

2019

2019 6 30

2019 8 27

§ 1

2019 8 23

§ 2

2.1

	519955	
	2015 9 2	
	53, 154, 116. 74	
	A	C
	005069	519955
	32, 849, 980. 82	20, 304, 135. 92

2.2

	1
	1
	2
	3
	4
	5
	6
	7
	2
	7%

2.3

		021-61009999	010-58560666
		zhouyg@cxfund.com.cn	tgbfxjdzx@cmbc.com.cn
		4007005566	95568
		021-61009800	010-58560798

2.4

	www.cxfund.com.cn
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68 99 z

§ 3

3.1

	A	C
3.1.1	(2019 1 1 - 2019 6 30)	(2019 1 1 - 2019 6 30)
	1,014,438.33	583,991.57
	843,216.32	478,267.80
	0.0257	0.0236
	2.51%	2.31%
3.1.2	(2019 6 30)	
	0.0398	0.0350
	34,508,927.18	21,258,265.60
	1.0505	1.0470

1

2

3.2

3.2.1

A

	0.47%	0.03%	0.58%	0.02%	-0.11%	0.01%
	0.77%	0.03%	1.76%	0.02%	-0.99%	0.01%
	2.51%	0.06%	3.53%	0.02%	-1.02%	0.04%

	0.66%	0.03%	1.76%	0.02%	-1.10%	0.01%
	2.31%	0.06%	3.53%	0.02%	-1.22%	0.04%
	4.72%	0.12%	7.25%	0.02%	-2.53%	0.10%

	C	A							
2		C	2015	9	2	2019	6	30	
	A	2017	8	16		2019	6	30	
3					6				

§ 4

4.1

4.1.1

2003 63

1.65

44.55%

31.21%

15.15%

4.55%

4.54%

2019 6 30

65

100

(LOF)

(LOF)

LOF

500

FOF
300

4.1.2

		2017 6 9	-	8	2016 5

	(LOF)	2016 12 13	-	25	EMBA 2004 9 (LOF)
--	-------	---------------	---	----	---------------------------------

1

/

2

4.2

3

4

A

C

5

4.8

§ 5

5.1

5.2

5.3

§ 6

6.1

“ ~

	2,677.81	-8,274.12
	-	-
	-	-
	56,249.67	129,000.00
	47,847,086.74	37,195,859.93
	53,154,116.74	53,154,116.74
	2,613,076.04	1,291,591.92
	55,767,192.78	54,445,708.66
	103,614,279.52	91,641,568.59

2019 6 30

A

1.0505

C 1.0470

53,154,116.74

A 32,849,980.82

C 20,304,135.92

6.2

	635,931.18	2,343,652.94
1	192,021.33	513,055.54
2	54,863.25	146,587.29
3	41,853.06	197,824.59
4	6,787.52	5,275.24
5	269,828.28	1,370,472.75
	269,828.28	1,370,472.75
6	2,693.98	15,535.34
7	67,883.76	94,902.19
“ - ”	1,321,484.12	2,052,913.12
	-	-
“ - ”	1,321,484.12	2,052,913.12

6.3

2019 1 1 2019 6 30

	2019 1 1 2019 6 30		
	53,154,116.74	1,291,591.92	54,445,708.66
	-	1,321,484.12	1,321,484.12
“ - ”	-	-	-
1.	-	-	-
2.	-	-	-
	-	-	-
“ - ”	53,154,116.74	2,613,076.04	55,767,192.78

	2018 1 1 2018 6 30		
	146,128,666.28	-223,241.53	145,905,424.75
	-	2,052,913.12	2,052,913.12
“ - ”	-	-	-
1.	-	-	-
2.	-	-	-
“ - ”	-	-	-
	146,128,666.28	1,829,671.59	147,958,337.87

6.1 6.4

6.4

()
2015 9 2

1501219

2017 8 14

A

2017 8 16

A

(“ A”)

A

C (“

C”) C

0.40%

()

80%

()

5%

7%

6.4.2

6.4.4

6.4.4.1

6.4.4.2

6.4.5

[2008]1
[2016]36 [2016]140
[2017]56
[2017]90

1) ()

2018 1 1

3%

2)

3)

20%

6.4.6

6.4.6.1

6.4.6.2

“	”
“	”

6.4.7**6.4.7.1****6.4.7.1.1****6.4.7.1.2**

	2019 1 1		2019 6 30		2018 1 1		2018 6 30	
	96,620,602.16	78.75%	106,093,236.73	82.14%				

6.4.7.1.3

	2019 1 1		2019 6 30		2018 1 1		2018 6 30	
	1,968,600,000.00	97.93%	2,850,400,000.00	87.07%				

6.4.7.1.4**6.4.7.1.5****6.4.7.2****6.4.7.2.1**

	2019 1 1 30	2019 6	2018 1 1	2018 6 30
		192,021.33		513,055.54
		33,783.38		45,557.66

