

2019

2019 6 30

2019 8 27

§ 1

2019 8 23

2019 1 1 2019 6 30

§1 **2**

§2 **5**

 2.1 5

 2.2 5

 2.3 5

 2.4 5

§3 **6**

 3.1 6

 3.2 6

§4 **8**

 4.1 8

 4.2 10

 4.3 11

 4.4 11

 4.5 11

4.6	12
4.7	12
4.8	13
§5	14
5.1	14
5.2	14
5.3	14
§6	15
6.1	15
6.2	16
6.3	17
6.4	18
§7	24
7.1	24
7.2	24
7.3	25
7.4	25
7.5	27
7.6	27
7.7	27
7.8	27
7.9	27
7.10	27
7.11	28
7.12	28
§8	29
8.1	29
8.2	29
8.3	29
§9	30

§10 **31**

10.1 31

10.2 31

10.3 31

10.4 31

10.5 31

10.6 31

10.7 31

§11 **34**

11.1 20% 34

11.2 34

§ 2

2.1

	005399
	005399
	2018 8 9
	3, 594, 356. 33

2.2

	500 *75% + *25%

2.3

	021-61009999	010-58560666
	zhouyg@cxfund. com. cn	tgbfxj dzx@cmbc. com. cn
	4007005566	95568
	021-61009800	010-58560798

2.4

	www. cxfund. com. cn
	68 9
	2

§ 3

3.1

3.1.1	(2019 1 1 - 2019 6 30)
	3,666,019.39
	4,490,127.17
	0.3896
	20.13%
3.1.2	(2019 6 30)
	0.1441
	4,112,410.67
	1.1441

1

2

3.2

3.2.1

	0.53%	1.27%	0.69%	1.04%	-0.16%	0.23%
	-6.31%	1.52%	-8.06%	1.35%	1.75%	0.17%
	20.13%	1.49%	14.26%	1.34%	5.87%	0.15%
	14.41%	1.20%	2.13%	1.28%	12.28%	-0.08%

§ 4

4.1

4.1.1

2003 63

1.65

44.55%

31.21%

15.15%

4.55%

4.54%

2019 6 30

65

100

(LOF)

(LOF)

LOF

500

FOF

300

4.1.2

	LOF				2010 7
					100 LOF
		2018 8 9	-	9	LOF LOF

	300				LOF
					300

Ö

/

2

4.2

4.3

4.3.1

4.3.2

5%

4.4

4.6

1/2

4.7

1

3

2

3

4

§ 5

§ 6

6.1

2019 6 30

	2019 6 30	2018 12 31
	358,693.24	3,885,851.36
	22,512.92	248,924.97
	22,387.74	15,422.91
	3,750,593.00	23,624,608.26
	3,750,593.00	23,624,608.26
	-	-
	-	-
	-	-
	-	-
	-	-
	-	-
	-	-
	81.03	1,608.20
	-	-
	-	-
	-	-
	-	-
	4,154,267.93	27,776,415.70
	2019 6 30	2018 12 31
	-	-
	-	-
	-	-
	-	-
	1,073.05	-
	-	23,499.09
	5,047.80	36,168.92
	841.27	6,028.11
	-	-
	20,018.75	116,346.97
	-	-

		-	-
		-	-
		-	-
		14, 876. 39	130, 059. 05
		41, 857. 26	312, 102. 14
		3, 594, 356. 33	28, 835, 674. 30
		518, 054. 34	-1, 371, 360. 74
		4, 112, 410. 67	27, 464, 313. 56
		4, 154, 267. 93	27, 776, 415. 70
1	2019 6 30	1. 1441	3, 594, 356. 33

2 2018 8 9

6.2

2019 1 1 2019 6 30

	2019 1 1	2019 6 30
		4, 816, 157. 32
1.		7, 377. 64
		6, 119. 12
		1, 258. 52
		-
		-
		-
2.	-	3, 942, 093. 89
		3, 899, 434. 85
		-
		2, 509. 36
		-
		-
		-
		40, 149. 68
3.	-	824, 107. 78
4.	-	-

