

2020

2020 6 30

2020 8 29

**§1**

**1.1**

2020 8 28

2020 1 1      2020 6 30

---

<b>1.2</b>		
<b>§1</b>	.....	<b>2</b>
1.1	.....	2
1.2	.....	3
<b>§2</b>	.....	<b>6</b>
2.1	.....	6
2.2	.....	6
2.3	.....	6
2.4	.....	7
2.5	.....	7
<b>§3</b>	.....	<b>8</b>
3.1	.....	8
3.2	.....	8
<b>§4</b>	.....	<b>11</b>
4.1	.....	11
4.2	.....	13
4.3	.....	14
4.4	.....	14
4.5	.....	15
4.6	.....	15
4.7	.....	16
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<b>§5</b>	.....	<b>17</b>
5.1	.....	17
5.2	.....	17
5.3	.....	17
<b>§6</b>	.....	<b>18</b>
6.1	.....	18
6.2	.....	19
6.3	.....	20

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<b>§7</b>	.....	<b>42</b>
7.1	.....	42
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7.10	.....	48
7.11	.....	48
7.12	.....	48
<b>§8</b>	.....	<b>50</b>
8.1	.....	50
8.2	.....	50
8.3	.....	50
<b>§9</b>	.....	<b>51</b>
<b>§10</b>	.....	<b>52</b>
10.1	.....	52
10.2	.....	52
10.3	.....	52
10.4	.....	52
10.5	.....	52
10.6	.....	52
10.7	.....	52
10.8	.....	53
<b>§11</b>	.....	<b>56</b>
11.1	..... 20%	56

---

11.2	.....	56
<b>§12</b>	.....	<b>57</b>
12.1	.....	57
12.2	.....	57
12.3	.....	57

## §2

## 2.1

	519965	
	2015 7 14	
	133,552,381.39	
		A C
:	A	C
	519965	004858
	73,118,984.92	60,433,396.47

## 2.2

	300	*80%+ *20%

## 2.3

	021-61009999	010-66105799
	zhouyg@cxfund.com.cn	custody@i cbc.com.cn
	4007005566	95588
	021-61009800	010-66105798
		55
	68 9	
		55

	68 9	
	200120	100140

**2.4**

	www.cxfund.com.cn
	68 9 55

**2.5**

	A C	A 17 C 68 9

### §3

#### 3.1

	A	C
3.1.1	(2020 1 1 - 2020 6 30 )	(2020 1 1 - 2020 6 30 )
	7,530,587.82	5,059,747.96
	18,857,995.66	12,779,677.67
	0.2682	0.2465
	20.59%	19.39%
	16.87%	16.53%
3.1.2	( 2020 6 30 )	
	30,242,745.27	6,514,065.97
	0.4136	0.1078
	107,436,069.48	86,469,098.80
	1.469	1.431
3.1.3	( 2020 6 30 )	
	46.90%	18.76%

