

2019 4

2019 12 31

2020 1 17

§1

2020 1 15

2019 10 1      2019 12 31

§2

	519967
	519967
	2015 5 6
	109,843,242.32
	1
	2
	3
	1
	" "

	2
	4
	( )+ 1.5%

### §3

#### 3.1

	2019 10 1	2019 12 31
1.		2,130,111.79
2.		8,326,591.75
3.		0.0741
4.		121,399,583.05
5.		1.1052

1

2



		23			7	2018
		2019 8 23	-	4	2017 5	

---

--	--	--	--	--	--

1

2

**4.2**

**4.3**

**4.3.1**

**4.3.2**

5%

**4.4**

**4.4.1**

2019

5%

10.4%

9

MLF +OMO

#### 4.4.2 2020

2020

#### 4.5

1.1052

1.1052

7.28%

1.08%

7

14

## 4.6

## §5

## 5.1

			%
1		23,852,465.00	15.45
		23,852,465.00	15.45
2		-	-
3		125,381,083.67	81.22
		125,381,083.67	81.22
		-	-
4		-	-
5		-	-
6		-	-
		-	-
7		2,921,222.44	1.89
8		2,218,247.20	1.44
9		154,373,018.31	100.00

## 5.2

## 5.2.1

			(%)
A		-	-
B		-	-
C		14,973,681.00	12.33
D		-	-
E		-	-
F		-	-
G		430,122.00	0.35
H		-	-
I		2,566,575.00	2.11

J		3,990,124.00	3.29
K		952,650.00	0.78
L		473,256.00	0.39
M		-	-
N		-	-
O		-	-
P		-	-
Q		466,057.00	0.38
R		-	-
S		-	-
		23,852,465.00	19.65

## 5.2.2

## 5.3

1	601601		35,200	1,331,968.00	1.10
2	000596		7,100	965,032.00	0.79
3	600383		65,700	952,650.00	0.78
4	002311		20,300	730,800.00	0.60
5	000786		28,600	727,870.00	0.60
6	002777		19,600	713,440.00	0.59
7	000425		130,000	711,100.00	0.59
8	601318		8,200	700,772.00	0.58
9	300037		18,900	686,826.00	0.57
10	600486		10,000	686,300.00	0.57

## 5.4

1		15,031,013.20	12.38
2		-	-
3		21,435,247.50	17.66
		21,435,247.50	17.66
4		10,625,545.00	8.75
5		-	-
6		-	-
7		78,289,277.97	64.49

8		-	-
9		-	-
10		125,381,083.67	103.28

**5.5**

1	018006	1702	203,000	20,817,650.00	17.15
2	019611	19 01	150,220	15,031,013.20	12.38
3	143496	16	100,000	10,235,000.00	8.43
4	110059		28,620	3,126,448.80	2.58
5	127012		22,190	2,542,752.10	2.09

**5.6****5.7****5.8****5.9****5.9.1****5.9.2****5.9.3****5.10****5.10.1**

**5.10.2****5.10.3**

1		30,946.79
2		711,396.16
3		-
4		1,369,856.50
5		106,047.75
6		-
7		-
8		-
9		2,218,247.20

**5.10.4**

			( )	
1	127012		2,542,752.10	2.09
2	110053		2,374,799.70	1.96
3	113011		2,346,101.20	1.93
4	113518		2,326,016.00	1.92
5	128035		2,156,623.00	1.78
6	113025		2,051,444.40	1.69
7	128061		1,995,375.75	1.64
8	110054		1,951,991.50	1.61
9	113531		1,940,484.00	1.60
10	128021		1,891,576.40	1.56
11	128029		1,854,087.80	1.53
12	110048		1,818,600.00	1.50
13	113020		1,803,417.50	1.49
14	128058		1,794,034.40	1.48
15	128067		1,738,363.95	1.43
16	128019	2	1,731,495.20	1.43
17	127013		1,569,442.90	1.29
18	110041		1,569,159.00	1.29
19	110050		1,502,658.20	1.24

20	123009		1,490,040.00	1.23
21	123028		1,448,428.50	1.19
22	123025		1,393,340.72	1.15
23	113520		1,282,904.70	1.06
24	110042		1,193,400.00	0.98
25	123016		1,154,787.40	0.95
26	113511		1,064,623.30	0.88
27	123017		822,085.85	0.68
28	123020		667,830.80	0.55
29	128020		566,239.20	0.47
30	113013		400,761.20	0.33
31	113522		362,004.00	0.30
32	128068		283,620.00	0.23

**5.10.5****5.10.1****§6**

	115,110,484.65
	518,608.06
:	5,785,850.39
"_"	-
	109,843,242.32

**§7****7.1**

7.2

**§8**

**8.1**

**20%**

		20%					
	1	2019 10 1 2019 12 31	76,117,540.50	0.00	0.00	76,117,540.50	69.30%
	-	-	-	-	-	-	-
1							
2							
3							

**8.2**

## §9

### 9.1

1

2

3

4

5

6

### 9.2

### 9.3

<http://www.cxfund.com.cn>

2020 1 17