

1-3

2020 4

2020 12 31

2021 1 22

§1

2021 1 20

2020 10 1 2020 12 31

§2

	1-3
	009280
	2020 6 5
	2,980,141.30
	0.35%
	4%
	1-3 () *5% × 95%+

	1-3	1-3
	A	C
	009280	009281
	37,879.51	2,942,261.79

§3

3.1

	2020 10 1	2020 12 31
	1-3 A	1-3 C
1	-68,032.72	3,251.85
2	208,130.13	34,084.80
3	0.0080	0.0470
4	38,587.30	3,007,657.77
5	1.0187	1.0222

1

2

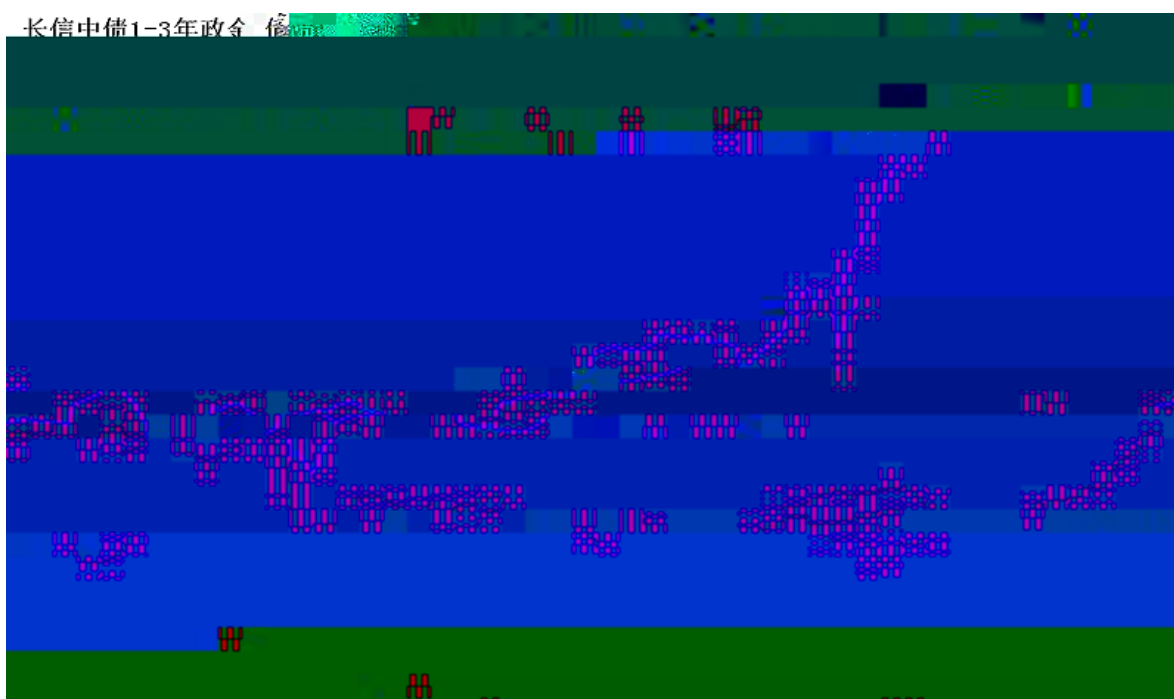
3.2

3.2.1

	1-3			A		
	1.64%	0.07%	0.71%	0.03%	0.93%	0.04%
	1.63%	0.06%	0.21%	0.04%	1.42%	0.02%
	1.87%	0.06%	0.18%	0.05%	1.69%	0.01%

	1-3			C		
	2.02%	0.05%	0.71%	0.03%	1.31%	0.02%
	1.99%	0.05%	0.21%	0.04%	1.78%	0.01%
	2.22%	0.05%	0.18%	0.05%	2.04%	0.00%

3.2.2



	1-3 87				1-3 87
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1

2

4.2

4.3

4.3.1

4.3.2

5%

4.4

				11				1
	10		25bp	15bp				1
	MLF			MLF	12			1
		2.95%						14
				12				
20bp	1				50bp	40bp		

“ +MLF”

4.5

	2020	12	31		1-3	A	1.0187	
	1.0187				1-3	A	1.64%	1-3
	C		1.0222			1.0222		1-3
	C		2.02%			0.71%		

4.6

2020	10	15	2020	11	11
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§5

5.1

			%
1		-	-
		-	-
2		-	-
3		2, 456, 313. 00	75. 40
		2, 456, 313. 00	75. 40
		-	-
4		-	-
5		-	-
6		-	-
		-	-
7		567, 554. 98	17. 42
8		233, 652. 10	7. 17
9		3, 257, 520. 08	100. 00

5.2

5.2.1

5.2.2

5.3

5.4

1		369, 963. 00	12. 14
2		-	-
3		2, 086, 350. 00	68. 49
		2, 086, 350. 00	68. 49
4		-	-

5		-	-
6		-	-
7		-	-
8		-	-
9		-	-
10		2,456,313.00	80.63

5.5

1	092018001	20 01	21,000	2,086,350.00	68.49
2	019627	20 01	3,700	369,963.00	12.14

5.6**5.7****5.8****5.9****5.9.1****5.9.2****5.9.3****5.10****5.10.1**

5.10.2**5.10.3**

1		7,080.66
2		-
3		-
4		46,571.44
5		180,000.00
6		-
7		-
8		233,652.10

5.10.4**5.10.5****5.10.6****§6**

	1-3 A	1-3 C
	80,125,884.12	95,861.35
	3,159.88	3,506,718.08
:	80,091,164.49	660,317.64
"_"	-	-
	37,879.51	2,942,261.79

§7**7.1**

7.2

§8

8.1

20%

		20%					
1	2020 10 1						
	2020 10 14	40,000,000.00	0.00	40,000,000.00	0.00	0.00%	
	2020 12 7	40,000,000.00	0.00	40,000,000.00	0.00	0.00%	
2	2020 12 8						
	2020 12 31	0.00	1,290,835.07	0.00	1,290,835.07	43.31%	
-	-	-	-	-	-	-	

1
2
3

8.2

§9

9.1

- 1
- 2 1-3
- 3 1-3
- 4 1-3
- 5
- 6

9.2

9.3

<http://www.cxfund.com.cn>

2021 1 22