1

2

3

#### 3.2

##### 3.2.1

A

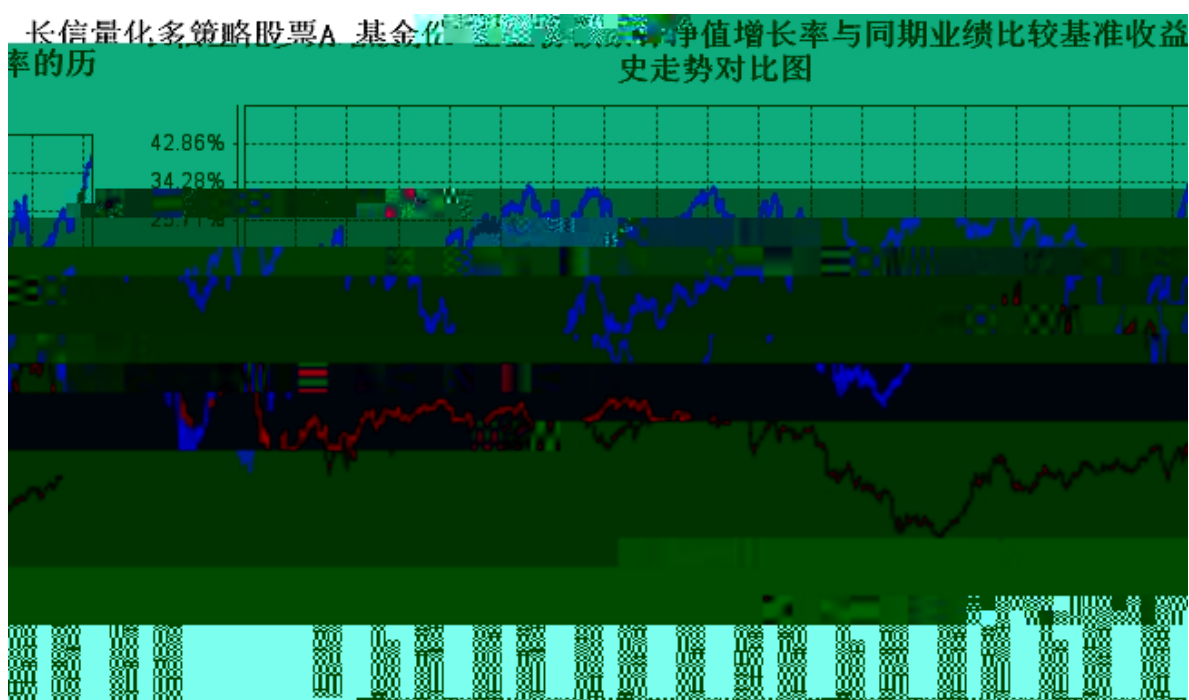
	11.54%	0.85%	5.96%	0.71%	5.58%	0.14%
	20.61%	0.96%	10.23%	0.72%	10.38%	0.24%
	16.87%	1.57%	2.02%	1.21%	14.85%	0.36%
	30.81%	1.26%	8.40%	0.97%	22.41%	0.29%
	21.30%	1.27%	-4.85%	1.07%	26.15%	0.20%

	46.90%	1.57%	-18.22%	1.33%	65.12%	0.24%
--	--------	-------	---------	-------	--------	-------

C

	11.54%	0.85%	5.96%	0.71%	5.58%	0.14%
	20.56%	0.96%	10.23%	0.72%	10.33%	0.24%
	16.53%	1.57%	2.02%	1.21%	14.51%	0.36%
	29.50%	1.26%	8.40%	0.97%	21.10%	0.29%
	18.76%	1.27%	-3.94%	1.07%	22.70%	0.20%

### 3.2.2





**§4**

**4.1**

**4.1.1**

2003 63  
1.65  
44.55%  
31.21% 15.15% 4.55%  
4.54%  
2020 6 30 68

100

(LOF)

(LOF)

LOF

500

FOF

300

FOF

50

1-3

**4.1.2**

	LOF	2018 31	8	-	10
					2010 7
					100 LOF
					LOF
					LOF

---

					300
					LOF

1

2

**4.2**

### 4.3

#### 4.3.1

#### 4.3.2

5%

### 4.4

#### 4.4.1

2020

GDP

10%  
A

35%  
TMT

A

A

#### 4.4.2

2020 6 30

A

1.469

1.469

C	1.431		1.431	A
16.87%		2.02%	C	16.53%
	2.02%			

**4.5**

A

**4.6**

" "

1/2

#### 4.7

1			12
	20%	3	
2			
3			
4			
5			

#### 4.8

**§5**

**5.1**

**5.2**

---

**5.3**

2020

## §6

## 6.1

2020 6 30

		2020 6 30	2019 12 31
	6.4.6.1	11,103,402.58	24,858,513.44
		222,558.18	260,853.73
		42,612.06	30,306.87
	6.4.6.2	180,951,148.81	128,682,124.07
		180,862,813.81	123,072,757.07
		-	-
		88,335.00	5,609,367.00
		-	-
		-	-
	6.4.6.3	-	-
	6.4.6.4	-	-
		2,993,553.31	-
	6.4.6.5	1,263.62	155,064.61
		-	-
		47,960.39	11,910.54
		-	-
	6.4.6.6	-	-
		195,362,498.95	153,998,773.26
		2020 6 30	2019 12 31
		-	-
		-	-
	6.4.6.3	-	-
		-	-
		733,120.51	20,177,788.74
		270,144.57	284,506.76
		228,572.94	163,230.64
		38,095.50	27,205.08
		26,854.36	4,130.47
	6.4.6.7	85,799.38	144,446.42
		0.34	0.35

