

2019

2019 12 31

2020 4 27

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**§1**

**1.1**

2020 4 23

2019 1 1      2019 12 31

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**1.2**

**§1** .....2

**§2** .....5

**§ 3** .....7

**§4** .....10

**§5** .....18

**§6** .....19

**§7** .....21

**§8** .....48

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<b>§9</b>	.....	<b>57</b>
<b>§10</b>	.....	<b>58</b>
<b>§ 11</b>	.....	<b>59</b>
<b>§12</b>	.....	<b>65</b>
<b>§13</b>	.....	<b>66</b>

## §2

### 2.1

	004925
	004925
	2017 11 9
	165,249,781.11

### 2.2

	*80%+
	*20%

### 2.3

	021-61009999	95559
	zhouyg@cxfund.com.cn	luzj@bankcomm.com
	4007005566	95559
	021-61009800	021-62701216
	68 9	188
	9	68 18

	200120	200336

**2.4**

	www.cxfund.com.cn
	68 9
	18

**2.5**

		222 30
		17

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§ 3

3.1

2019	2018	2017 11 9 (
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### 3.2.3

2017 11 9 2017

### 3.3

2017 11 9



FOF

300

FOF

**4.1.2**

	LOF	2017 11 9	-	9	2010 7 100 LOF LOF LOF



---

## 4.3

### 4.3.1

1

2

1

2

5 1

3

4

5

### 4.3.2

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### 4.3.3

5%

### 4.4

#### 4.4.1

2019

CPI

CPI - PPI

A

2018

2019

30%-50%

2019

2019

80%

1/3

#### 4.4.2

2019 12 31

0.9281

0.9281

---

24.74%

16.23%

**4.5**

2020

A

A

**4.6**

---

**4.7**

" "

1/2

**4.8**

1

2

3

4

5



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## §5

### 5.1

2019

### 5.2

2019

### 5.3

2019

**§6**

**6.1**

	( ) (20) P00044

**6.2**

	2019 12 31                      2019 (                      )
	2019 12 31                      2019
	"    "
	-
	-
	(                      "                      ")
	2019

	( )
	(1)
	(2)
	(3)
	(4)
	(5) ( )
	222 30
	2020 4 22

**§7**

**7.1**

2019 12 31

		2019 12 31	2018 12 31
		9,355,282.33	15,952,457.70
		321,156.16	920,525.47
		59,522.37	51,442.18
		143,220,206.84	169,338,036.81
		143,220,206.84	169,338,036.81
		-	-
		-	-
		-	-
		-	-
		-	-
		-	-
		2,020,970.70	39,645.76
		2,157.61	3,426.85
		-	-
		3,707.17	2,435.22
		-	-
		-	-
		154,983,003.18	186,307,969.99
		2019 12 31	2018 12 31
		-	-
		-	-
		-	-
		-	-
		-	-
		1,046,973.78	73,776.84
		196,223.19	241,750.37
		32,703.86	40,291.72
		-	-
		171,053.63	255,679.98
		-	-
		-	-

		-	-	
		-	-	
		170,015.16	250,138.68	
		1,616,969.62	861,637.59	
		165,249,781.11	249,264,437.53	
		-11,883,747.55	-63,818,105.13	
		153,366,033.56	185,446,332.40	
		154,983,003.18	186,307,969.99	
2019	12	31	0.9281	165,249,781.11

## 7.2

2019 1 1 2019 12 31

		2019 1 1 2019 12 31	2018 1 1 2018 12 31
		45,328,214.19	-56,133,067.46
1.		121,282.97	868,944.91
		95,579.39	549,264.72
		25,703.58	26.40
		-	-
		-	319,653.79
		-	-
		-	-
2.	" -"	23,531,450.19	-51,292,501.24
		21,388,622.94	-54,448,264.88
		-	-
		-15,579.70	8,537.98
		-	-
		-	-
		-	-
		2,158,406.95	3,147,225.66
3.	" -"	21,617,892.97	-5,881,156.62
	" "	-	-
5.	" -"	57,588.06	171,645.49
		5,236,422.69	6,502,555.88
1		2,615,805.98	3,612,116.88
2		435,967.60	602,019.44

