

2020

2020 12 31

2021 3 30

§ 1

1.1

“ ”

2021 3 29

2020 1 1      2020 12 31

<b>1.2</b>		
<b>§ 1</b>	.....	<b>2</b>
1.1	.....	2
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## §2

### 2.1

	CX	
	519973	
	2013 11 29	
	286,812,656.83	
		A C
	CX A	CX C
	519973	519972
	263,754,869.77	23,057,787.06

### 2.2

		× 1.3+1.1%
	1	
	2	
	3	
	4	
	5	
	6	
	7	
	(	
		× 1.3+1.1%

### 2.3


	021-61009999	010-66105799
	zhouyg@cxfund.com.cn	custody@i cbc.com.cn
	4007005566	95588
	021-61009800	010-66105798
	68 9	55
	68 9	55
	200120	100140

## 2.4

	www.cxfund.com.cn
	68 9
	55

## 2.5

		222 30
		17

## § 3

## 3.1

3.1. 1	2020		2019		2018	
	A	C	A	C	A	C
	16,727,860. 71	1,305,460. 05	21,571,545. 71	1,341,290. 70	16,859,466. 04	-521,457.6 5
	11,780,899. 59	886,848.25	21,816,124. 90	1,390,817. 41	34,023,347. 33	1,515,603. 86
	0.0436	0.0382	0.0631	0.0541	0.0739	0.0562
	4.11%	3.60%	5.97%	5.14%	7.17%	5.50%

	3.98%	3.57%	6.00%	5.57%	7.68%	7.21%
3.1. 2	2020		2019		2018	
	15,895,984. 40	1,300,659. 95	17,830,674. 02	1,285,941. 84	20,661,217. 39	675,613.67
	0.0603	0.0564	0.0526	0.0481	0.0484	0.0434

	282,632,335 .49	24,622,531 .61	367,236,169 .72	28,817,668 .79	460,254,945 .28	16,703,020 .81
	1.0716	1.0679	1.0834	1.0789	1.0776	1.0726
3.1. 3	2020		2019		2018	
	60.71%	56.11%	54.56%	50.73%	45.81%	42.78%

1

2

3

## 3.2

## 3.2.1

A

	0.87%	0.06%	0.77%	0.01%	0.10%	0.05%
	1.52%	0.08%	1.55%	0.01%	-0.03%	0.07%
	3.98%	0.10%	3.11%	0.01%	0.87%	0.09%
	18.69%	0.08%	9.59%	0.01%	9.10%	0.07%
	26.14%	0.07%	16.49%	0.01%	9.65%	0.06%
	60.71%	0.11%	27.80%	0.01%	32.91%	0.10%