		-	-
		-	-
		-	-
	6.4.6.8	74,743.07	110,251.81
		1,457,330.67	20,911,560.27
	6.4.6.9	133,552,381.39	106,717,626.90
	6.4.6.10	60,352,786.89	26,369,586.09
		193,905,168.28	133,087,212.99
		195,362,498.95	153,998,773.26

2020 6 30

A

1.469

73,118,984.92

C

1.431

60,433,396.47

133,552,381.39

**6.2**

2020 1 1 2020 6 30

		2020 1 1 2020 6 30	2019 1 1 2019 6 30
		33,651,051.86	18,575,980.98
1.		89,779.49	25,002.59
	6.4.6.11	27,010.14	25,002.59
		62,769.35	-
		-	-
		-	-
		-	-
		-	-
2.	" -"	14,495,878.47	12,203,962.86
	6.4.6.12	12,990,475.94	11,536,874.37
		-	-
	6.4.6.13	-24,787.00	-
	6.4.6.13.5	-	-
	6.4.6.14	-	-
	6.4.6.15	-	-
	6.4.6.16	1,530,189.53	667,088.49
3.	6.4.6.17	19,047,337.55	6,333,220.54
" -"			
4.	" -"	-	-

5.	" -"	6.4.6.18	18,056.35
			13,794.99
			2,013,378.53
			1,388,798.91
1		6.4.9.2.1	1,174,280.26
			639,111.70
2		6.4.9.2.2	195,713.39
			106,518.57
3		6.4.9.2.3	192,941.11
			1,053.11
4		6.4.6.19	375,120.65
			583,775.02
5			-
			-
6			0.24
			-
7		6.4.6.20	75,322.88
			58,340.51
" -"			31,637,673.33
			17,187,182.07
			-
	" -"		31,637,673.33
			17,187,182.07

## 6.3

2020 1 1 2020 6 30

	2020 1 1 2020 6 30		
	106,717,626.90	26,369,586.09	133,087,212.99
	-	31,637,673.33	31,637,673.33
" -"	26,834,754.49	2,345,527.47	29,180,281.96
1.	67,927,017.79	13,845,580.48	81,772,598.27
2.	-41,092,263.30	-11,500,053.01	-52,592,316.31
	-	-	-

" -"			
	133,552,381.39	60,352,786.89	193,905,168.28
	<b>2019 1 1 2019 6 30</b>		
	85,266,729.29	-6,390,578.49	78,876,150.80
	-	17,187,182.07	17,187,182.07
" -"	-11,122,008.41	-1,694,845.72	-12,816,854.13
1.	4,991,532.85	374,210.99	5,365,743.84
2.	-16,113,541.26	-2,069,056.71	-18,182,597.97
	-	-	-
" -"			
	74,144,720.88	9,101,757.86	83,246,478.74

6.1 6.4

---

**6.4****6.4.1**

( " " )

( " " )

(

" " ) [2015]742  
 648,523,672.21 ( )  
 ) 1500947 2015 7  
 14

2017 7 17

C 2017 7 20 C ( )  
 " C" ) C 1.00%  
 A ( " A" )  
 A

( )

( )

(

)

80%-95%

5%-20%

5% (

)

2018 10 22 " 500 × 80%+ × 20%"

" 300 × 80%+ × 20%"

**6.4.2**

( " " )

**6.4.3**

2020 6 30

2020 1 1 2020

6 30

**6.4.4**

**6.4.4.1**

**6.4.4.2**

**6.4.5**

[2005]103

[2008]1

2008

9 18

[2012]85

2019 78

[2015]101

[2016]36

[2016]140

[2017]56

[2017]90

1) ( )

3%

2)

3)

1

( 1 )

1 1 ( 1 )

50%

1

	20%		
4	A	0.10%	( )

## 6.4.6

### 6.4.6.1

	2020 6 30
	11,103,402.58
	-
1	-
1-3	-
3	-
	-
	11,103,402.58

### 6.4.6.2

	2020 6 30		
	151,207,740.95	180,862,813.81	29,655,072.86
-	-	-	-
	65,000.00	88,335.00	23,335.00
	-	-	-
	65,000.00	88,335.00	23,335.00
	-	-	-
	-	-	-
	-	-	-
	151,272,740.95	180,951,148.81	29,678,407.86