3		-	-
4		2,007,382.86	2,027,921.04
5		-	-
		-	-
		-	0.05
7		177,266.25	260,498.47
	" -"	40,091,791.50	-62,635,623.34
		-	-
	" -"	40,091,791.50	-62,635,623.34

### 7.3

2019 1 1 2019 12 31

	2019 1 1 2019 12 31		
	249,264,437.53	-63,818,105.13	185,446,332.40
	-	40,091,791.50	40,091,791.50
" -"	-84,014,656.42	11,842,566.08	-72,172,090.34
1.	7,751,676.08	-1,043,032.64	6,708,643.44
2.	-91,766,332.50	12,885,598.72	-78,880,733.78
" -"	-	-	-
	165,249,781.11	-11,883,747.55	153,366,033.56
	2018 1 1 2018 12 31		

	323,689,266.32	-7,035,715.23	316,653,551.09
	-	-62,635,623.34	-62,635,623.34
" -"	-74,424,828.79	5,853,233.44	-68,571,595.35
1.	6,191,137.32	-695,203.52	5,495,933.80
2.	-80,615,966.11	6,548,436.96	-74,067,529.15
" -"	-	-	-
	249,264,437.53	-63,818,105.13	185,446,332.40

7.1 7.4

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## 7.4

### 7.4.1

( " " )

( " " )

( " " ) [2017]1075

323,689,266.32

( )

( ) (17) 00488



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#### **7.4.4.3**

1)

2)

#### **7.4.4.4**



1) (

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2)

3)

4)

#### **7.4.4.6**

#### **7.4.4.7**

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**7.4.4.8**

( )

( )

**7.4.4.9** / ( )

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( )

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**7.4.4.10**

1.50%

0.25%

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**7.4.4.11**

1

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**7.4.4.12**

**7.4.4.13**

1)

( ) > ( [2017]6 )

<

2)

) ( ( [2017]13 ) (AMAC) ( [2013]13 )

3)

< 2015 1  
> ( [2014]24 )  
( )

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## 7.4.5

### 7.4.5.1

### 7.4.5.2

### 7.4.5.3

## 7.4.6

[2005]103

[2008]1 2008

9 18

[2012]85

2019 78

[2015]101

[2016]36

[2016]140 [2017]56

[2017]90

1) ( )

3%

2)

3)

( 1 ) 1 1 ( 1 )

50% 1

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4)                    20%  
                          A                    0.10%                    (    )

**7.4.7**

**7.4.7.1**

	2019	12	31	2018	12	31
	9,355,282.33					

	-	-	-
	-	-	-
	-	-	-
	-	-	-
	177,215,702.39	169,338,036.81	-7,877,665.58

**7.4.7.3** /

**7.4.7.4**

**7.4.7.4.1**

**7.4.7.4.2**

**7.4.7.5**

	2019 12 31	2018 12 31
	1,986.31	2,989.45
	-	-
	-	-
	144.50	414.20
	-	-
	-	-
	-	-
	-	-
	-	-
	-	-
	26.80	23.20
	2,157.61	3,426.85

**7.4.7.6**

**7.4.7.7**

	2019 12 31	2018 12 31
--	------------	------------

	171,053.63	255,679.98
	-	-
	171,053.63	255,679.98

#### 7.4.7.8

	2019 12 31	2018 12 31
	-	-
	15.16	138.68
	-	-
	-	-
	50,000.0	50,000.00
	120,000.0	200,000.00
	170,015.16	250,138.68

#### 7.4.7.9

	2019 1 1	2019 12 31
	249,264,437.53	249,264,437.53
	7,751,676.08	7,751,676.08
" -"	-91,766,332.50	-91,766,332.50
- /	-	-
/	-	-
	-	-
" -"	-	-
	165,249,781.11	165,249,781.11

( )

( )

#### 7.4.7.10

	-58,184,498.72	-5,633,606.41	-63,818,105.13
	18,473,898.53	21,617,892.97	40,091,791.50
	16,023,109.94	-4,180,543.86	11,842,566.08
	-1,432,444.69	389,412.05	-1,043,032.64
	17,455,554.63	-4,569,955.91	12,885,598.72
	-	-	-
	-23,687,490.25	11,803,742.70	-11,883,747.55

