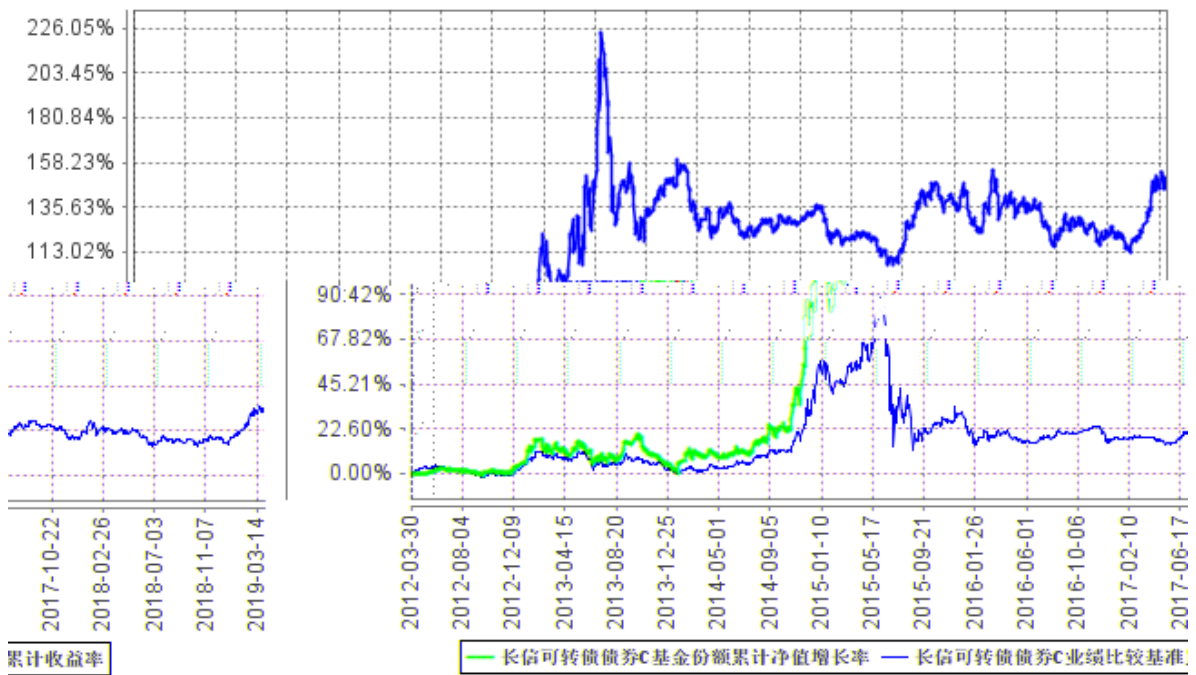
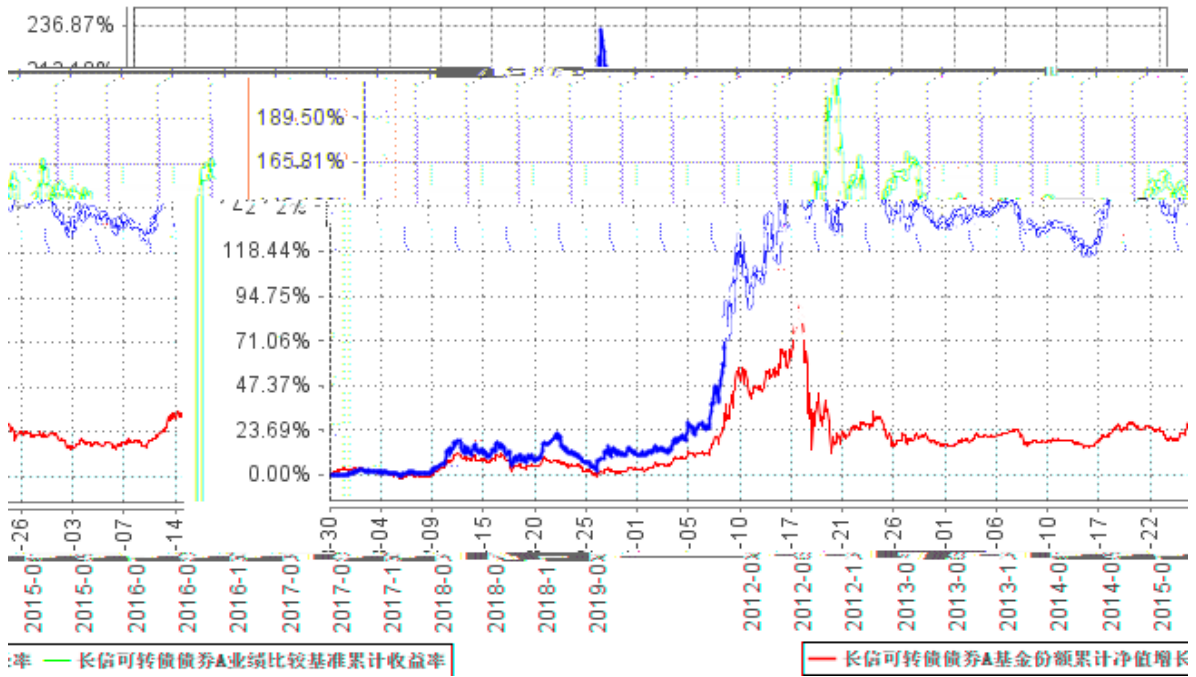
3.2