0.70%

= $\times 0.70\%$ **6.4.7.2.2**

	2019 1 1 30	2019 6	2018 1 1	2018 6 30
		54,863.25		146,587.29

0.20%

= $\times 0.20\%$ **6.4.7.2.3**

	2019 1 1 2019 6 30		
	A	C	
	-	3,764.24	3,764.24
	-	15,674.27	15,674.27
	-	19,438.51	19,438.51
	2018 1 1 2018 6 30		
	A	C	
	-	143,621.70	143,621.70
	-	945.13	945.13

	-	144,566.83	144,566.83
--	---	------------	------------

A

C

×0.40%

C

=

C

×0.40%÷

6.4.7.3

()

()

6.4.7.4**6.4.7.4.1****6.4.7.4.2****6.4.7.5**

	2019 1 1		2019 6 30		2018 1 1		2018 6 30	
		3,249,268.37		6,379.95		1,223,100.95		10,248.86

2019 6 30

“ ”

2018 12 31

6.4.7.6**6.4.7.7****6.4.8 2019 6 30****6.4.8.1 /**

/

6.4.8.2**6.4.8.3****6.4.8.3.1**

2019 6 30

4,031,873.95

180203	18 03	2019 7 1	102.60	42,000	4,309,200.00
				42,000	4,309,200.00

6.4.8.3.2

2019 6 30

43,700,000.00 2019 7 1

/

§ 7

7.1

			%
1		-	-
		-	-
2 § a κ		-	-
3		94, 792, 077. 00	91. 49
		94, 792, 077. 00	91. 49
		-	-
4		-	-
5		-	-
6		-	-
		-	-
7		4, 866, 919. 58	4. 70

7.4.3**7.5**

1		1,700,547.00	3.05
2		-	-
3		13,930,200.00	24.98
		8,865,900.00	15.90
4		79,161,330.00	141.95
5		-	-
6		-	-
7		-	-
8		-	-
9		-	-
10		94,792,077.00	169.98

7.6

1	143528	18	G1	50,000	5,141,500.00	9.22
2	180203	18	03	50,000	5,130,000.00	9.20
3	143582	18	01	50,000	5,095,500.00	9.14
4	143087	17	01	50,000	5,077,500.00	9.10
5	143652	18	G3	50,000	5,077,000.00	9.10

7.7**7.8**

7.9

7.10

7.10.1

7.10.2

7.10.3

7.11

7.11.1

2019 4 19

2019 28

7.11.2

7.11.3

1		9,480.87
2		2,333,310.72
3		-
4		1,612,491.35
5		-
6		-
7		-
8		-
9		3,955,282.94

7.11.4**7.11.5****7.11.6**

§ 8

8.1

	()					
A	15	2,189,998.72	16,222,875.68	49.38%	16,627,105.14	50.62%
C	273	74,374.12	0.00	0.00%	20,304,135.92	100.00%
	288	184,562.91	16,222,875.68	30.52%	36,931,241.06	69.48%

8.2

	A	0.00	0.00%
	C	10.00	0.00%
		10.00	0.00%

8.3

	A	0
	C	0
		0
	A	0
	C	0
		0

§ 9

	A	C
2015 9 2	-	516,038,428.69
	32,849,980.82	20,304,135.92
	-	-
:	-	-
"_"	-	-
	32,849,980.82	20,304,135.92

§ 10
10.1**10.2****10.2.1**

2019 3 2

10.2.2**10.3****10.4****10.5****10.6****10.7****10.7.1**

	1	-	-	-	-	-

	1	-	-	-	-	-
	2	-	-	-	-	-
	1	-	-	-	-	-
	1	-	-	-	-	-
	1	-	-	-	-	-
	1	-	-	-	-	-
	1	-	-	-	-	-
	1	-	-	-	-	-
	1	-	-	-	-	-
	1	-	-	-	-	-
	1	-	-	-	-	-
	1	-	-	-	-	-
	1	-	-	-	-	-
	1	-	-	-	-	-
	1	-	-	-	-	-
	1	-	-	-	-	-
	2	-	-	-	-	-
	2	-	-	-	-	-
	1	-	-	-	-	-
	2	-	-	-	-	-

10.7.2

	26,067,546.46	21.25%	41,600,000.00	2.07%	-	-
	-	-	-	-	-	-
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	-	-	-	-	-	-
	96,620,602.16	78.75%	1,968,600,000.00	97.93%	-	-

1

1 () 1

2

1998 29

2007 48

1

1

2

3

4

2

§ 11

11.1

20%

		20%						
	1	2019 1 1 2019 6 30	16, 222, 875. 68	0. 00	0. 00	16, 222, 875. 68	30. 52%	
	1	2019 1 1 2019 6 30	13, 684, 618. 26	0. 00	0. 00	13, 684, 618. 26	25. 75%	
1								
2								
3								

11.2

2019 8 27