

2019

2019 6 30

2019 8 27

§ 1**1.1**

2019 8 23

2019 1 1 2019 6 30

1.2

§1 **2**

1.1

6.4	20
§7	39
7.1	39
7.2	39
7.3	40
7.4	41
7.5	43
7.6	43
7.7	43
7.8	43
7.9	43
7.10	44
7.11	44
7.12	44
§8	46
8.1	46
8.2	46
8.3	46
§9	47
§10	48
10.1	48
10.2	48
10.3	48
10.4	48
10.5	48
10.6	48
10.7	48
10.8	50
§11	52
11.1 20%	52

11.2	52
§12	53
12.1	53
12.2	53
12.3	53

§ 2**2.1**

	GGHL
	519971
	519971
	2014 8 6

	4
	300 × 60%+ × 40%

2.3

	021-61009999	010-66105799
	zhouyg@cxfund.com.cn	custody@icbc.com.cn
	4007005566	95588
	021-61009800	010-66105798
	68 9	55
	68 9	55
	200120	100140

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	www.cxfund.com.cn
	68 9 55

2.5

	17

§ 3

3.1

3.1.1	(2019 1 1 - 2019 6 30)
	1, 253, 043. 32
	3, 178, 325. 94
	0. 1282
	10. 52%
	14. 59%
3.1.2	(2019 6 30)
	25, 228, 554. 96
	0. 2495
	126, 346, 961. 56
	1. 249
3.1.3	(2019 6 30)
	57. 48%

1

2

3

3.2

3.2.1

	0. 89%	0. 38%	3. 47%	0. 69%	-2. 58%	-0. 31%
	2. 04%	0. 73%	-0. 30%	0. 91%	2. 34%	-0. 18%
	14. 59%	0. 72%	16. 82%	0. 92%	-2. 23%	-0. 20%
	11. 72%	0. 54%	8. 72%	0. 91%	3. 00%	-0. 37%
	23. 30%	0. 35%	18. 43%	0. 66%	4. 87%	-0. 31%

3.2.2 .

1 2014 8 6 2019 6 30

2 6

§ 4

4.1

4.1.1

2003 63

1.65

44.55%

31.21%

15.15%

4.55%

4.54%

2019 6 30

65

100

(LOF)

(LOF)

LOF

500

FOF

300

4.1.2

		2014	10	21	
				-	
				13	2006

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1. *..... /*

2. *.....*

4.2 *.....*

4.3 *.....*

4.3.1 *.....*

4.3.2

5%

4.4**4.4.1**

2019

2018

4.4.2

2019 6 30

1.249

1.512

14.59%

16.82%

4.5

2019

4.6

1/2

4.7

1

20%

14

53

3

12

2

3

4

5

4.8.

2018 1 24 2018 4 23

2019 6 4

§ 5

5.1

5.2

5.3

2019

§ 6

6.1

2019 6 30

		2019 6 30	2018 12 31
	6.4.6.1	11,822,787.17	42,325.57
		81,160.00	28,255.15
		3,602.99	3,992.12
	6.4.6.2	92,804,303.74	11,012,976.06
		81,395,997.74	1,584,556.06
		-	-
		11,408,306.00	9,428,420.00
		-	-
		-	-
	6.4.6.3	-	-
	6.4.6.4	-	2,000,000.00
		21,971,454.70	-
	6.4.6.5	89,396.88	271,896.97
		-	-
		1,738.16	936.36
		-	-
	6.4.6.6	-	-
		126,774,443.64	13,360,382.23
		2019 6 30	2018 12 31
		-	-
		-	-
	6.4.6.3	-	-
		-	-
		-	-
		3,697.31	36,325.79
		79,944.95	13,192.76
		8,974.79	2,748.51
		-	-
	6.4.6.7	310,987.41	248,549.93
		-	-

		-	-
		-	-
		-	-
	6.4.6.8	23,877.62	139,000.00
		427,482.08	439,816.99
	6.4.6.9	101,118,406.60	11,855,527.47
	6.4.6.10	25,228,554.96	1,065,037.77
		126,346,961.56	12,920,565.24
		126,774,443.64	13,360,382.23
2019 6 30		1.249	101,118,406.60

6.2.