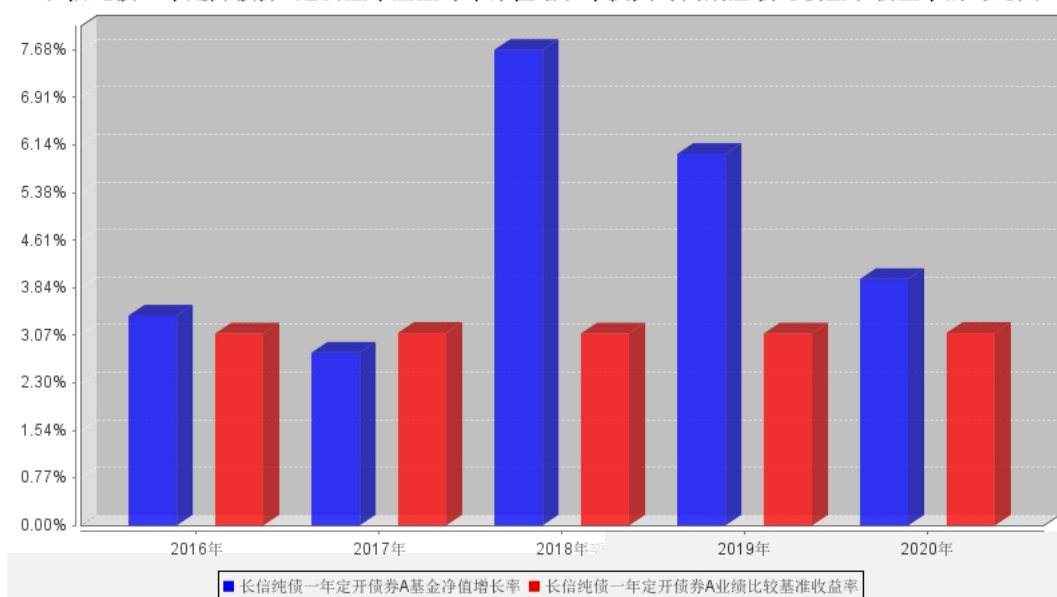
C

	0.76%	0.06%	0.77%	0.01%	-0.01%	0.05%
	1.32%	0.08%	1.55%	0.01%	-0.23%	0.07%
	3.57%	0.10%	3.11%	0.01%	0.46%	0.09%
	17.23%	0.08%	9.59%	0.01%	7.64%	0.07%
	23.59%	0.07%	16.49%	0.01%	7.10%	0.06%
	56.11%	0.11%	27.80%	0.01%	28.31%	0.10%

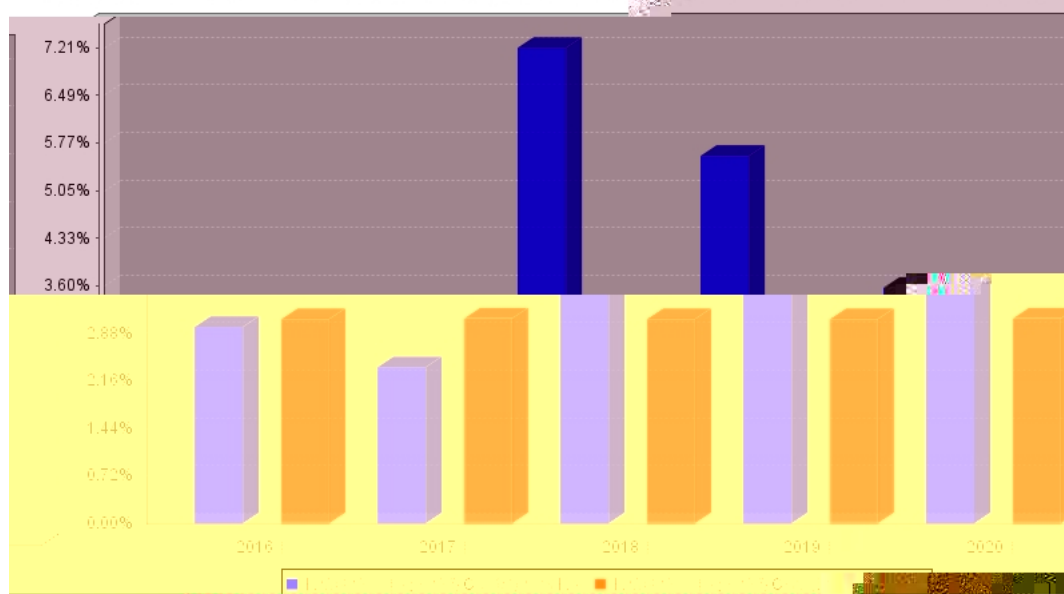


## 3.2.3

长信纯债一年定开债券A过去五年基金每年净值增长率及其与同期业绩比较基准收益率的对比图



长信纯债一年定开债券C过去五年基金每年净值增长率及其与同期业绩比较基准收益率的对比图



## 3.3

A					
	10				
2020	0.530	11,439,878.01	6,525,233.51	17,965,111.52	
2019	0.560	17,132,537.79	6,784,806.27	23,917,344.06	
2018	0.300	22,847,739.50	10,574,275.82	33,422,015.32	
	1.390	51,420,155.30	23,884,315.60	75,304,470.90	

---

C					
	10				
2020	0.480	827,844.03	454,242.44	1,282,086.47	
2019	0.510	476,187.12	318,003.75	794,190.87	
2018	0.260	5,860,304.14	1,188,475.51	7,048,779.65	
	1.250	7,164,335.29	1,960,721.70	9,125,056.99	

