

2020 1

2020 3 31

2020 4 22

§1

2020 4 20

2020 1 1 2020 3 31

§2

	519999
	2004 3 19
	21,494,106,321.15
	1
	2
	3
	4

	A	B
	A	B
	519999	519998
	20,585,502,373.90	908,603,947.25

### §3

#### 3.1

	2020 1 1	2020 3 31
	A	B
1.	112,155,241.33	6,094,551.25
2	112,155,241.33	6,094,551.25
3	20,585,502,373.90	908,603,947.25

1

2

#### 3.2

##### 3.2.1

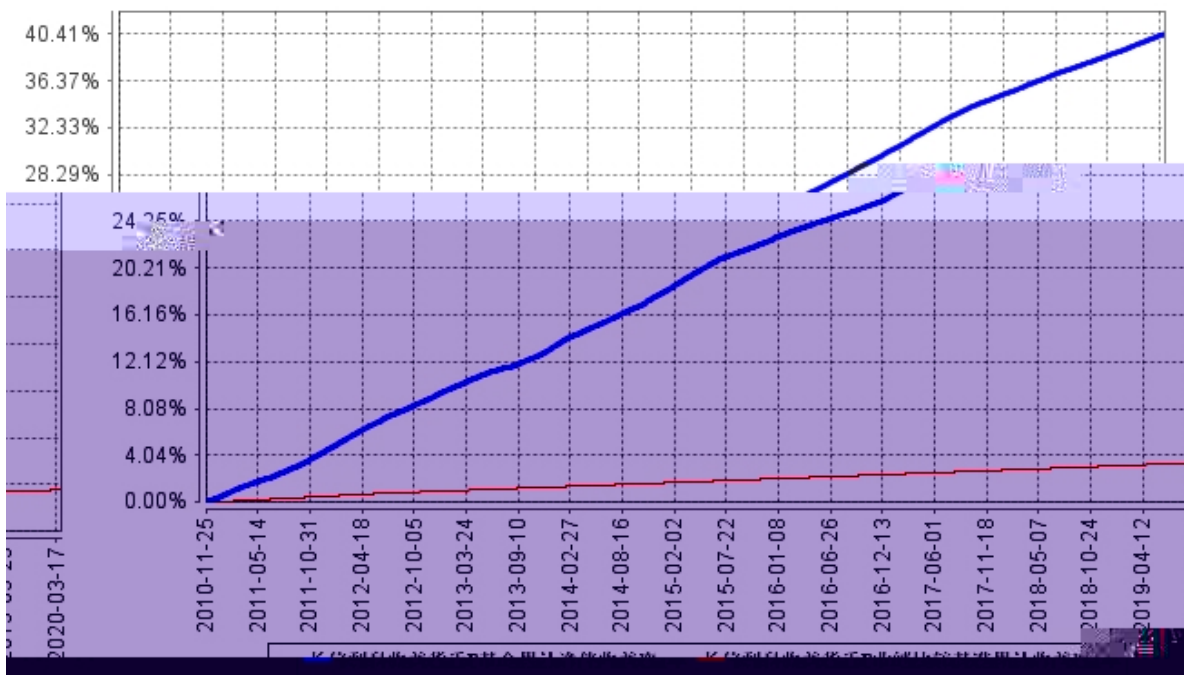
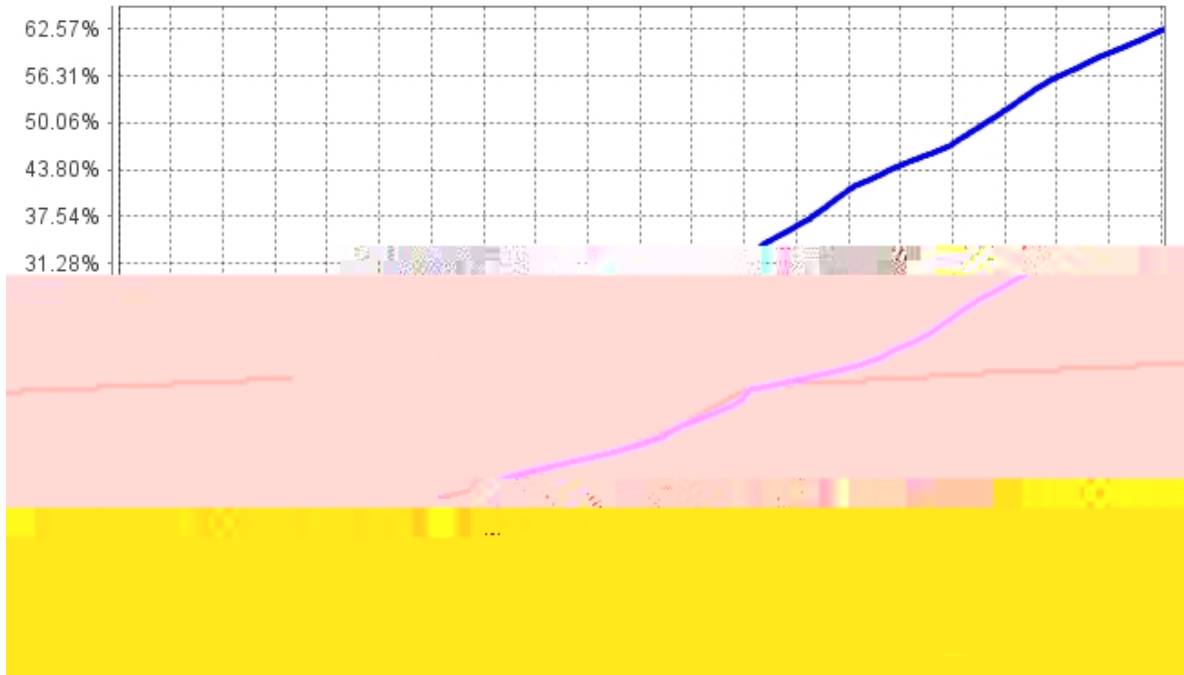
A