2019 1 1 2019 6 30

		2019 1 1 2019 6 30	2018 1 1 2018 6 30
		3,494,498.37	981,419.58
1.		163,006.30	406,928.29
	6.4.6.11	29,528.73	44,331.70
		121,638.35	309,778.11
		-	-
		11,839.22	52,818.48
		-	-
2.	-	1,404,215.49	2,207,904.59
	6.4.6.12	607,002.25	2,182,245.14
		-	-
	6.4.6.13	139,537.25	-539.00
	6.4.6.13.5	-	-
	6.4.6.14	-	-
	6.4.6.15	-	-
	6.4.6.16	657,675.99	26,198.45
3.	-	1,925,282.62	-1,874,330.05
	6.4.6.17		
4.	-	-	-
5.	-	1,993.96	240,916.75
		316,172.43	357,832.89
1	6.4.9.2.1	148,441.78	198,378.40

2	6.4.9.2.2	23, 244. 84	41, 328. 80
3		-	-
4	6.4.6.19	110, 714. 92	24, 327. 95
5		-	-
		-	-
6		22. 00	-
7	6.4.6.20		

	2018 1 1 . 2018 6 30 .		
	52,585,541.87	5,864,428.63	58,449,970.50
	-	623,586.69	623,586.69
	-27,521,650.14	-3,522,207.16	-31,043,857.30
1.	36,137,111.26	4,640,787.09	40,777,898.35
2.	-63,658,761.40	-8,162,994.25	-71,821,755.65
	-	-	-
	25,063,891.73	2,965,808.16	28,029,699.89

6.1 6.4

6.4 .

6.4.1

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([2014]481

697,321,010.82

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1400669

2014 8 6

6.4.5

[2005]103

[2008]1

2008

9 18

[2012]85

[2014]48

[2015]101

[2016]36

[2016]140

[2017]56

[2017]90

1) ()

2018 1L713.8551.30-0.011 T 5F74A0B/Span 5D-11D 29 B4F[0D/Span44E/Span4EC1104/Span>

	2019 6 30
	11,822,787.17
	-
1	-
1-3	-
3	-
	-
	11,822,787.17

6.4.6.2

		2019 6 30		
		79,464,846.21	81,395,997.74	1,931,151.53
		-	-	-
		9,435,418.11	9,468,706.00	33,287.89
		1,937,224.00	1,939,600.00	2,376.00
		11,372,642.11	11,408,306.00	35,663.89
		-	-	-
		-	-	-
		-	-	-
		90,837,488.32	92,804,303.74	1,966,815.42

6.4.6.3

..... /

6.4.6.4

6.4.6.4.1

6.4.6.4.2

6.4.6.5

	2019 6 30

	2, 507. 92
	-
	-
	32. 85
	86, 854. 67
	-
	-
	-
	-
	1. 44
	89, 396. 88

6.4.6.6**6.4.6.7**

	2019 6 30
	310, 832. 41
	155. 00
	310, 987. 41

6.4.6.8

	2019 6 30
	-
	1. 23
	9, 000. 0
	14, 876. 39
	-
	-
	23, 877. 62

6.4.6.9

	2019 1 1	2019 6 30

	11,855,527.47	11,855,527.47
	90,306,473.68	90,306,473.68
("-")	-1,043,594.55	-1,043,594.55
- /	-	-

	26, 449, 660. 14
	607, 002. 25

6.4.6.13**6.4.6.13.1**

	2019 1 1	2019 6 30
---		139, 537. 25
---		-
---		-
		139, 537. 25

6.4.6.13.2

	2019 1 1	2019 6 30
		10, 791, 286. 85
		10, 346, 301. 85
		305, 447. 75
		139, 537. 25

6.4.6.13.3**6.4.6.13.4****6.4.6.13.5****6.4.6.14****6.4.6.14.1**

6.4.6.15**6.4.6.15.1****6.4.6.15.2****6.4.6.16**

	2019 1 1	2019 6 30
		657,675.99
		-
		657,675.99

6.4.6.17

	2019 1 1	2019 6 30
1.		1,925,282.62
---		2,057,861.98
---		-132,579.36
---		-
---		-
---		-
2.		-
---		-
3.		-
		-
		1,925,282.62

6.4.6.18

	2019 1 1	2019 6 30
		1,993.96
		-
		1,993.96

30	3	75%	3
6		50%	6
		25%	

6.4.6.19

	2019 1 1	2019 6 30
		110,559.92
		155.00
		-
		-
		-
		110,714.92

6.4.6.20

	2019 1 1	2019 6 30
		14,876.39
		-
		18,600.00
		272.50
		33,748.89

6.4.7**6.4.7.1****6.4.7.2**

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1 2019 5 30

2019 6 3 1.2% 0.9%

2 2019 1 1 2019 6 2 1.2%

2019 6 3 2019 6 30 0.9%

3 :H E× ÷ H E

6.4.9.2.2

	2019 1 1	2019 6 30	2018 1 1	2018 6 30
		23,244.84		41,328.80

1 2019 5 30

2019 6 3 0.25% 0.1%

2 2019 1 1 2019 6 2 0.25%

2019 6 3 2019 6 30 0.1%

3 :H E× ÷ H E

6.4.9.3 ()