3.2.1

| A | | | | | | |
|---|--------|-------|--------|-------|-------|-------|
| | | | | | | |
| | 16.67% | 1.03% | 15.02% | 0.81% | 1.65% | 0.22% |

| C | | | | | | |
|---|--------|--|--|--|--|--|
| | | | | | | |
| | 16.50% | | | | | |

3.2.2



1 2012 3 30 2019 3 31

2 6

§ 4

4.1

| | | 2012 3 | 2019 2 2 | | | |
|--|--|--------------|----------|----|--------|--|
| | | 30 | | 21 | | |
| | | 2018 12 8 | - | 20 | 2018 7 | |

1

/

2

4.2

4.3

4.3.1

4.3.2

5%

4.4

4.4.1

2019

19.6%,

11.6% 1

4.4.2 2019

2019

6.2–6.3%

4.5

| | | | |
|---------|---------|---------|---------|
| | A | 1. 3678 | 2. 3278 |
| | A | 16. 67% | C |
| 1. 3347 | 2. 2417 | C | 16. 50% |
| | 15. 02% | | |

4.6**§ 5****5.1**

| | | | % |
|---|--|----------------------|---------|
| 1 | | 434, 075, 850. 76 | 15. 93 |
| | | 434, 075, 850. 76 | 15. 93 |
| 2 | | - | - |
| 3 | | 2, 229, 884, 814. 76 | 81. 81 |
| | | 2, 229, 884, 814. 76 | 81. 81 |
| | | - | - |
| 4 | | - | - |
| 5 | | - | - |
| 6 | | - | - |
| | | - | - |
| 7 | | 38, 169, 602. 61 | 1. 40 |
| 8 | | 23, 430, 582. 43 | 0. 86 |
| 9 | | 2, 725, 560, 850. 56 | 100. 00 |

5.2

5.2.1

| | | | (%) |
|---|--|----------------|-------|
| A | | - | - |
| B | | - | - |
| C | | 242,319,238.27 | 11.07 |
| D | | - | - |
| E | | - | - |
| F | | - | - |
| G | | - | - |
| H | | - | - |
| I | | 30,700,689.68 | 1.40 |
| J | | 128,493,609.81 | 5.87 |
| K | | - | - |
| L | | 17,520,000.00 | 0.80 |
| M | | 13,728,000.00 | 0.63 |
| N | | - | - |
| O | | - | - |
| P | | - | - |
| Q | | 1,314,313.00 | 0.06 |
| R | | - | - |
| S | | - | - |
| | | 434,075,850.76 | 19.83 |