### 6.4.6.3

/

/

**6.4.6.4****6.4.6.4.1****6.4.6.4.2****6.4.6.5**

	2020 6 30
	1,092.14
	-
	-
	90.09
	64.11
	-
	-
	-
	-
	-
	17.28
	1,263.62

**6.4.6.6****6.4.6.7**

	2020 6 30
	85,799.38
	-
	85,799.38

**6.4.6.8**

	2020 6 30

	-
	152.19
	-
	-
	14,918.54
	59,672.34
	74,743.07

**6.4.6.9**

A		
	2020 1 1	2020 6 30
	69,285,250.09	69,285,250.09
	35,977,918.43	35,977,918.43
( "-" )	-32,144,183.60	-32,144,183.60
- /	-	-
/	-	-
	-	-
( "-" )	-	-
	73,118,984.92	73,118,984.92

C		
	2020 1 1	2020 6 30
	37,432,376.81	37,432,376.81
	31,949,099.36	31,949,099.36
( "-" )	-8,948,079.70	-8,948,079.70
- /	-	-
/	-	-
	-	-
( "-" )	-	-
	60,433,396.47	60,433,396.47

( )

( )

**6.4.6.10**

A		

	21,202,724.17	-3,379,715.23	17,823,008.94
	7,530,587.82	11,327,407.84	18,857,995.66
	1,509,433.28	-3,873,353.32	-2,363,920.04
	12,681,344.46	-5,389,823.51	7,291,520.95
	-11,171,911.18	1,516,470.19	-9,655,440.99
	-	-	-
	30,242,745.27	4,074,339.29	34,317,084.56

C			
	264,455.97	8,282,121.18	8,546,577.15
	5,059,747.96	7,719,929.71	12,779,677.67
	1,189,862.04	3,519,585.47	4,709,447.51
	1,544,089.37	5,009,970.16	6,554,059.53
	-354,227.33	-1,490,384.69	-1,844,612.02
	-	-	-
	6,514,065.97	19,521,636.36	26,035,702.33

#### 6.4.6.11

	2020	1	1	2020	6	30
						20,850.95
						-
						-
						2,467.69
						3,691.50
						27,010.14

#### 6.4.6.12

##### 6.4.6.12.1

	2020	1	1	2020	6	30
						155,688,038.86
						142,697,562.92
						12,990,475.94

**6.4.6.13****6.4.6.13.1**

	2020 1 1	2020 6 30
---		-24,787.00
---		-
---		-
		-24,787.00

**6.4.6.13.2**

---

	2020 1 1	2020 6 30
		5,713,950.00
		5,524,787.00
		213,950.00
		-24,787.00

**6.4.6.13.3**

---

**6.4.6.13.4**

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**6.4.6.13.5****6.4.6.14****6.4.6.14.1****6.4.6.15****6.4.6.15.1**

---

## 6.4.6.15.2

---

## 6.4.6.16

	2020 1 1	2020 6 30
		1,530,189.53
		-
		-
		1,530,189.53

## 6.4.6.17

	2020 1 1	2020 6 30
1.		19,047,337.55
---		19,043,582.55
---		3,755.00
---		-
---		-
---		-
2.		-
---		-
3.		-
		-
		19,047,337.55

## 6.4.6.18

	2020 1 1	2020 6 30
		18,048.47
		7.88
		18,056.35

A

30

30

3

75%

3

6

50%

6

25%

29

57

C 30

30

**6.4.6.19**

	2020 1 1	2020 6 30
		375,120.65
		-
		-
		-
		-
		375,120.65

**6.4.6.20**

	2020 1 1	2020 6 30
		14,918.54
		59,672.34
		-
		732.00
		75,322.88

**6.4.7****6.4.7.1****6.4.7.2**

**6.4.8****6.4.8.1****6.4.8.2**

"	C

**6.4.9****6.4.9.1****6.4.9.1.1****6.4.9.1.2****6.4.9.1.3****6.4.9.1.4****6.4.9.1.5****6.4.9.2****6.4.9.2.1**

	2020 1 1 30	2020 6	2019 1 1	2019 6 30
		1, 174, 280. 26		639, 111. 70
		209, 864. 05		294, 320. 28

