

2020 1

2020 3 31

2020 4 22

§1

2020 4 20

2020 1 1 2020 3 31

§2

| | |
|--|---|
| | |
| | 519977 |
| | |
| | 2012 3 30 |
| | 3,812,552,209.94 |
| | |
| | |
| | <div style="display: flex; justify-content: space-between; align-items: center;"> × 20%+ 300 × 70%+ *10% </div> |
| | |
| | |
| | A |
| | C |

| | | |
|--|------------------|------------------|
| | CX A | CX C |
| | 519977 | 519976 |
| | 1,755,783,169.27 | 2,056,769,040.67 |

§3

3.1

| | 2020 1 1 | 2020 3 31 |
|---|------------------|------------------|
| | A | C |
| 1 | 79,936,105.83 | 74,806,219.42 |
| 2 | -14,877,265.08 | -6,411,205.17 |
| 3 | -0.0084 | -0.0037 |
| 4 | 2,525,477,521.96 | 2,872,659,439.64 |
| 5 | 1.4384 | 1.3967 |

1

2

3.2

3.2.1

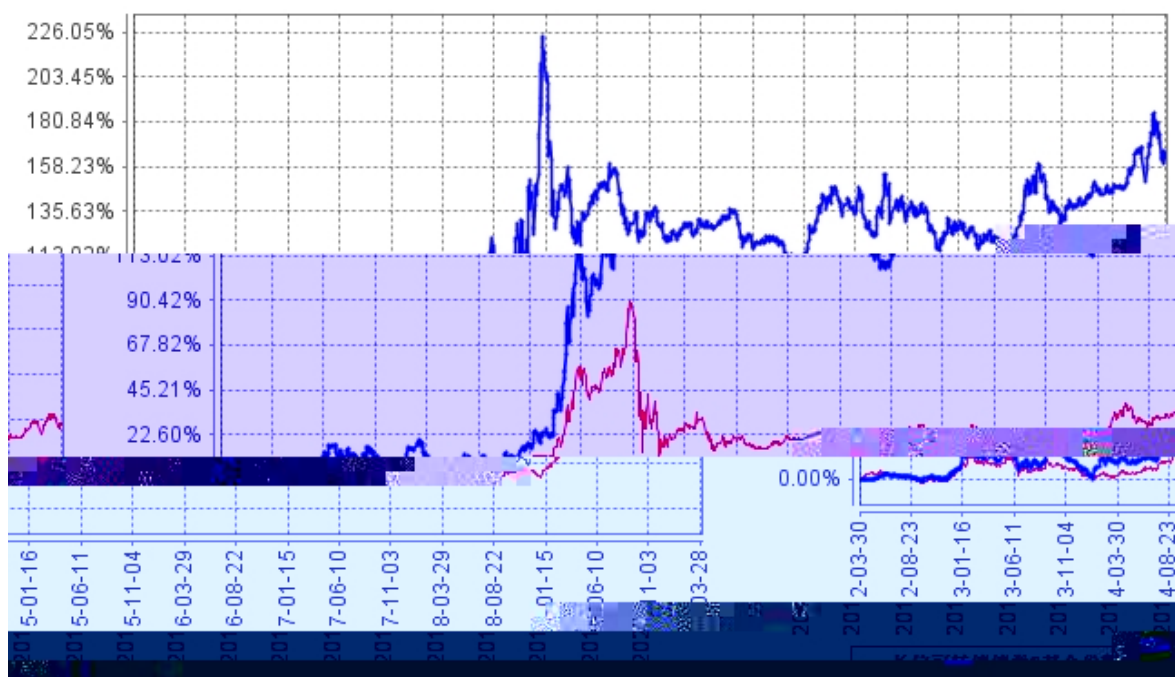
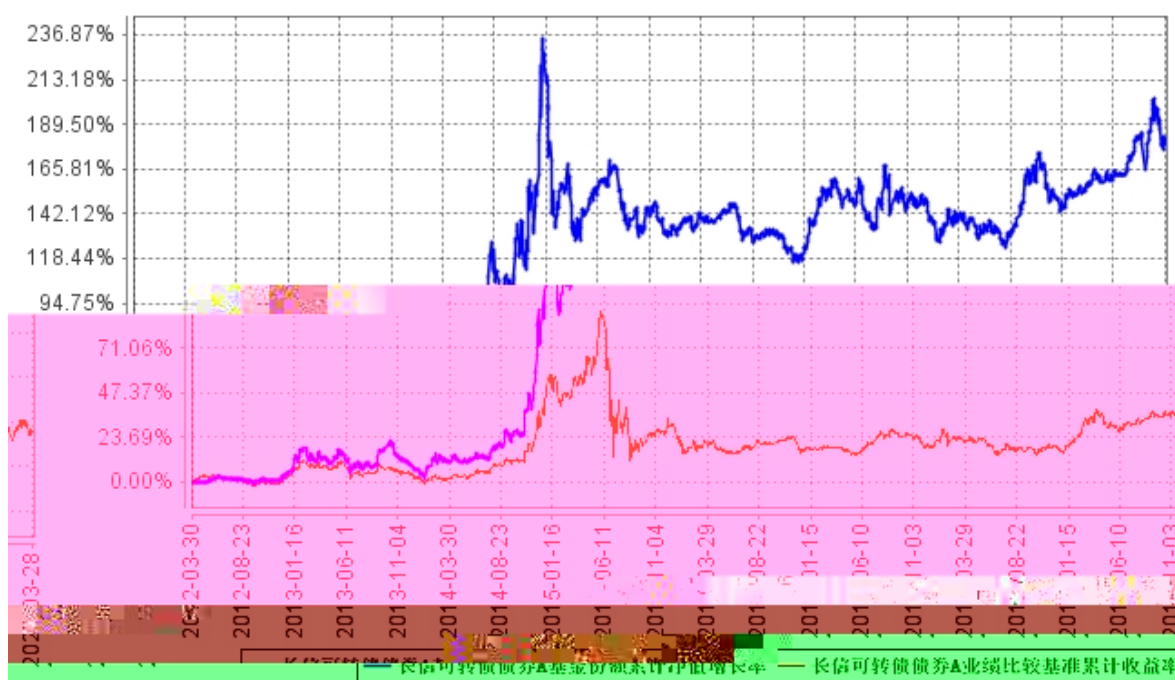
A