4.8

**§4**

**4.1**

**4.1.1**

2003 63  
1.65  
44.55%  
31.21% 15.15% 4.55%  
4.54%  
2020 12 31 73

100

(LOF)

(LOF)

LOF

500

FOF

300

FOF 50

1-3

87

FOF

**4.1.2**

		2020	5	-	2019
		15		12	7

	(LOF)	2020 7 9	-	7	2016 5  (LOF)
		2018 6 4	2020 5 18	8	

1

2

**4.2**

### 4.3

#### 4.3.1

1

2

1

2

5 1

3

4

5

#### 4.3.2

### 4.3.3

5%

## 4.4

### 4.4.1

2020	10		2019	
	10	2.48%	3.35%	85BP
			3	AA+
10		0.03%	0.95%	
		2020		

### 4.4.2

2020	12	31	A	1.0716	
1.5034			A	3.98%	C
	1.0679		1.4717		C
	3.57%		3.11%		

## 4.5

2021		GDP	8%-9%
------	--	-----	-------

**4.6**

**4.7**

## 4.8

	A	2020	1	17	2020	1	17	10
0.530		11,439,878.01			6,525,233.51			
17,965,111.52								
	C	2020	1	17	2020	1	17	10
0.480		827,844.03			454,242.44			
1,282,086.47								

1

1

100%

2

A

C

3

4

5

## 4.9

**§5**

**5.1**

**5.2**

---

1

19,247,197.99

**5.3**

2020



	( )
	(1)
	(2)
	(3)
	(4)
	(5) ( )
	222 30
	2021 3 25

## §7

## 7.1

2020 12 31

		2020 12 31	2019 12 31
	7.4.7.1	1,502,103.57	465,808.22
		760,901.52	3,850,046.95
		1,027.50	3,316.94
	7.4.7.2	351,869,915.30	557,092,440.10
		-	-
		-	-
		351,869,915.30	557,092,440.10
		-	-
		-	-
	7.4.7.3	-	-
	7.4.7.4	-	-
		-	-
	7.4.7.5	4,563,444.68	9,007,964.28
		-	-
		-	-
		-	-
	7.4.7.6	-	-
		358,697,392.57	570,419,576.49
		2020 12 31	2019 12 31
		-	-
		-	-
	7.4.7.3	-	-
		51,019,834.97	173,846,288.23
		5,913.53	7,679.37
		-	-
		155,425.73	201,277.46
		25,904.29	33,546.26
		8,304.95	9,765.12
	7.4.7.7	11,784.24	9,538.17
		46,008.35	76,998.19
		349.41	11,645.18

		-	-
		-	-
	7.4.7.8	169,000.00	169,000.00
		51,442,525.47	174,365,737.98
	7.4.7.9	286,812,656.83	365,674,501.33
	7.4.7.10	20,442,210.27	30,379,337.18
		307,254,867.10	396,053,838.51
		358,697,392.57	570,419,576.49

2020 12 31

A

1.0716

C 1.0679

286,812,656.83

A 263,754,869.77

C 23,057,787.06

## 7.2

2020 1 1 2020 12 31

		2020 1 1 2020 12 31	2019 1 1 2019 12 31
		18,514,528.02	31,872,409.85
1.		21,744,715.28	28,330,723.98
	7.4.7.11	59,543.97	105,160.05
		21,681,550.68	28,220,517.92
		-	-
		3,620.63	5,046.01
		-	-
		-	-
2.	" -"	2,133,232.48	3,246,456.87
	7.4.7.12	-	-
		-	-
	7.4.7.13	2,133,232.48	3,246,456.87
	7.4.7.13.5	-	-
	7.4.7.14	-	-
	7.4.7.15	-	-
	7.4.7.16	-	-
3.	7.4.7.17	-5,365,572.92	294,105.90
" -"			
4.	" -"	-	-