--	--	--

 $\times 1.50\%$ 
 $= \times 1.50\% \div$ 

### 6.4.9.2.2

	2020 1 1 30	2020 6 30	2019 1 1	2019 6 30
		195,713.39		106,518.57

 $\times 0.25\%$ 
 $= \times 0.25\% \div$ 

### 6.4.9.2.3

	2020 1 1 2020 6 30		
	A	C	
	-	192,564.15	192,564.15
	-	192,564.15	192,564.15
	2019 1 1 2019 6 30		
	A	C	
	-	153.35	153.35
	-	153.35	153.35

A

C

 $\times 1.00\%$ 
 $C = C$ 
 $\times 1.00\% \div$

6.4.9.3

( )

( )

6.4.9.4

6.4.9.4.1

6.4.9.4.2

6.4.9.5

6.4.9.5.1

6.4.9.5.2

6.4.9.6

	2020 1 1		2020 6 30		2019 1 1		2019 6 30	
	11,103,402.58		20,850.95		5,123,932.70		21,350.38	

2020 6 30

" "

2019 12 31

6.4.9.7

**6.4.9.8****6.4.10****6.4.11        2020    6    30****6.4.11.1        /**

6.4.11.1.1										
601816		2020 1 8	2020 7 16		4.88	6.17	906,536	4,423,895.68	5,593,327.12	-
688177		2020 2 13	2020 8 21		32.76	57.02	3,933	128,845.08	224,259.66	-
688505		2020 6 10	2020 12 21		8.95	24.78	7,881	70,534.95	195,291.18	-
688568		2020 6 30	2020 7 8		16.21	16.21	6,474	104,943.54	104,943.54	-
688277		2020 6 24	2020 7 7		12.04	12.04	8,132	97,909.28	97,909.28	-
688081		2019 12 26	2020 7 6		28.21	42.01	2,260	63,754.60	94,942.60	-
688377		2020 6	2020 7		16.42	16.42	4,726	77,600.92	77,600.92	-

		29	8							
688027		2020 6 30	2020 7 9		36.18	36.18	2,028	73,373.04	73,373.04	-
688528		2020 6 19	2020 7 1		11.33	11.33	6,356	72,013.48	72,013.48	-
688600		2020 6 23	2020 7 3		15.50	15.50	4,238	65,689.00	65,689.00	-
300847		2020 6 29	2020 7 9		6.94	6.94	1,421	9,861.74	9,861.74	-
300843		2020 6 23	2020 7 2		10.01	10.01	751	7,517.51	7,517.51	-
300840		2020 6 30	2020 7 8		5.94	5.94	1,185	7,038.90	7,038.90	-
300846		2020 6 22	2020 7 1		3.37	3.37	1,131	3,811.47	3,811.47	-

**6.4.11.2****6.4.11.3****6.4.11.3.1****6.4.11.3.2**

**6.4.11.4**

**6.4.12**

**6.4.12.1**

"

"

**6.4.12.2**

10%

10%

**6.4.12.2.1****6.4.12.2.2****6.4.12.2.3****6.4.12.2.4**

	2020 6 30	2019 12 31
AAA	88,335.00	77,467.00
AAA	-	-
	-	5,531,900.00
	88,335.00	5,609,367.00

**6.4.12.2.5****6.4.12.2.6****6.4.12.3****6.4.12.3.1**

## 6.4.12.4

## 6.4.12.4.1

## 6.4.12.4.1.1

2020	6	30	6	6	-1	1-5	5		
			11,103,402.58		-	-	-	-	11,103,402.58
			222,558.18		-	-	-	-	222,558.18
			42,612.06		-	-	-	-	42,612.06
			88,335.00		-	-	-	-180,862,813.81	180,951,148.81
			-		-	-	-	2,993,553.31	2,993,553.31
			-		-	-	-	1,263.62	1,263.62
			-		-	-	-	47,960.39	47,960.39
			11,456,907.82		-	-	-	-183,905,591.13	195,362,498.95
			-		-	-	-	733,120.51	733,120.51
			-		-	-	-	270,144.57	270,144.57
			-		-	-	-	228,572.94	228,572.94
			-		-	-	-	38,095.50	38,095.50
			-		-	-	-	26,854.36	26,854.36
			-		-	-	-	85,799.38	85,799.38
			-		-	-	-	0.34	0.34
			-		-	-	-	74,743.07	74,743.07
			-		-	-	-	1,457,330.67	1,457,330.67