	0.6070%	0.0015%	0.0885%	0.0000%	0.5185%	0.0015%

B

	0.6669%	0.0015%	0.0885%	0.0000%	0.5784%	0.0015%

3.2.2



1 A 2004 3 19 2020 3 31  
 B 2010 11 25 ( 2020 3 31

2

3

## §4

## 4.1

		2016 12 30	-	10	2010 7
		2019 11 29	-	4	2018

---

					10
--	--	--	--	--	----

1

2

**4.2**

**4.3**

**4.3.1**

**4.3.2**

5%

**4.4**

2020

**4.5**

	2020	3	31	A	0.6070%
B				0.0885%	

**4.6****§5****5.1**

			%
1		12,866,465,768.82	56.91
		12,526,465,768.82	55.41
		340,000,000.00	1.50
2		2,443,953,065.92	10.81
		-	-
3		7,203,999,926.63	31.86
4		94,069,168.22	0.42
5		22,608,487,929.59	100.00

**5.2**

1		-	7.17
---	--	---	------

		-	-
2		1,100,056,729.97	5.12
		-	-

20%

20%

**5.3****5.3.1**

		93
		104
		82

120

120

**5.3.2**

		%	%
1	30	28.83	5.12
	397	-	-
2	30 ( )-60	22.40	-
	397	-	-
3	60 ( )-90	17.43	-
	397	-	-
4	90 ( )-120	8.37	-
	397	-	-
5	120 ( )-397	27.71	-
	397	-	-
		104.75	5.12

## 5.4

240

240

## 5.5

			%
1		250,584,629.89	1.17
2		-	-
3		901,031,500.42	4.19
		901,031,500.42	4.19
4		-	-
5		-	-
6		-	-
7		11,374,849,638.51	52.92
8		-	-
9		12,526,465,768.82	58.28
10	397	-	-

## 5.6

				( )		
1	112091167	20	CD017	5,000,000	498,678,641.70	2.32
2	111974774	19	CD123	5,000,000	497,553,683.10	2.31
3	112094281	20	CD027	5,000,000	494,951,505.78	2.30
4	112021119	20	CD119	5,000,000	494,829,462.96	2.30
5	112009030	20	CD030	5,000,000	488,038,559.77	2.27
6	112014017	20	CD017	5,000,000	487,962,060.25	2.27
7	112092017	20	CD016	4,000,000	390,302,358.45	1.82
8	112090589	20	CD009	3,000,000	299,576,898.19	1.39
9	112090609	20	CD001	3,000,000	299,558,259.84	1.39
10	112016026	20	CD026	3,000,000	299,207,369.56	1.39

## 5.7 “

” “

”

0.25( )-0.5%	0
	0.1598%
	0.0368%
	0.0907%

0.25%

0.25%

0.5%

0.5%

**5.8**

				( )	(%)
1	159909	19 02A1	1,700,000.00	170,000,000.00	0.79
2	138029	5A	800,000.00	80,000,000.00	0.37
3	159915	19 01A1	500,000.00	50,000,000.00	0.23
4	138042	02A	400,000.00	40,000,000.00	0.19