5.	" -"	7.4.7.18	2,153.18	1,123.10
			5,846,780.18	8,665,467.54
1		7.4.10.2.1	1,880,394.17	2,470,508.83
2		7.4.10.2.2	313,398.98	507,729.34
3		7.4.10.2.3	98,843.13	108,142.31
4		7.4.7.19	7,054.33	8,058.89
5			3,261,660.39	5,226,629.93
			3,261,660.39	5,226,629.93
6			77,447.57	92,288.41
7		7.4.7.20	207,981.61	252,109.83
	" -"		12,667,747.84	23,206,942.31
			-	-
	" -"		12,667,747.84	23,206,942.31

## 7.3

2020 1 1 2020 12 31

	2020 1 1 2020 12 31		
	365,674,501.33	30,379,337.18	396,053,838.51
	-	12,667,747.84	12,667,747.84
" -"	-78,861,844.50	-3,357,676.76	-82,219,521.26
1.	57,679,051.32	2,525,196.30	60,204,247.62
2.	-136,540,895.82	-5,882,873.06	-142,423,768.88
	-	-19,247,197.99	-19,247,197.99
" -"			

	286,812,656.83	20,442,210.27	307,254,867.10
	<b>2019 1 1 2019 12 31</b>		
	442,667,798.92	34,290,167.17	476,957,966.09
	-	23,206,942.31	23,206,942.31
" _"	-76,993,297.59	-2,406,237.37	-79,399,534.96
1.	32,603,210.44	1,059,074.54	33,662,284.98
2.	-109,596,508.03	-3,465,311.91	-113,061,819.94
	-	-24,711,534.93	-24,711,534.93
" _"			
	365,674,501.33	30,379,337.18	396,053,838.51

7.1 7.4

---

**7.4****7.4.1**

( " " )

( " " )

( " " ) [2013]911

303,045,141.71

( )

1300470

2013 11 29

( )

80%

(

)

5%

( ) × 1.3 + 1.1%

### 7.4.2

( “ ” )

### 7.4.3

2020 12 31

2020

### 7.4.4

#### 7.4.4.1

1 1 12 31

**7.4.4.2**

**7.4.4.3**

1)

2)

**7.4.4.4**

(

)

#### 7.4.4.5

- 1) (
- 2) )
- 3)
- 4)

#### 7.4.4.6

#### 7.4.4.7

**7.4.4.8**

( )

( )

**7.4.4.9 / ( )**

-

-

( )

-

**7.4.4.10**

0.70%

2019 4 15

0.70%

0.60%

0.20%

2019 4 15

0.20%

0.10%

A

C

C

0.40%

**7.4.4.11**

1 1

100%

2 A C

3

4

5

**7.4.4.12**

**7.4.4.13**

< 2015 1  
> ( [2014]24 )  
( )

**7.4.5**

**7.4.5.1**

**7.4.5.2****7.4.5.3****7.4.6**

[2008]1

[2016]36

[2016]140

[2017]56

[2017]90

1) ( )

3%

2)

**7.4.7****7.4.7.1**

	2020 12 31	2019 12 31
	1,502,103.57	465,808.22
	-	-
1	-	-
1-3	-	-
3	-	-
	-	-
	1,502,103.57	465,808.22

**7.4.7.2**

	2020 12 31

		-	-	-	-
	-	-	-	-	-
		77,231,324.17	76,187,104.60	-1,044,219.57	
		276,337,927.12	275,682,810.70	-655,116.42	
		353,569,251.29	351,869,915.30	-1,699,335.99	
		-	-	-	-
		-	-	-	-
		-	-	-	-
		353,569,251.29	351,869,915.30	-1,699,335.99	
		2019 12 31			
		-	-	-	-
	-	-	-	-	-
		249,130,825.41	251,435,421.00	2,304,595.59	
		304,295,377.76	305,657,019.10	1,361,641.34	
		553,426,203.17	557,092,440.10	3,666,236.93	
		-	-	-	-
		-	-	-	-
		-	-	-	-
		553,426,203.17	557,092,440.10	3,666,236.93	

7.4.7.3

/

/

7.4.7.4

7.4.7.4.1

7.4.7.4.2

7.4.7.5

	2020 12 31	2019 12 31
	570.50	605.77
	-	-
	-	-

	342.40	1,732.50
	4,562,531.28	9,005,624.51
	-	-
	-	-
	-	-
	-	-
	-	-
	0.50	1.50
	4,563,444.68	9,007,964.28