| | | | | | | |
|--|-------|-------|-------|-------|-------|-------|
| | | | | | | |
| | 0.56% | 1.29% | 0.00% | 0.82% | 0.56% | 0.47% |

C

| | | | | | | |
|--|-------|-------|-------|-------|-------|-------|
| | | | | | | |
| | 0.47% | 1.29% | 0.00% | 0.82% | 0.47% | 0.47% |

3.2.2



1 2012 3 30 2020 3 31

2 6

§4

4.1

| | 50 | 2018 12 8 | - | 21 | 2018 7 50 |
|--|----|--------------|---|----|------------------|
| | | 2019 6 26 | - | 5 | 2017 5 |

| | | | | | |
|--|----|--------------|---|---|------------------|
| | | | | | |
| | 50 | 2019 9 17 | - | 5 | 2019 7 50 |

1

2

4.2

4.3

4.3.1

4.3.2

5%

4.4

4.4.1

2020

1-2

15%

5.5%

3

9.6% 4.5%

4.4.2 2020

2020

4.5

| | | | |
|--------|---|--------|----------|
| | A | 1.4384 | 2.3984 |
| | A | 0.56% | C 1.3967 |
| 2.3037 | | C | 0.47% |
| 0.00% | | | |

4.6**§5****5.1**

| | | | % |
|---|--|------------------|--------|
| 1 | | 974,699,861.05 | 15.82 |
| | | 974,699,861.05 | 15.82 |
| 2 | | - | - |
| 3 | | 4,809,123,546.14 | 78.05 |
| | | 4,809,123,546.14 | 78.05 |
| | | - | - |
| 4 | | - | - |
| 5 | | - | - |
| 6 | | - | - |
| | | - | - |
| 7 | | 226,431,053.90 | 3.67 |
| 8 | | 151,603,746.96 | 2.46 |
| 9 | | 6,161,858,208.05 | 100.00 |

5.2

5.2.1

| | | | (%) |
|---|--|----------------|-------|
| A | | - | - |
| B | | - | - |
| C | | 686,510,663.83 | 12.72 |
| D | | - | - |
| E | | - | - |
| F | | 23,593,984.00 | 0.44 |
| G | | 37,309,821.32 | 0.69 |
| H | | - | - |
| I | | 57,071,423.73 | 1.06 |
| J | | 121,163,450.77 | 2.24 |
| K | | 49,050,517.40 | 0.91 |
| L | | - | - |
| M | | - | - |
| N | | - | - |
| O | | - | - |
| P | | - | - |
| Q | | - | - |
| R | | - | - |
| S | | - | - |
| | | 974,699,861.05 | 18.06 |

5.2.2

5.3

| 1 | 300054 | | 9,970,083 | 108,673,904.70 | 2.01 |
|---|--------|--|-----------|----------------|------|
| 2 | 600887 | | 2,220,700 | 66,310,102.00 | 1.23 |
| 3 | 300059 | | 2,908,300 | 46,678,215.00 | 0.86 |
| 4 | 600030 | | 1,780,076 | 39,446,484.16 | 0.73 |
| 5 | 002311 | | 980,010 | 39,396,402.00 | 0.73 |
| 6 | 002352 | | 789,793 | 37,309,821.32 | 0.69 |
| 7 | 002078 | | 3,838,600 | 33,702,908.00 | 0.62 |
| 8 | 002233 | | 2,590,100 | 32,013,636.00 | 0.59 |

| | | | | | |
|----|--------|--|---------|---------------|------|
| 9 | 600486 | | 453,891 | 30,596,792.31 | 0.57 |
| 10 | 002271 | | 888,260 | 30,227,487.80 | 0.56 |

5.4

| | | | | | |
|----|--|--|------------------|--|-------|
| | | | | | |
| 1 | | | 49,820,000.00 | | 0.92 |
| 2 | | | - | | - |
| 3 | | | 372,032,782.81 | | 6.89 |
| | | | 351,932,782.81 | | 6.52 |
| 4 | | | 10,160,000.00 | | 0.19 |
| 5 | | | - | | - |
| 6 | | | - | | - |
| 7 | | | 4,377,110,763.33 | | 81.09 |
| 8 | | | - | | - |
| 9 | | | - | | - |
| 10 | | | 4,809,123,546.14 | | 89.09 |

5.5

| | | | | | |
|---|--------|-------|-----------|----------------|------|
| | | | | | |
| 1 | 110059 | | 3,184,830 | 338,292,642.60 | 6.27 |
| 2 | 113011 | | 2,089,570 | 244,688,647.00 | 4.53 |
| 3 | 200201 | 20 01 | 2,200,000 | 221,078,000.00 | 4.10 |
| 4 | 132018 | G EB1 | 1,903,180 | 208,721,750.60 | 3.87 |
| 5 | 128084 | | 1,668,828 | 192,582,751.20 | 3.57 |

5.6**5.7****5.8**

5.9**5.9.1****5.9.2****5.9.3****5.10****5.10.1**

2019 12

27

2019 23

180

5.10.2**5.10.3**

| | | |
|---|--|----------------|
| | | |
| 1 | | 1,712,084.99 |
| 2 | | 120,636,090.40 |
| 3 | | - |
| 4 | | 8,687,111.03 |
| 5 | | 20,568,460.54 |

| | | | |
|---|--|--|----------------|
| 6 | | | - |
| 7 | | | - |
| 8 | | | - |
| 9 | | | 151,603,746.96 |