	11,456,907.82	-	-	-	-182,448,260.46	193,905,168.28
2019 12 31 6	6	-1	1-5	5		
	24,858,513.44	-	-	-	-	24,858,513.44
	260,853.73	-	-	-	-	260,853.73
	30,306.87	-	-	-	-	30,306.87
	5,531,900.00	77,467.00	-	-	-123,072,757.07	128,682,124.07
	-	-	-	-	155,064.61	155,064.61
	-	-	-	-	11,910.54	11,910.54
	30,681,574.04	77,467.00	-	-	-123,239,732.22	153,998,773.26
	-	-	-	-	20,177,788.74	20,177,788.74
	-	-	-	-	284,506.76	284,506.76
	-	-	-	-	163,230.64	163,230.64
	-	-	-	-	27,205.08	27,205.08
	-	-	-	-	4,130.47	4,130.47
	-	-	-	-	144,446.42	144,446.42
	-	-	-	-	0.35	0.35
	-	-	-	-	110,251.81	110,251.81
	-	-	-	-	20,911,560.27	20,911,560.27
	30,681,574.04	77,467.00	-	-	-102,328,171.95	133,087,212.99

#### 6.4.12.4.1.2

		2020 6 30	2019 12 31
	27	1,324.83	5,657.83
	27	-1,324.83	-5,657.83

#### 6.4.12.4.2

## 6.4.12.4.3

VaR (Value at Risk)

6 30

## 6.4.12.4.3.1

	2020 6 30		2019 12 31	
		%		%
-	180,862,813.81	93.27	123,072,757.07	92.48
	-	-	-	-
	88,335.00	0.05	5,609,367.00	4.21
	-	-	-	-
	-	-	-	-
	-	-	-	-
	180,951,148.81	93.32	128,682,124.07	96.69

## 6.4.12.4.3.2

		95%	
		2020 6 30	2019 12 31
VaR 31	3.91% VaR 3.55%)	-7,574,427.96	-4,721,944.41

VaR

95%

2019

**6.4.13**

(1)

(a)

(b)

(i)

2020 6 30

174,323,569.37

519,758.88

6,107,820.56

(ii)

( )

(iii)

1,239,713.04

63,754.60

1,239,713.04

956,997.77

4,623,275.71

0.00

6,107,820.56

1,420,790.25

(2)

## §7

### 7.1

			%
1		180,862,813.81	92.58
		180,862,813.81	92.58
2		-	-
3		88,335.00	0.05
		88,335.00	0.05
		-	-
4		-	-
5		-	-
6		-	-
		-	-
7		11,325,960.76	5.80
8		3,085,389.38	1.58
9		195,362,498.95	100.00

### 7.2

#### 7.2.1

			(%)
A		4,920.00	0.00
B		-	-
C		87,292,745.11	45.02
D		12,766.32	0.01
E		-	-
F		3,266,172.00	1.68
G		7,033,557.57	3.63
H		-	-
I		15,981,403.01	8.24
J		61,379,420.80	31.65
K		1,808,268.00	0.93
L		-	-
M		-	-

N		-	-
O		-	-
P		3,604,725.00	1.86
Q		478,836.00	0.25
R		-	-
S		-	-
		180,862,813.81	93.27

### 7.2.2

### 7.3

1	600276		86,160	7,952,568.00	4.10
2	600519		5,405	7,906,866.40	4.08
3	600036		228,800	7,715,136.00	3.98
4	002475		149,236	7,663,268.60	3.95
5	601318		98,822	7,055,890.80	3.64
6	000333		103,600	6,194,244.00	3.19
7	601816		906,551	5,593,420.57	2.88
8	300059		250,560	5,061,312.00	2.61
9	002555		95,800	4,483,440.00	2.31
10	002142		168,800	4,434,376.00	2.29
11	603288		34,560	4,299,264.00	2.22
12	601166		268,000	4,229,040.00	2.18
13	600031		215,900	4,050,284.00	2.09
14	002602		258,000	3,949,980.00	2.04
15	603986		15,820	3,732,096.20	1.92
16	600999		168,800	3,705,160.00	1.91
17	002607		129,900	3,604,725.00	1.86
18	601009		488,800	3,582,904.00	1.85
19	000166		680,000	3,434,000.00	1.77
20	300482		33,000	3,432,000.00	1.77
21	300033		25,500	3,393,540.00	1.75
22	601688		174,100	3,273,080.00	1.69