**5.9****5.9.1****5.9.2**

10 25

2019

2019 54

65

2019 12 5

2019 67

40

2019 6 28

2019 62

30

10 15

2019 6 28

2019 59

270

2019 7

8

2019

48

2017 1

20

**5.9.3**

1		324,187.02
2		-
3		93,531,026.64
4		213,954.56
5		-
6		-
7		-
8		94,069,168.22

**5.9.4****§6**

	A	B
	16,769,221,257.79	948,717,586.28
	79,247,342,190.53	16,093,222.26
	75,431,061,074.42	56,206,861.29
	20,585,502,373.90	908,603,947.25

## §7

1		2020 1 2	38,234.84	38,234.84	0.00%
2		2020 1 3	18,435.28	18,435.28	0.00%
3		2020 1 6	55,712.53	55,712.53	0.00%
4		2020 1 7	25,539.43	25,539.43	0.00%
5		2020 1 8	22,871.09	22,871.09	0.00%
6		2020 1 9	19,483.14	19,483.14	0.00%
7		2020 1 10	42,281.72	42,281.72	0.00%
8		2020 1 13	67,678.70	67,678.70	0.00%
9		2020 1 14	27,422.62	27,422.62	0.00%
10		2020 1 15	20,781.66	20,781.66	0.00%
11		2020 1 16	20,663.99	20,663.99	0.00%
12		2020 1 17	28,933.96	28,933.96	0.00%
13		2020 1 20	71,883.16	71,883.16	0.00%
14		2020 1 21	22,157.67	22,157.67	0.00%
15		2020 1 22	21,197.14	21,197.14	0.00%
16		2020 1 23	21,856.66	21,856.66	0.00%
17		2020 2 3	236,212.88	236,212.88	0.00%
18		2020 2 4	21,031.56	21,031.56	0.00%
19		2020 2 5	21,014.87	21,014.87	0.00%
20		2020 2 6	21,180.20	21,180.20	0.00%
21		2020 2 7	21,213.76	21,213.76	0.00%
22		2020 2 10	61,522.33	61,522.33	0.00%

23		2020 2 11	20,411.60	20,411.60	0.00%
24		2020 2 12	20,565.15	20,565.15	0.00%
25		2020 2 13	21,210.15	21,210.15	0.00%
26		2020 2 14	21,222.91	21,222.91	0.00%
27		2020 2 17	61,009.04	61,009.04	0.00%
28		2020 2 18	20,246.13	20,246.13	0.00%
29		2020 2 19	20,553.51	20,553.51	0.00%
30		2020 2 20	20,309.01	20,309.01	0.00%
31		2020 2 21	20,458.63	20,458.63	0.00%
32		2020 2 24	61,132.26	61,132.26	0.00%
33		2020 2 25	24,898.88	24,898.88	0.00%
34		2020 2 26	19,906.56	19,906.56	0.00%
35		2020 2 27	20,088.58	20,088.58	0.00%
36		2020 2 28	20,215.91	20,215.91	0.00%
37		2020 3 2	59,961.20	59,961.20	0.00%
38		2020 3 3	19,775.13	19,775.13	0.00%
39		2020 3 4	19,844.33	19,844.33	0.00%
40		2020 3 5	20,071.02	20,071.02	0.00%
41		2020 3 6	19,959.31	19,959.31	0.00%
42		2020 3 9	59,973.55	59,973.55	0.00%
43		2020 3 10	52,743.35	52,743.35	0.00%
44		2020 3 11	20,445.60	20,445.60	0.00%
45		2020 3 12	20,886.63	20,886.63	0.00%
46		2020 3 13	20,288.53	20,288.53	0.00%
47		2020 3 16	60,669.34	60,669.34	0.00%

48		2020 3 17	20,086.44	20,086.44	0.00%
49		2020 3 18	19,594.32	19,594.32	0.00%
50		2020 3 19	19,517.53	19,517.53	0.00%
51		2020 3 20	19,277.09	19,277.09	0.00%
52		2020 3 23	58,304.34	58,304.34	0.00%
53		2020 3 24	19,369.98	19,369.98	0.00%
54		2020 3 25	19,408.45	19,408.45	0.00%
55		2020 3 26	19,194.93	19,194.93	0.00%
56		2020 3 27	19,355.26	19,355.26	0.00%
57		2020 3 30	57,317.54	57,317.54	0.00%
58		2020 3 31	18,937.06	18,937.06	0.00%
			1,944,518.44	1,944,518.44	

## §8

**8.1**

**20%**

20%

**8.2**

## §9

### 9.1

- 1
- 2
- 3
- 4
- 5
- 6

### 9.2

### 9.3

<http://www.cxfund.com.cn>

2020 4 22