### 7.4.7.11

	2019	1	1	2019	12	2018	1	1	2018	12
	31				31					
				84,629.76					527,672.26	
				-					-	
				-					-	
				9,970.21					19,941.76	
				979.42					1,650.70	
				95,579.39					549,264.72	

### 7.4.7.12

#### 7.4.7.12.1

—

	2019	1	1	2019	2018	1	1	2018
	12 31				12 31			
				777,715,730.70				758,651,783.67
				756,327,107.76				813,100,048.55
				21,388,622.94				-54,448,264.88

### 7.4.7.13

#### 7.4.7.13.1

	2019	1	1	2019	12	2018	1	1	2018	12
	31				31					
---				-15,579.70					8,537.98	
---				-					-	
---				-					-	
				-15,579.70					8,537.98	

#### 7.4.7.13.2

—

	2019	1	1	2019	12	2018	1	1	2018	12
--	------	---	---	------	----	------	---	---	------	----

	31	31
	5,990,181.60	33,572.50
	5,787,579.70	25,000.00
	218,181.60	34.52
	-15,579.70	8,537.98

**7.4.7.13.3**            —

**7.4.7.13.4**            —

**7.4.7.13.5**

**7.4.7.14**

**7.4.7.14.1**

**7.4.7.15**

**7.4.7.15.1**            —

**7.4.7.15.2**            —

**7.4.7.16**

	2019	1	1	2019	2018	1	1	2018	12
		12	31				31		
	2,158,406.95				3,147,225.66				
	-				-				
	-				-				
	2,158,406.95				3,147,225.66				

**7.4.7.17**

	2019 1 1 2019	2018 1 1 2018 12
	12 31	31
1.	21,617,892.97	-5,881,156.62
---	21,617,892.97	-5,879,489.12
---	-	-1,667.50
---	-	-
---	-	-
---	-	-
2.	-	-
---	-	-
3.	-	-
:	-	-
	21,617,892.97	-5,881,156.62

#### 7.4.7.18

	2019 1 1 2019 12	2018 1 1 2018 12 31
	31	31
	56,200.38	167,931.39
	1,387.68	3,714.10
	57,588.06	171,645.49

7 1.5%

7 30

30 3 75%

3 6 50% 6

25%

#### 7.4.7.19

	2019 1 1 2019 12 31	2018 1 1 2018 12 31
	2,007,382.86	2,027,921.04
	-	-
	-	-
	-	-
	-	-
	2,007,382.86	2,027,921.04

---

**7.4.7.20**

	2019 1 1 31	2019 12 31	2018 1 1 31	2018 12 31
		50,000.00		50,000.00
		120,000.00		200,000.00
		-		-
		7,266.25		10,498.47
		177,266.25		260,498.47

**7.4.8****7.4.8.1****7.4.8.2****7.4.9**

" "	
" "	
" " )	
" " )	
" "	
" " )	
" "	
" "	

---

**7.4.10****7.4.10.1****7.4.10.1.1**

	2019 1 1			2019 12 31			2018 1 1			2018 12 31		
	178,427,785.80			12.06%			396,534,870.63			24.26%		

**7.4.10.1.2**

	2019 1 1			2019 12 31			2018 1 1			2018 12 31		
	-			-			33,572.50			100.00%		

**7.4.10.1.3**

	2019 1 1			2019 12 31			2018 1 1			2018 12 31		
	-			-			722,000,000.00			100.00%		

**7.4.10.1.4****7.4.10.1.5**

	2019 1 1			2019 12 31			2018 1 1			2018 12 31		
	166,168.57			15.40%			81,136.50			47.43%		
							2018 1 1			2018 12 31		

	365,591.44	32.52%	-	-

1

2

### 7.4.10.2

#### 7.4.10.2.1

	2019 1 1 2019 12 31	2018 1 1 2018 12 31
	2,615,805.98	3,612,116.88
	1,431,553.82	2,131,842.86

× 1.50%

=

× 1.50% ÷

#### 7.4.10.2.2

	2019 1 1 2019 12 31	2018 1 1 2018 12 31
	435,967.60	602,019.44

× 0.25%

=

× 0.25% ÷

#### 7.4.10.3

( )

( )

