

2020 4

2020 12 31

2021 1 22

§1

2021 1 20

2020 10 1      2020 12 31

§2

	005305	
	2019 6 11	
	648,776,318.12	
	× 30%	× 70%+ 300
	A	C
	005305	005306
	510,611,495.04	138,164,823.08

### §3

#### 3.1

	2020 10 1	2020 12 31
	A	C
1	22,736,117.66	8,099,183.54
2	47,567,032.22	17,700,625.25
3	0.1064	0.1037
4	693,965,090.82	185,952,922.36
5	1.3591	1.3459

1

2

#### 3.2

##### 3.2.1

A

	8.47%	0.42%	4.43%	0.30%	4.04%	0.12%
	18.28%	0.50%	6.59%	0.39%	11.69%	0.11%
	27.58%	0.52%	8.04%	0.41%	19.54%	0.11%
	35.91%	0.44%	13.29%	0.37%	22.62%	0.07%

C

	8.30%	0.42%	4.43%	0.30%	3.87%	0.12%
	17.92%	0.50%	6.59%	0.39%	11.33%	0.11%
	26.83%	0.52%	8.04%	0.41%	18.79%	0.11%
	34.59%	0.44%	13.29%	0.37%	21.30%	0.07%



## §4

## 4.1

		2019 6 11	-	14	2006
		2019 6 26	-	7	2013

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1

2

**4.2**

**4.3**

**4.3.1**

**4.3.2**

5%

## 4.4

2021

## 4.5

2020	12	31	A	1.3591	1.3591
C		1.3459		1.3459	A
8.47%,	C		8.30%		4.43%

## 4.6

## §5

## 5.1

			%
1		292,227,812.35	32.81
		292,227,812.35	32.81
2		-	-
3		372,826,900.00	41.86
		372,826,900.00	41.86
		-	-
4		-	-
5		-	-
6		135,900,349.85	15.26
		-	-
7		13,728,281.19	1.54
8		76,072,339.29	8.54
9		890,755,682.68	100.00

## 5.2

### 5.2.1

			(%)
A		-	-
B		-	-
C		206,317,933.86	23.45
D		3,832,000.00	0.44
E		8,449,000.00	0.96
F		8,146,822.72	0.93
G		54,203,998.88	6.16
H		-	-
I		1,946,162.77	0.22
J		1,714,383.21	0.19
K		8,975.14	0.00
L		-	-
M		15,649.20	0.00
N		62,972.16	0.01
O		-	-
P		-	-
Q		7,489,000.00	0.85
R		40,914.41	0.00
S		-	-
		292,227,812.35	33.21

### 5.2.2

## 5.3

1	000333		300,000	29,532,000.00	3.36
2	601021		500,000	27,715,000.00	3.15
3	600309		300,000	27,312,000.00	3.10
4	002352		300,000	26,469,000.00	3.01
5	000858		90,000	26,266,500.00	2.99
6	002415		500,000	24,255,000.00	2.76
7	000651		300,000	18,582,000.00	2.11
8	002600		1,500,000	17,985,000.00	2.04
9	002372		900,000	16,830,000.00	1.91

10	000725	A	2,600,000	15,600,000.00	1.77
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**5.4**

1			31,128,900.00		3.54
2			-		-
3			201,158,000.00		22.86
			181,100,000.00		20.58
4			30,045,000.00		3.41
5			50,152,000.00		5.70
6			40,449,000.00		4.60
7			452,000.00		0.05
8			19,442,000.00		2.21
9			-		-
10			372,826,900.00		42.37

**5.5**

1	190210	19	10	500,000	50,100,000.00	5.69
2	101800563	18	MTN003	300,000	30,354,000.00	3.45
3	042000045	20	CP001	300,000	30,132,000.00	3.42
4	200203	20	03	300,000	30,066,000.00	3.42
5	136262	16	01	300,000	30,045,000.00	3.41

**5.6****5.7****5.8****5.9****5.9.1**

**5.9.2**

**5.10**

**5.10.1**

**5.10.2**

**5.10.3**

**5.11**

**5.11.1**

2020 12 25

2020 67

:

" "

**5.11.2****5.11.3**

1		109,131.88
2		359,232.95
3		-
4		7,375,184.13
5		68,228,790.33
6		-
7		-
8		76,072,339.29

**5.11.4****5.11.5****5.11.6****§6**

	A	C
	443,245,464.00	182,586,095.91
	87,382,356.19	1,471,645.87
:	20,016,325.15	45,892,918.70
"_"	-	-
	510,611,495.04	138,164,823.08

**§7**

7.1

7.2

**§8**

8.1

**20%**

20%

8.2

**§9**

9.1

1

2

3

4

5

6

**9.2**

**9.3**

<http://www.cxfund.com.cn>

2021 1 22