23	603658		19,600	3,183,824.00	1.64
24	000708		184,280	3,149,345.20	1.62
25	000661		7,100	3,090,630.00	1.59
26	601336		68,800	3,046,464.00	1.57
27	601939		465,000	2,934,150.00	1.51
28	300496		37,100	2,882,670.00	1.49
29	601601		103,800	2,828,550.00	1.46
30	603444		5,000	2,745,050.00	1.42
31	603606		180,000	2,617,200.00	1.35
32	002697		238,800	2,607,696.00	1.34
33	600030		106,000	2,555,660.00	1.32
34	601100		31,400	2,518,280.00	1.30
35	002511		109,400	2,439,620.00	1.26
36	000001		188,000	2,406,400.00	1.24
37	600346		170,738	2,390,332.00	1.23
38	000063		55,400	2,223,202.00	1.15
39	300124		57,500	2,184,425.00	1.13
40	300558		15,300	2,141,694.00	1.10
41	600486		21,800	1,798,500.00	0.93
42	600845		28,800	1,701,504.00	0.88
43	000895		35,500	1,636,195.00	0.84
44	600048		99,100	1,464,698.00	0.76
45	601881		111,400	1,277,758.00	0.66
46	002414		42,500	1,244,400.00	0.64
47	002705		30,000	1,094,400.00	0.56
48	600298		21,900	1,083,612.00	0.56
49	603345		8,800	1,038,400.00	0.54
50	601872		172,900	1,008,007.00	0.52
51	002706		58,000	967,440.00	0.50
52	688363		6,370	942,123.00	0.49
53	002001		31,400	913,740.00	0.47
54	300502		13,120	828,134.40	0.43
55	002223		19,500	709,800.00	0.37
56	601933		70,200	658,476.00	0.34
57	600183		19,800	579,546.00	0.30
58	300347		4,700	478,836.00	0.25
59	002847		4,900	466,578.00	0.24
60	600926		50,000	446,000.00	0.23
61	002352		7,900	432,130.00	0.22

62	300529		5,700	396,150.00	0.20
63	688037		3,028	384,858.80	0.20
64	300206		20,000	364,800.00	0.19
65	600639		21,500	343,570.00	0.18
66	300394		5,100	303,705.00	0.16
67	688177		3,933	224,259.66	0.12
68	688123		2,664	205,128.00	0.11
69	688505		7,881	195,291.18	0.10
70	688310		3,276	123,144.84	0.06
71	688078		2,670	110,004.00	0.06
72	688568		6,474	104,943.54	0.05
73	688277		8,132	97,909.28	0.05
74	688081		2,260	94,942.60	0.05
75	688377		4,726	77,600.92	0.04
76	688027		2,028	73,373.04	0.04
77	688528		6,356	72,013.48	0.04
78	688600		4,238	65,689.00	0.03
79	603087		602	60,380.60	0.03
80	300824		1,954	26,789.34	0.01
81	300842		455	18,527.60	0.01
82	600956		2,533	12,766.32	0.01
83	300839		502	11,751.82	0.01
84	300847		1,421	9,861.74	0.01
85	300843		751	7,517.51	0.00
86	300840		1,185	7,038.90	0.00
87	002714		60	4,920.00	0.00
88	300846		1,131	3,811.47	0.00

## 7.4

### 7.4.1

2% 20

1	601816		6,319,848.88	4.75
2	002352		5,260,135.00	3.95
3	603986		4,795,621.00	3.60
4	600031		4,549,814.29	3.42
5	600887		4,489,894.71	3.37

6	603288		3,672,059.70	2.76
7	002142		3,652,476.00	2.74
8	600048		3,647,119.00	2.74
9	002602		3,558,899.00	2.67
10	600519		3,543,190.00	2.66
11	600570		3,536,238.00	2.66
12	603018		3,517,389.00	2.64
13	000895		3,387,984.91	2.55
14	002555		3,290,769.00	2.47
15	000166		3,026,000.00	2.27
16	300033		2,992,109.00	2.25
17	600183		2,988,630.62	2.25
18	601939		2,936,242.00	2.21
19	600999		2,914,199.92	2.19
20	601336		2,844,889.00	2.14
21	601100		2,803,269.00	2.11
22	002557		2,780,826.00	2.09
23	000708		2,773,563.00	2.08
24	000063		2,743,624.00	2.06
25	300482		2,729,733.63	2.05
26	002791		2,681,712.00	2.02
27	600745		2,670,232.00	2.01