#### 7.4.7.6

#### 7.4.7.7

	2020 12 31	2019 12 31
	-	-
	11,784.24	9,538.17
	11,784.24	9,538.17

#### 7.4.7.8

	2020 12 31	2019 12 31
	-	-
	-	-
	-	-
	9,000.00	9,000.00
	40,000.00	40,000.00
	120,000.00	120,000.00
	169,000.00	169,000.00

#### 7.4.7.9

A	
	2020 1 1 2020 12 31
	338,964,368.64
	50,603,892.59

" -"	-125,813,391.46	-125,813,391.46
- /	-	-
/	-	-
	-	-
" -"	-	-
	263,754,869.77	263,754,869.77

C		
	2020 1 1	2020 12 31
	26,710,132.69	26,710,132.69
	7,075,158.73	7,075,158.73
" -"	-10,727,504.36	-10,727,504.36
- /	-	-
/	-	-
	-	-
" -"	-	-
	23,057,787.06	23,057,787.06

#### 7.4.7.10

A			
	17,830,674.02	10,441,127.06	28,271,801.08
	16,727,860.71	-4,946,961.12	11,780,899.59
	-697,438.81	-2,512,684.62	-3,210,123.43
	587,480.28	1,623,284.59	2,210,764.87
	-1,284,919.09	-4,135,969.21	-5,420,888.30
	-17,965,111.52	-	-17,965,111.52
	15,895,984.40	2,981,481.32	18,877,465.72

C			
	1,285,941.84	821,594.26	2,107,536.10
	1,305,460.05	-418,611.80	886,848.25
	-8,655.47	-138,897.86	-147,553.33
	86,537.31	227,894.12	314,431.43
	-95,192.78	-366,791.98	-461,984.76

	-1,282,086.47	-	-1,282,086.47
	1,300,659.95	264,084.60	1,564,744.55

**7.4.7.11**

	2020	1	1	2020	2019	1	1	2019	12
		12	31				31		
				21,593.69				33,072.90	
				-				-	
				-				-	
				37,313.58				71,896.68	
				636.70				190.47	
				59,543.97				105,160.05	
	93.48			543.22					

**7.4.7.12****7.4.7.12.1** —**7.4.7.13****7.4.7.13.1**

	2020	1	1	2020	2019	1	1	2019
		12	31			12	31	
---				2,133,232.48				3,246,456.87
---				-				-
---				-				-
				2,133,232.48				3,246,456.87

**7.4.7.13.2** —

	2020	1	1	2020	2019	1	1	2019
		12	31			12	31	
				564,215,901.32				564,685,524.12
				542,772,487.42				537,433,706.41
				19,310,181.42				24,005,360.84

	2, 133, 232. 48	3, 246, 456. 87
--	-----------------	-----------------

7.4.7.13.3 —

7.4.7.13.4 —

7.4.7.13.5

7.4.7.14

7.4.7.14.1

7.4.7.15

7.4.7.15.1 —

7.4.7.15.2 —

7.4.7.16

7.4.7.17

	2020 1 1 2020	2019 1 1 2019
	12 31	12 31
1.	-5, 365, 572. 92	294, 105. 90
—	-	-
—	-5, 365, 572. 92	294, 105. 90
—	-	-
—	-	-
—	-	-
2.	-	-
—	-	-
3.	-	-
:	-	-

	-5,365,572.92	294,105.90

**7.4.7.18**

	2020 12	1 31	1 31	2020	2019 12	1 31	1 31	2019	12
				2,153.18					1,123.10
				2,153.18					1,123.10

7

25%

**7.4.7.19**

	2020 12	1 31	1 31	2020	2019 12	1 31	1 31	2019	12
				1,691.83					771.39
				5,362.50					7,287.50
				-					-
				-					-
				-					-
				7,054.33					8,058.89

**7.4.7.20**

	2020 12	1 31	1 31	2020	2019 12	1 31	1 31	2019
				40,000.00				40,000.00
				120,000.00				120,000.00
				-				-
				36,000.00				36,900.00
				11,981.61				20,209.83
				-				35,000.00
				-				-
				207,981.61				252,109.83