5.	-	42,578.01
		326,030.15
1		92,996.83
2		15,499.45
3		-
4		192,551.52
5		-
		-
6		-
7		24,982.35
	-	4,490,127.17
		-
	-	4,490,127.17

2018 8 9

6.3

2019 1 1 2019 6 30

	2019 1 1 2019 6 30		
	28,835,674.30	-1,371,360.74	27,464,313.56
	-	4,490,127.17	4,490,127.17
-	-25,241,317.97	-2,600,712.09	-27,842,030.06
1.	1,358,117.92	271,464.60	1,629,582.52
2.	-26,599,435.89	-2,872,176.69	-29,471,612.58
	-	-	-

-			
	3,594,356.33	518,054.34	4,112,410.67

2018 8 9

6.1 6.4

6.4**6.4.1**

(

(

(

60-95%

0%-3%

5% ()

500 × 75% + × 25%

6.4.2

(

6.4.3

2019 6 30

2019 1 1

2019 6 30

6.4.4

6.4.4.1

6.4.4.2

6.4.5

[2005]103

[2008]1

2008

9 18

[2012]85

[2014]48

[2015]101

[2016]36

[2016]140

[2017]56

[2017]90

1) ()

2018 1 1

3%

2)

3)

1

(1)

1

1 (1)

50%

1

20%

4)

20%

5)

A

0.10%

()

6.4.6

6.4.6.1

6.4.6.2

(
(
(
(

6.4.7**6.4.7.1****6.4.7.1.1**

	2019 1 1		2019 6 30	
		55,517,730.00		46.82%

6.4.7.1.2**6.4.7.1.3****6.4.7.1.4****6.4.7.1.5**

	2019 1 1		2019 6 30	
	51,702.39	46.82%	9,948.31	49.69%

6.4.7.2**6.4.7.2.1**

	2019 1 1		2019 6 30	
				92,996.83
				35,869.91

1 2018 8 9

2

× 1.50%

=

× 1.50%÷

6.4.7.2.2

	2019 1 1	2019 6 30
		15,499.45

1 2018 8 9

2 × 0.25%

= × 0.25%÷

6.4.7.3

()

()

6.4.7.4

6.4.7.4.1

6.4.7.4.2

	2019 6 30		2018 12 31	
	1,976,190.48	54.98%	2,976,190.48	10.32%

6.4.7.5

	2019 1 1	2019 6 30
	358,693.24	4,867.25

1	2018	8	9		
2					
	2019	6	30	22,512.92	2018 12
31	248,924.97				

6.4.7.6**6.4.7.7****6.4.8 2019 6 30****6.4.8.1 /**

/

6.4.8.2**6.4.8.3****6.4.8.3.1****6.4.8.3.2**

§ 7

7.1

			%
1		3,750,593.00	90.28
		3,750,593.00	90.28
2		-	-
3		-	-
		-	-
4		-	-
5		-	-
6		-	-
		-	-
7		381,206.16	9.18
8		22,468.77	0.54
9		4,154,267.93	100.00

7.2

7.2.1

			(%)
A		76,310.00	1.86
B		143,706.00	3.49
C		2,141,553.60	52.08
D		157,700.00	3.83
E		94,164.00	2.29
F		486,506.40	11.83
G		54,000.00	1.31
H		-	-
I		176,082.00	4.28
J		44,198.00	1.07
K		99,868.00	2.43
L		-	-
M		24,240.00	0.59
N		-	-

O		-	-
P		-	-
Q		-	-
R		205,950.00	5.01
S		46,315.00	1.13
		3,750,593.00	91.20

7.2.2**7.3**

1	300200		8,300	78,435.00	1.91
2	603869		4,300	78,260.00	1.90
3	603328		6,400	65,728.00	1.60
4	600723		8,800	60,368.00	1.47
5	002014		7,200	58,680.00	1.43
6	000630		23,800	58,548.00	1.42
7	002111		4,600	57,730.00	1.40
8	603118		6,200	57,412.00	1.40
9	603979		6,800	57,256.00	1.39
10	300088		11,300	57,178.00	1.39