" "

## 7.4.2

2% 20

1	002352		5,082,879.00	3.82
2	600887		4,709,103.00	3.54
3	002791		4,163,873.00	3.13
4	300498		3,989,527.00	3.00
5	600570		3,850,840.00	2.89
6	000002	A	3,797,680.00	2.85
7	000876		3,734,230.00	2.81
8	603986		3,711,602.00	2.79
9	600837		3,682,200.00	2.77
10	603018		3,550,872.00	2.67

11	000661		3,425,327.00	2.57
12	002557		3,349,552.20	2.52
13	300012		3,336,040.30	2.51
14	603160		3,181,504.00	2.39
15	601117		3,098,845.00	2.33
16	603737		3,095,250.00	2.33
17	601628		3,054,386.00	2.30
18	002271		2,995,103.00	2.25
19	000858		2,977,401.80	2.24
20	300014		2,746,778.94	2.06

" "

**7.4.3**

	181,444,037.11
	155,688,038.86

" " " "

**7.5**

1		-	-
2		-	-
3		-	-
		-	-
4		-	-
5		-	-
6		-	-
7		88,335.00	0.05
8		-	-
9		-	-
10		88,335.00	0.05

**7.6**

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1	128080		650	88,335.00	0.05
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**7.7**

**7.8**

**7.9**

**7.10**

**7.10.1**

**7.10.2**

**7.11**

**7.11.1**

**7.11.2**

**7.11.3**

**7.12**

**7.12.1**

2019 12 5

2019 67

40

**7.12.2****7.12.3**

1		42,612.06
2		2,993,553.31
3		-
4		1,263.62
5		47,960.39
6		-
7		-
8		-
9		3,085,389.38

**7.12.4**

1	128080		88,335.00	0.05

**7.12.5**

				%	
1	601816		5,593,327.12	2.88	

## §8

## 8.1

	( )					
A	2,710	26,981.18	40,965,356.19	56.03%	32,153,628.73	43.97%
C	23	2,627,538.98	60,303,577.60	99.79%	129,818.87	0.21%
	2,733	48,866.59	101,268,933.79	75.83%	32,283,447.60	24.17%

## 8.2

	A	54,486.37	0.07%
	C	0.00	0.00%
		54,486.37	0.04%

## 8.3

	A	0
	C	0
		0
	A	0
	C	0
		0

## §9

	A	C
2015年7月14日	648,523,672.21	-
	69,285,250.09	37,432,376.81
	35,977,918.43	31,949,099.36
:	32,144,183.60	8,948,079.70
"_"	-	-
	73,118,984.92	60,433,396.47

## §10

**10.1**

**10.2**

10.2.1

10.2.2

**10.3**

**10.4**

**10.5**

**10.6**

**10.7**

**10.7.1**

	2	155,458,438.81	47.63%	82,595.54	47.63%	-
	1	131,475,870.10	40.28%	69,852.05	40.28%	-
	3	39,456,433.69	12.09%	20,962.86	12.09%	-
	1	-	-	-	-	-
	1	-	-	-	-	-

	1	-	-	-	-	-
	1	-	-	-	-	-
	2	-	-	-	-	-
	1	-	-	-	-	-

1

2

1998 29

2007 48

1

1

2

3

4

2

**10.7.2****10.8**

1	2019 4		2020 1 17
2	2019		2020 1 17
3		2020	2020 1 31
4			2020 3 4

5	C		2020 3 13
6			2020 3 13
7			2020 3 17
8			2020 3 18
9	2019		2020 3 25
10	2020 1		2020 4 22
11	2020		2020 4 22
12	2019		2020 4 27
13	2019		2020 4 27
14			2020 5 7
15			2020 5 21
16			2020 6 3
17			2020 6 3

18			2020 6 10
19			2020 6 15
20			2020 6 15
21			2020 6 29
22	2020 1		2020 6 30

## §11

### 11.1

**20%**

		20%						
	1	2020 20 2020 30	3 6	7,538,263.17	29,685,326.55	0.00	37,223,589.72	27.87%
	-	-		-	-	-	-	-
1								
2								
3								

### 11.2

## §12

### 12.1

1

2

3

4

5

6

### 12.2

### 12.3

<http://www.cxfund.com.cn>

2020 8 29