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**7.4.10.4**

**7.4.10.4.1**

**7.4.10.4.2**

**7.4.10.5**

**7.4.10.5.1**

**7.4.10.5.2**

**7.4.10.6**

	2019	1	1	2019	12	31	2018	1	1	2018	12	31
	9,355,282.33			84,629.76			15,952,457.70			527,672.26		

---

**7.4.11**

**7.4.12            2019    12    31**

**7.4.12.1            /**

688181		2019 12   27	2020 1 6		43.98	43.98	1,973	86,772.54	86,772.54	-
688081		2019 12   26	2020 1 6		28.21	28.21	2,680	75,602.80	75,602.80	-
002973		2019 12   27	2020 1 6		5.74	5.74	1,146	6,578.04	6,578.04	-

**7.4.12.2**

**7.4.12.3**

**7.4.12.3.1**

**7.4.12.3.2**

**7.4.12.4**

---

## **7.4.13**

### **7.4.13.1**

"

"

### **7.4.13.2**

10%

10%

#### **7.4.13.2.1**

---

**7.4.13.2.2**

**7.4.13.2.3**

**7.4.13.2.4**

**7.4.13.2.5**

**7.4.13.2.6**

**7.4.13.3**

**7.4.13.3.1**

## 7.4.13.4

### 7.4.13.4.1

#### 7.4.13.4.1.1

2019 12 31	6	6 -1	1-5	5		
	9,355,282.33	-	-	-	-	9,355,282.33
	321,156.16	-	-	-	-	321,156.16
	59,522.37	-	-	-	-	59,522.37
	-	-	-	-	143,220,206.84	143,220,206.84
	-	-	-	-	2,020,970.70	2,020,970.70
	-	-	-	-	2,157.61	2,157.61
	-	-	-	-	3,707.17	3,707.17
	-	-	-	-	-	-
	9,735,960.86	-	-	-	145,247,042.32	154,983,003.18
	-	-	-	-	1,046,973.78	1,046,973.78
	-	-	-	-	196,223.19	196,223.19
	-	-	-	-	32,703.86	32,703.86
	-	-	-	-	171,053.63	171,053.63
	-	-	-	-	170,015.16	170,015.16
	-	-	-	-	1,616,969.62	1,616,969.62
	9,735,960.86	-	-	-	143,630,072.70	153,366,033.56
2018 12 31	6	6 -1	1-5	5		
	15,952,457.70	-	-	-	-	15,952,457.70

	920,525.47	-	-	-	-	920,525.47
	51,442.18	-	-	-	-	51,442.18
	-	-	-	-	169,338,036.81	169,338,036.81
	-	-	-	-	39,645.76	39,645.76
	-	-	-	-	3,426.85	3,426.85
	-	-	-	-	2,435.22	2,435.22
	-	-	-	-	-	-
	16,924,425.35	-	-	-	169,383,544.64	186,307,969.99
	-	-	-	-	73,776.84	73,776.84
	-	-	-	-	241,750.37	241,750.37
	-	-	-	-	40,291.72	40,291.72
	-	-	-	-	255,679.98	255,679.98
	-	-	-	-	250,138.68	250,138.68
	-	-	-	-	861,637.59	861,637.59
	16,924,425.35	-	-	-	168,521,907.05	185,446,332.40

**7.4.13.4.1.2**

**7.4.13.4.2**

**7.4.13.4.3**

VaR (Value at Risk)

**7.4.13.4.3.1**

	2019 12 31		2018 12 31	
		%		%
-	143,220,206.84	93.38	169,338,036.81	91.31
	-	-	-	-
	-	-	-	-
	-	-	-	-
	-	-	-	-
	-	-	-	-
	143,220,206.84	93.38	169,338,036.81	91.31

**7.4.13.4.3.2**

95%					
		2019 12 31		2018 12 31	
VaR	3.90%	2018 12	-5,977,683.80	2018 12	-5,970,422.29
31	VaR	3.22%)			

VaR

95%

2018

**7.4.14**

(1)

(a)

(b)

(i)



2019	12	31		
			143,051,253.46	168,953.38
		( 2018	12	31
			169,095,082.29	
50,145.80			192,808.72	)
(ii)				( )
(iii)				
			192,808.72	0.00
			192,808.72	49,212.80
			0.00	0.00
			0.00	0.00
				0.00
(2)				