5.10.4

| | | | () | |
|----|--------|--|----------------|------|
| 1 | 113011 | | 244,688,647.00 | 4.53 |
| 2 | 110053 | | 127,309,584.90 | 2.36 |
| 3 | 110045 | | 126,394,972.80 | 2.34 |
| 4 | 113013 | | 115,420,908.60 | 2.14 |
| 5 | 113022 | | 106,436,388.00 | 1.97 |
| 6 | 113026 | | 101,476,922.40 | 1.88 |
| 7 | 113518 | | 77,765,382.90 | 1.44 |
| 8 | 113020 | | 71,474,630.40 | 1.32 |
| 9 | 113544 | | 63,925,220.10 | 1.18 |
| 10 | 110042 | | 51,703,495.20 | 0.96 |
| 11 | 128044 | | 44,661,039.36 | 0.83 |
| 12 | 113024 | | 42,342,631.10 | 0.78 |
| 13 | 110047 | | 41,960,768.50 | 0.78 |
| 14 | 128071 | | 31,657,063.20 | 0.59 |
| 15 | 123007 | | 29,526,324.96 | 0.55 |
| 16 | 128059 | | 28,810,600.56 | 0.53 |
| 17 | 113535 | | 26,262,750.00 | 0.49 |
| 18 | 113543 | | 25,686,402.00 | 0.48 |
| 19 | 110051 | | 21,535,775.10 | 0.40 |
| 20 | 110048 | | 21,489,580.00 | 0.40 |
| 21 | 110043 | | 20,548,743.20 | 0.38 |
| 22 | 128022 | | 18,403,958.30 | 0.34 |
| 23 | 110034 | | 16,212,919.00 | 0.30 |
| 24 | 113525 | | 15,585,704.40 | 0.29 |
| 25 | 113504 | | 15,266,815.20 | 0.28 |
| 26 | 128074 | | 11,849,787.30 | 0.22 |
| 27 | 110031 | | 7,591,185.00 | 0.14 |
| 28 | 110055 | | 7,256,398.80 | 0.13 |
| 29 | 110057 | | 7,105,040.40 | 0.13 |
| 30 | 128066 | | 6,915,301.90 | 0.13 |
| 31 | 113021 | | 5,941,460.00 | 0.11 |
| 32 | 113528 | | 5,741,428.00 | 0.11 |
| 33 | 128045 | | 5,628,242.70 | 0.10 |

| | | | | |
|----|--------|--|--------------|------|
| 34 | 128067 | | 4,758,800.00 | 0.09 |
| 35 | 113542 | | 4,465,600.00 | 0.08 |
| 36 | 127006 | | 4,166,176.28 | 0.08 |
| 37 | 128017 | | 3,624,600.00 | 0.07 |
| 38 | 113505 | | 3,296,400.00 | 0.06 |
| 39 | 128029 | | 2,538,191.80 | 0.05 |
| 40 | 113524 | | 2,257,754.40 | 0.04 |
| 41 | 110033 | | 2,234,200.00 | 0.04 |
| 42 | 128026 | | 2,145,200.00 | 0.04 |
| 43 | 128064 | | 1,605,868.98 | 0.03 |

5.10.5**5.10.6****§6**

| | A | C |
|-----|------------------|------------------|
| | 1,749,892,527.98 | 965,198,654.44 |
| | 1,050,323,658.81 | 4,047,502,443.99 |
| : | 1,044,433,017.52 | 2,955,932,057.76 |
| "_" | - | - |
| | 1,755,783,169.27 | 2,056,769,040.67 |

§7**7.1****7.2**

§8

8.1

20%

20%

8.2

§9

9.1

- 1
- 2
- 3
- 4
- 5
- 6

9.2

9.3

<http://www.cxfund.com.cn>

2020 4 22