()

6.4.9.4

6.4.9.4.1

6.4.9.4.2**6.4.9.5**

	2019 1 1		2019 6 30		2018 1 1		2018 6 30	
	11,822,787.17		26,994.12		3,959,094.44		42,323.61	

2019 6 30 81,160.00 (2018 12 31 28,255.15)

6.4.9.6**6.4.9.7****6.4.10****6.4.11** 2019 6 30**6.4.11.1** /**6.4.11.2****6.4.11.3****6.4.11.3.1**

6.4.11.3.2

6.4.12

6.4.12.1

6.4.12.2

10%

10%

6.4.12.2.1

	2019 6 30	2018 12 31
A-1	0.00	0.00
A-1	0.00	0.00
	799,360.00	0.00
	799,360.00	0.00

6.4.12.2.2**6.4.12.2.3****6.4.12.2.4**

	2019 6 30	2018 12 31
AAA	0.0	0.00
AAA	0.00	0.00
	8,669,346.00	9,428,420.00
	8,669,346.00	9,428,420.00

6.4.12.2.5**6.4.12.2.6**

	2019 6 30	2018 12 31
AAA	0.00	0.00
AAA	0.00	0.00
	1,939,600.00	0.00
	1,939,600.00	0.00

AA+

6.4.12.3**6.4.12.3.1****6.4.12.4****6.4.12.4.1****6.4.12.4.1.1**

2019	6	30	6	6	-1	1-5	5		

	11,822,787.17	-	-	-	-	11,822,787.17
	81,160.00	-	-	-	-	81,160.00
	3,602.99	-	-	-	-	3,602.99
	-9,264,206.00	2,144,100.00	-	-	81,395,997.74	92,804,303.74
	-	-	-	-	21,971,454.70	21,971,454.70
	-	-	-	-	89,396.88	89,396.88
	-	-	-	-	1,738.16	1,738.16
	-	-	-	-	-	-
	11,907,550.16	9,264,206.00	2,144,100.00	-	103,458,587.48	126,774,443.64
	-	-	-	-	3,697.31	3,697.31
	-	-	-	-	79,944.95	79,944.95
	-	-	-	-	8,974.79	8,974.79
	-	-	-	-	310,987.41	310,987.41
	-	-	-	-	23,877.62	23,877.62
	-	-	-	-	427,482.08	427,482.08
	11,907,550.16	9,264,206.00	2,144,100.00	-	103,031,105.40	126,346,961.56
2018 12 31	6	6	-1	1-5	5	
	42,325.57	-	-	-	-	42,325.57
	28,255.15	-	-	-	-	28,255.15
	3,992.12	-	-	-	-	3,992.12
	903,960.00	-	8,168,000.00	356,460.00	1,584,556.06	11,012,976.06
	2,000,000.00	-	-	-	-	2,000,000.00
	-	-	-	-	271,896.97	271,896.97
	-	-	-	-	936.36	936.36
	2,978,532.84	-	8,168,000.00	356,460.00	1,857,389.39	13,360,382.23
	-	-	-	-	36,325.79	36,325.79
	-	-	-	-	13,192.76	13,192.76
	-	-	-	-	2,748.51	2,748.51
	-	-	-	-	248,549.93	248,549.93
	-	-	-	-	139,000.00	139,000.00

	-	-	-	-	439,816.99	439,816.99
	2,978,532.84	-8,168,000.00	356,460.00	1,417,572.40	12,920,565.24	

-	81,395,997.74	64.42	1,584,556.06	12.26
	-	-	-	-
	11,408,306.00	9.03	9,428,420.00	72.97
	-	-	-	-
	-	-	-	-
	-	-	-	-
	92,804,303.74	73.45	11,012,976.06	85.24

6.4.12.4.3.2

	95%		

2019 6

30 630ÅVaRpan <</MCID 98 >>BDC /413_0 1

(iii)

(2)

§ 7

7.1

			%
1		81,395,997.74	64.21
		81,395,997.74	64.21
2		-	-
3		11,408,306.00	9.00
		11,408,306.00	9.00
		-	-
4		-	-
5		-	-
6		-	-
		-	-
7		11,903,947.17	9.39
8		22,066,192.73	17.41
9		126,774,443.64	100.00

7.2

7.2.1

			(%)
A		-	-
B		-	-
C		27,417,448.30	21.70
D		6,137,400.00	4.86
E		-	-
F		395,242.40	0.31
G		10,233,056.00	8.10
H		-	-
I		-	-
J		34,252,030.00	27.11
K		-	-
L		647,145.00	0.51
M		-	-
N		-	-