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## §8

### 8.1

			%
		143,220,206.84	92.41
		143,220,206.84	92.41
2		-	-
		-	-
		-	-
		-	-
		-	-
		-	-
		-	-
		-	-
		9,676,438.49	6.24
		2,086,357.85	1.35
		154,983,003.18	100.00

### 8.2

#### 8.2.1

A		7,224.00	0.00
B		1,508,000.00	0.98
C		106,430,368.34	69.40
D		4,233,600.00	2.76
E		2,565,657.36	1.67
F		-	-
G		3,950,100.00	2.58
H		-	-
I		1,790,940.00	1.17
J		-	-
K		3,612,037.00	2.36
L		-	-
M		2,951,886.00	1.92
N		13,525,942.14	8.82
O		-	-

P		2,644,452.00	1.72
Q		-	-
R		-	-
S		-	-
		143,220,206.84	93.38

### 8.2.2

### 8.3

1	603806		118,870	5,777,082.00	3.77
2	601012		230,000	5,710,900.00	3.72
3	300014		110,053	5,520,258.48	3.60
4	002129		460,000	5,432,600.00	3.54
5	600388		500,050	4,875,487.50	3.18
6	300232		483,515	4,791,633.65	3.12
7	603568		205,215	4,707,632.10	3.07
8	600346		280,000	4,502,400.00	2.94
9	601058		1,000,300	4,471,341.00	2.92
10	002080		360,050	4,464,620.00	2.91
11	603699		300,000	4,146,000.00	2.70
12	300207		210,700	4,112,864.00	2.68
13	002531		650,000	4,108,000.00	2.68
14	603606		360,600	3,959,388.00	2.58
15	601021		90,000	3,950,100.00	2.58
16	601677		330,058	3,805,568.74	2.48
17	000035		600,000	3,702,000.00	2.41
18	600466		490,100	3,612,037.00	2.36
19	300316		200,000	3,144,000.00	2.05
20	002706		366,500	3,078,600.00	2.01
21	300450		60,000	2,696,400.00	1.76
22	603588		280,000	2,660,000.00	1.73
23	002607		147,900	2,644,452.00	1.72
24	601117		398,394	2,565,657.36	1.67
25	002384		108,000	2,500,200.00	1.63
26	600438		188,000	2,468,440.00	1.61
27	300422		234,200	2,449,732.00	1.60

28	002791		80,000	2,439,200.00	1.59
29	600483		258,000	2,373,600.00	1.55
30	300118		150,000	2,077,500.00	1.35
31	000425		374,000	2,045,780.00	1.33
32	300012		128,000	1,908,480.00	1.24
33	002111		120,000	1,876,800.00	1.22
34	002214		177,000	1,874,430.00	1.22
35	603218		90,081	1,870,982.37	1.22
36	000966		400,000	1,860,000.00	1.21
37	603444		6,000	1,790,940.00	1.17
38	300129		360,000	1,749,600.00	1.14
39	002318		180,000	1,688,400.00	1.10
40	002683		80,000	1,508,000.00	0.98
41	300037		38,000	1,380,920.00	0.90
42	603018		101,400	1,043,406.00	0.68
43	002182		108,000	999,000.00	0.65
44	600031		58,000	988,900.00	0.64
45	603600		80,000	982,400.00	0.64
46	002340		200,000	974,000.00	0.64
47	002475		26,000	949,000.00	0.62
48	002632		120,000	886,800.00	0.58
49	601966		38,000	871,340.00	0.57
50	300393		58,047	841,101.03	0.55
51	300218		90,000	714,600.00	0.47
52	002798		26,000	651,300.00	0.42
53	002810		26,000	504,400.00	0.33
54	002353		8,000	295,680.00	0.19
55	688181		1,973	86,772.54	0.06
56	688081		2,680	75,602.80	0.05
57	002972		1,075	21,532.25	0.01
58	603109		684	18,105.48	0.01
59	002299		300	7,224.00	0.00
60	002973		1,146	6,578.04	0.00
61	688268		10	438.50	0.00