5.2.2

5.3

| 1 | 300054 | | 6,036,219 | 60,362,190.00 | 2.76 |
|---|--------|--|-----------|---------------|------|
| 2 | 601009 | | 7,000,261 | 55,372,064.51 | 2.53 |
| 3 | 601818 | | 7,500,133 | 30,750,545.30 | 1.40 |
| 4 | 601166 | | 1,600,000 | 29,072,000.00 | 1.33 |
| 5 | 600703 | | 1,266,800 | 18,583,956.00 | 0.85 |
| 6 | 600887 | | 620,000 | 18,048,200.00 | 0.82 |
| 7 | 600196 | | 600,000 | 17,868,000.00 | 0.82 |
| 8 | 601888 | | 250,000 | 17,520,000.00 | 0.80 |
| 9 | 300012 | | 1,560,000 | 13,728,000.00 | 0.63 |

| | | | | | |
|----|--------|--|---------|---------------|------|
| 10 | 000333 | | 280,099 | 13,649,224.27 | 0.62 |
|----|--------|--|---------|---------------|------|

5.4

| 1 | | - | - |
|----|--|------------------|--------|
| 2 | | - | - |
| 3 | | 155,048,500.00 | 7.08 |
| | | 155,048,500.00 | 7.08 |
| 4 | | - | - |
| 5 | | - | - |
| 6 | | 5,007,000.00 | 0.23 |
| 7 | | 2,019,959,314.76 | 92.29 |
| 8 | | 49,870,000.00 | 2.28 |
| 9 | | - | - |
| 10 | | 2,229,884,814.76 | 101.88 |

5.9**5.9.1****5.9.2****5.9.3****5.10****5.10.1****5.10.2****5.10.3**

| | | |
|---|--|------------------|
| | | |
| 1 | | 650, 589. 65 |
| 2 | | 500, 000. 00 |
| 3 | | - |
| 4 | | 5, 367, 530. 70 |
| 5 | | 16, 912, 462. 08 |
| 6 | | - |
| 7 | | - |
| 8 | | - |
| 9 | | 23, 430, 582. 43 |

5.10.4

| | | | () | |
|---|--------|--|-------------------|--------|
| 1 | 113011 | | 288, 323, 700. 00 | 13. 17 |
| 2 | 113013 | | 257, 896, 980. 00 | 11. 78 |
| 3 | 110042 | | 48, 768, 406. 50 | 2. 23 |
| 4 | 123006 | | 47, 563, 600. 00 | 2. 17 |
| 5 | 128034 | | 46, 023, 701. 10 | 2. 10 |
| 6 | 123003 | | 34, 225, 588. 98 | 1. 56 |
| 7 | 128024 | | 34, 018, 996. 80 | 1. 55 |
| 8 | 123012 | | 28, 177, 300. 00 | 1. 29 |

| | | | | |
|----|--------|--|---------------|------|
| 9 | 113516 | | 28,035,771.80 | 1.28 |
| 10 | 110044 | | 24,145,500.00 | 1.10 |
| 11 | 113008 | | 18,972,720.00 | 0.87 |
| 12 | 113009 | | 14,995,360.00 | 0.69 |
| 13 | 128035 | | 14,426,429.55 | 0.66 |
| 14 | 110034 | | 14,065,299.60 | 0.64 |
| 15 | 110043 | | 13,950,090.00 | 0.64 |

| | | | | |
|----|--------|--|------------|------|
| 48 | 123007 | | 263,142.36 | 0.01 |
| 49 | 110041 | | 250,634.00 | 0.01 |

5.10.5**5.10.6****§ 6**

| | A | C |
|-----|------------------|------------------|
| | 246,197,407.74 | 519,682,981.98 |
| | 1,166,191,037.68 | 1,753,105,129.16 |
| : | 520,882,384.86 | 1,546,527,265.50 |
| "_" | - | - |
| | 891,506,060.56 | 726,260,845.64 |

§ 7**7.1****7.2****§ 8****8.1****20%**

20%

8.2

§ 9

9.1

- 1
- 2
- 3
- 4
- 5
- 6

9.2

9.3

<http://www.cxfund.com.cn>

2019 4 22