25	600519		398	391, 632. 00	0. 31
26	002304		2, 900	352, 524. 00	0. 28
27	000921		16, 700	208, 416. 00	0. 16
28	002092		10, 500	83, 580. 00	0. 07

7.4.**7.4.1****2% . 20 .**

1	601939		12, 266, 200. 00	94. 94
2	601328		12, 258, 000. 00	94. 87
3	601288		12, 243, 136. 00	94. 76
4	601988		11, 809, 653. 00	91. 40
5	600377		7, 540, 362. 00	58. 36
6	603866		6, 002, 740. 00	46. 46
7	600036			

26	000568		395, 113. 00	3. 06
27	000921		273, 845. 00	2. 12

7.4.2**2% 20 .**

1	601939		4, 553, 533. 00	35. 24
2	601328		4, 405, 310. 00	34. 10
3	601988		4, 235, 415. 00	32. 78
4	601288		4, 191, 684. 00	32. 44
5	600377		2, 706, 065. 00	20. 94
6	600036		1, 903, 394. 00	14. 73
7	000568		716, 308. 00	5. 54
8	000858		701, 653. 00	5. 43
9	601689		555, 973. 00	4. 30
10	603589		441, 875. 00	3. 42
11	601678		311, 127. 00	2. 41
12	600104		259, 132. 00	2. 01
13	600660		242, 858. 00	1. 88
14	600643		222, 867. 75	1. 72
15	601021		214, 380. 00	1. 66
16	601877		207, 835. 64	1. 61
17	600153		169, 456. 00	1. 31
18	002258		154, 000. 00	1. 19
19	600600		143, 790. 00	1. 11
20	002304		125, 400. 00	0. 97

7.4.3

	104, 203, 239. 84
	27, 056, 662. 39

7.5.

1		799,360.00	0.63
2		-	-
3		8,669,346.00	6.86
		8,669,346.00	6.86
4		-	-
5		-	-
6		-	-
7		-	-
8		1,939,600.00	1.54
9		-	-
10		11,408,306.00	9.03

7.6.

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7.10	
7.10.1	
7.10.2	
7.11	
7.11.1	
7.11.2	
7.11.3	
7.12	
7.12.1	
	2018 10 18	
	2018 46	2016
1	2017 6	
	34	
7.12.2	
	44	53

7.12.3

1		3, 602. 99
2		21, 971, 454. 70
3		-
4		89, 396. 88
5		1, 738. 16
6		-
7		-
8		-
9		22, 066, 192. 73

7.12.4**7.12.5****7.12.6**

§ 8

8.1

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636	158,991.21	94,004,125.40	92.96%	7,114,281.20	7.04%

§ 9

2014 1 89—6 8t	616,049,891.85
	11,855,527.47
	90,306,473.68
:	1,043,594.55
"_"	-
	101,118,406.60

§ 10

10.1

10.2

10.2.1

2019 3 2

10.2.2

10.3

10.4

10.5

10.6

10.7

10.7.1

	1	124,629,223.69	94.95%	66,216.54	92.32%	-

	1	6,630,678.54	5.05%	5,512.06	7.68%	-
	2	-	-	-	-	-
	1	-	-	-	-	-
	3	-	-	-	-	-
	1	-	-	-	-	-
	1	-	-	-	-	-
	2	-	-	-	-	-
	1	-	-	-	-	-

10.7.2

	13,705,405.50	67.75%	19,800,000.00	100.00%	-	-
	6,524,152.00	32.25%	-	-	-	-
	-	-	-	-	-	-
	-	-	-	-	-	-
	-	-	-	-	-	-
	-	-	-	-	-	-
	-	-	-	-	-	-
	-	-	-	-	-	-
	-	-	-	-	-	-

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1	2018 12 31		2019 1 1
2	()		2019 1 10
3	2018 4		2019 1 19
4			2019 3 1
5			2019 3 2
6	2019 1		2019 3 22
7			2019 3 28
8	2018		2019 3 29
9			2019 3 30
10			2019 4 8
11			2019 4 15
12	2019 1		2019 4 22

13			2019 4 26
14			2019 5 7
15			2019 5 22
16			2019 5 30
17			2019 5 30
18			2019 5 30
19			2019 6 13
20			2019 6 22
21			2019 6 26
22			2019 6 27

§ 11

11.1

20%

		20%					
1	2019 1 1 2019 6 3	4,569,469.84	0.00	0.00	4,569,469.84	4.52%	
2	2019 6 4 2019 6 9	0.00	18,217,004.05	0.00	18,217,004.05	18.02%	
-	-	-	-	-	-	-	
1							
2							
3							

11.2

§ 12

12.1

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