## 8.4

### 8.4.1

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1	600008		15,685,947.92	8.46
2	600021		14,308,644.25	7.72
3	600886		9,570,097.00	5.16
4	000967		9,135,346.73	4.93
5	002531		9,043,035.00	4.88
6	603699		8,446,458.52	4.55
7	300207		8,256,146.00	4.45
8	603606		7,575,257.71	4.08
9	603218		6,886,555.42	3.71
10	600875		6,846,639.11	3.69
11	002080		6,670,309.20	3.60
12	600642		6,533,822.00	3.52
13	601058		6,520,970.00	3.52
14	603806		6,376,320.60	3.44
15	002106		5,971,028.18	3.22
16	600388		5,918,975.96	3.19
17	601012		5,870,225.00	3.17
18	002594		5,802,783.87	3.13
19	002340		5,711,724.00	3.08
20	300284		5,707,119.37	3.08
21	002028		5,614,183.00	3.03
22	000966		5,602,037.00	3.02
23	601727		5,527,069.00	2.98
24	600323		5,506,061.00	2.97
25	600023		5,482,034.00	2.96
26	600483		5,459,200.00	2.94
27	000600		5,345,988.00	2.88
28	603568		5,325,986.35	2.87
29	601222		5,284,039.36	2.85
30	000425		5,280,170.00	2.85
31	002129		5,218,601.00	2.81
32	300203		5,213,236.03	2.81
33	000656		5,157,569.40	2.78
34	600098		5,146,494.00	2.78
35	600438		5,090,766.00	2.75

36	002449		5,033,323.56	2.71
37	600406		4,990,947.19	2.69
38	002372		4,963,284.86	2.68
39	600170		4,828,824.00	2.60
40	600674		4,757,459.00	2.57
41	000823		4,661,544.00	2.51
42	000543		4,648,113.55	2.51
43	601677		4,635,463.44	2.50
44	300569		4,616,307.00	2.49
45	603444		4,576,199.00	2.47
46	600667		4,571,897.00	2.47
47	600346		4,548,902.21	2.45
48	300450		4,508,908.42	2.43
49	000035		4,493,493.00	2.42
50	300232		4,384,974.22	2.36
51	002266		4,363,350.00	2.35
52	600236		4,234,012.00	2.28
53	601985		4,202,327.99	2.27
54	002350		4,196,132.22	2.26
55	300014		4,171,917.41	2.25
56	600066		4,169,016.19	2.25
57	300218		4,141,816.88	2.23
58	600578		4,127,474.00	2.23
59	601021		4,115,879.00	2.22
60	601117		3,954,929.45	2.13
61	600217		3,942,007.00	2.13
62	600873		3,885,759.72	2.10
63	603328		3,867,487.94	2.09
64	300423		3,802,878.00	2.05
65	000581		3,793,742.00	2.05
66	002384		3,772,337.00	2.03
67	002139		3,730,077.00	2.01
68	002541		3,720,765.00	2.01

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1	600008		14,649,738.12	7.90
2	600021		14,335,559.25	7.73
3	600886		12,594,214.45	6.79
4	600406		11,432,583.87	6.16
5	600323		11,289,158.20	6.09
6	300203		10,694,374.00	5.77
7	600900		10,060,138.73	5.42
8	000598		10,054,452.64	5.42
9	601727		9,767,570.27	5.27
10	000967		8,955,712.82	4.83
11	300207		8,804,408.79	4.75
12	601222		8,705,026.07	4.69
13	600875		8,056,586.04	4.34
14	601016		7,997,706.90	4.31
15	000581		7,180,215.79	3.87
16	603568		7,062,282.73	3.81
17	603218		6,863,546.00	3.70
18	600031		6,669,956.09	3.60
19	600642		6,571,846.61	3.54
20	600388		6,543,150.28	3.53
21	002028		6,341,229.04	3.42
22	601877		6,271,936.95	3.38
23	600089		6,046,070.95	3.26
24	002106		5,833,969.65	3.15
25	000600		5,663,378.01	3.05
26	600236		5,635,225.00	3.04
27	300284		5,529,360.09	2.98
28	000656		5,517,776.00	2.98
29	002372		5,481,976.85	2.96
30	000338		5,395,279.67	2.91
31	002449		5,347,442.20	2.88
32	002340		5,335,362.41	2.88
33	002594		5,251,691.17	2.83
34	600023		5,192,623.00	2.80
35	600884		5,183,411.28	2.80
36	600098		5,158,604.44	2.78
37	601908		5,121,999.65	2.76