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7.4**7.4.1**

2% 20

1	603328		674,652.98	2.46
2	300127		552,961.00	2.01
3	603979		544,166.35	1.98
4	300218		532,935.00	1.94
5	000620		501,223.00	1.82
6	002540		490,324.00	1.79

7	600361		476,237.00	1.73
8	601231		470,498.00	1.71
9	002062		448,289.40	1.63
10	603766		440,640.50	1.60
11	600389		439,706.00	1.60
12	300172		438,075.00	1.60
13	002060		434,963.00	1.58
14	601996		428,044.00	1.56
15	002616		427,575.00	1.56
16	002749		422,949.00	1.54
17	002595		419,757.00	1.53
18	002014		406,445.00	1.48
19	600633		401,116.00	1.46
20	601717		399,522.00	1.45

7.4.2**2% 20**

1	600361		832,528.65	3.03
2	002734		821,971.00	2.99
3	000719		780,931.57	2.84
4	603328		765,475.94	2.79
5	601717		765,108.74	2.79
6	002595		724,459.49	2.64
7	601588		704,474.00	2.57
8	002391		700,856.44	2.55
9	600999		694,389.96	2.53
10	600143		686,124.69	2.50
11	601231		613,160.00	2.23
12	300127		587,618.00	2.14
13	002171		577,024.97	2.10
14	300218		576,260.00	2.10
15	002225		570,480.77	2.08
16	603979		552,087.22	2.01
17	601515		546,806.00	1.99
18	300403		541,753.85	1.97

19	601677		541,416.49	1.97
20	600710		538,768.00	1.96

7.4.3

				46,986,711.97
				71,584,269.86

7.5**7.6****7.7****7.8****7.9****7.10****7.10.1****7.10.2**

7.11**7.11.1****7.11.2****7.11.3****7.12****7.12.1****7.12.2****7.12.3**

1		22,387.74
2		-
3		-
4		81.03
5		-
6		-
7		-
8		-
9		22,468.77

7.12.4**7.12.5****7.12.6**

§ 8

8.1

()					
313	11,483.57	1,976,190.48	54.98%	1,618,165.85	45.02%

8.2

	0.00	0.00%

8.3

	0
	0

§ 9

2018年8月9日	200,836,986.41
	28,835,674.30
	1,358,117.92
:	26,599,435.89
"_"	-
	3,594,356.33

§ 10

10.1

10.2

10.2.1

2019 3 2

10.2.2

10.3

10.4

10.5

10.6

10.7

10.7.1

	1	63,053,251.83	53.18%	58,722.72	53.18%	-

	2	55,517,730.00	46.82%	51,702.39	46.82%	-
	1	-	-	-	-	-
	1	-	-	-	-	-
	1	-	-	-	-	-
	1	-	-	-	-	-
	1	-	-	-	-	-
	1	-	-	-	-	-
	1	-	-	-	-	-
	1	-	-	-	-	-
	1	-	-	-	-	-
	1	-	-	-	-	-
	1	-	-	-	-	-
	1	-	-	-	-	-
	2	-	-	-	-	-
	2	-	-	-	-	-
	1	-	-	-	-	-
	1	-	-	-	-	-
	2	-	-	-	-	-
	1	-	-	-	-	-

10.7.2

	933,395.44	100.00%	-	-	-	-
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1998 29

2007 48

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§ 11

11.1

20%

		20%					
	1	2019 27 2019 30	2 6	2,976,190.48	0.00	1,000,000.00	1,976,190.48 54.98%
	2	2019 9 2019 21	4 4	0.00	949,319.54	949,319.54	0.00 0.00%
	1	2019 22 2019 25	2 2	4,999,225.00	0.00	4,999,225.00	0.00 0.00%
	2	2019 22 2019 26	2 2	4,999,225.00	0.00	4,999,225.00	0.00 0.00%
1							
2							
3							

11.2

2019 8 27