38	601369		4,907,439.51	2.65
39	300569		4,776,670.50	2.58
40	603588		4,775,218.53	2.57
41	600170		4,697,392.00	2.53
42	600674		4,630,097.00	2.50
43	300423		4,624,912.13	2.49
44	002350		4,553,508.81	2.46
45	000543		4,535,919.00	2.45
46	000823		4,433,829.00	2.39
47	603328		4,430,583.70	2.39
48	002080		4,339,351.00	2.34
49	600373		4,302,276.00	2.32
50	300130		4,295,110.67	2.32
51	002531		4,265,863.80	2.30
52	600066		4,264,817.56	2.30
53	600217		4,253,093.00	2.29
54	600667		4,169,126.36	2.25
55	002266		4,157,397.60	2.24
56	600351		4,139,164.08	2.23
57	601985		4,098,296.66	2.21
58	603699		4,048,161.90	2.18
59	600873		4,030,375.54	2.17
60	002706		3,981,201.20	2.15
61	300185		3,951,090.00	2.13
62	600578		3,904,244.00	2.11
63	603118		3,872,961.00	2.09
64	002541		3,860,551.19	2.08
65	002139		3,856,184.50	2.08
66	002658		3,751,474.11	2.02

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### 8.4.3

	708,591,384.82
	777,715,730.70

" " " "

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**8.5**

**8.6**

**8.7**

**8.8**

**8.9**

**8.10**

**8.10.1**

**8.10.2**

**8.11**

**8.11.1**

**8.11.2**

**8.11.3**

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**8.12****8.12.1****8.12.2****8.12.3**

1		59,522.37
2		2,020,970.70
3		-
4		2,157.61
5		3,707.17
6		-
7		-
8		-
9		2,086,357.85

**8.12.4****8.12.5****8.12.6**

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**§9**

**9.1**

( )					
2,587	63,876.99	126,531.71	0.08%	165,123,249.40	99.92%

**9.2**

	0.00	0.00%

**9.3**

	0
	0

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**§10**

2017 年 11 月 9 日	323,689,266.32
	249,264,437.53
	7,751,676.08
	91,766,332.50
	-
	165,249,781.11

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§ 11

11.1

11.2

11.2.1

2019 3 2

11.2.2

11.3

11.4

11.5

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11.6

11.7

11.7.1


	1	326,546,625.76	22.07%	271,456.45	25.16%	-
	1	278,867,159.48	18.85%	148,161.64	13.73%	-
	1	262,190,314.64	17.72%	139,302.52	12.91%	-
	1	255,449,368.27	17.26%	212,351.22	19.68%	-
	2	178,427,785.80	12.06%	166,168.57	15.40%	-
	1	71,739,334.79	4.85%	66,810.98	6.19%	-
	1	60,536,901.86	4.09%	50,324.99	4.66%	-
	1	45,965,544.92	3.11%	24,420.90	2.26%	-
	1	-	-	-	-	-
	1	-	-	-	-	-
	1	-	-	-	-	-
	2	-	-	-	-	-
	1	-	-	-	-	-
	1	-	-	-	-	-
	2	-	-	-	-	-
	1	-	-	-	-	-
	1	-	-	-	-	-
	1	-	-	-	-	-
	1	-	-	-	-	-
	1	-	-	-	-	-
	1	-	-	-	-	-
	1	-	-	-	-	-

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	-	-	-	-	-	-

**11.8**

1	2018	12	31		2019	1 1
2		(	)		2019	1 10
3	2018	4			2019	1 19
4					2019	3 1
5					2019	3 2
6					2019	3 28
7	2018	1			2019	3

12			2019 5 7
13			2019 5 22
14			2019 6 22
15	2019 1		2019 6 22
16			2019 6 26
17			2019 6 27
18	2019 6 30		2019 7 1
19	2019 2		2019 7 18
20	2019		2019 8 27
21			2019 9 25
22	2019 3		2019 10 23
23	2019		2019 10 23
24			2019 12 30
25		66	2019 12 31
26		66	2019 12 31
27			2019 12 31

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28

2019 12 31

29

2019 12 31

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**§12**

**12.1**

**20%**

20%

**12.2**

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## §13



### 13.1

1

2

3

4

5

6

### 13.2