**7.4.8****7.4.8.1****7.4.8.2**

2021	2	10		2021	2	8	
		A	10		0.500	C	10
		0.500			2021	2	3
2021	2	10		14,340,633.12			
13,191,677.20				1,148,955.92			

**7.4.9**

" "	
" "	
" "	
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" " )	
" "	
" "	

**7.4.10****7.4.10.1****7.4.10.1.1**

**7.4.10.1.2****7.4.10.1.3****7.4.10.1.4****7.4.10.1.5****7.4.10.2****7.4.10.2.1**

	2020	1	1	2020	12	2019	1	1	2019	12
	31				31					
	1,880,394.17				2,470,508.83					
	234,963.73				469,700.59					

1

× 0.70%

=

× 0.70% ÷

2

2019 4 15

0.70%

0.60%

**7.4.10.2.2**

	2020	1	1	2020	12	2019	1	1	2019	12
	31				31					
	313,398.98				507,729.34					

1

× 0.20%

=

× 0.20% ÷

2

2019 4 15

0.20%

0.10%

**7.4.10.2.3**

	2020 1 1 2020 12 31		
	A	C	
	-	8,710.16	8,710.16
-	40,387.82	40,387.82	
-	-	-	
-	49,097.98	49,097.98	

	2019 1 1 2019 12 31		
	A	C	
	-	9,395.79	9,395.79
-	47,823.19	47,823.19	
-	-	-	
-	57,218.98	57,218.98	

A

C

× 0.40%

C

=

C

× 0.40%÷

**7.4.10.3**

( )

( )

**7.4.10.4**

**7.4.10.4.1**

**7.4.10.4.2****7.4.10.5****7.4.10.5.1****7.4.10.5.2****7.4.10.6**

	2020 1 1			2020 12 31			2019 1 1			2019 12 31		
	1,502,103.57			21,593.69			465,808.22			33,072.90		

"

"

2020 12 31 760,901.52 (2019 12 31  
3,850,046.95 )

**7.4.10.7****7.4.10.8****7.4.11**

A

			10					
1	2020 1 17	-	2020 1 17	0.530	11,439,878.01	6,525,233.51	17,965,111.52	

	-	-	0.530	11,439,878.01	6,525,233.51	17,965,111.52	
--	---	---	-------	---------------	--------------	---------------	--

C

				10			
1	2020 1 17	-	2020 1 17	0.480	827,844.03	454,242.44	1,282,086.47
	-	-		0.480	827,844.03	454,242.44	1,282,086.47

**7.4.12**            2020    12    31

**7.4.12.1**            /

/

**7.4.12.2**

**7.4.12.3**

**7.4.12.3.1**

2020    12    31

30,019,834.97

200212	20 12	2021    1    6	100.57	316,000	31,780,120.00
				316,000	31,780,120.00

**7.4.12.3.2**

2020    12    31

21,000,000.00            2021    1    4

/

7.4.12.4

**7.4.13**

**7.4.13.1**

"

"

**7.4.13.2**

10%

10%

**7.4.13.2.1**

	2020 12 31	2019 12 31
A-1	-	-
A-1	-	-
	-	20,116,000.00
	-	20,116,000.00

**7.4.13.2.2****7.4.13.2.3**

	2020 12 31	2019 12 31
A-1	-	-
A-1	-	-
	-	4,850,500.00
	-	4,850,500.00

AAA

**7.4.13.2.4**

	2020 12 31	2019 12 31
AAA	106,921,800.00	250,394,959.00
AAA	144,029,115.30	262,626,095.20
	100,919,000.00	19,104,885.90
	351,869,915.30	532,125,940.10

**7.4.13.2.5****7.4.13.2.6****7.4.13.3**

**7.4.13.3.1****7.4.13.4****7.4.13.4.1****7.4.13.4.1.1**

2020	6	6	-1	1-5	5		
12							
31							

	1,502,103.57	-	-	-	-	1,502,103.57
	760,901.52	-	-	-	-	760,901.52
	1,027.50	-	-	-	-	1,027.50
	1,856,015.30	37,763,000.00	255,106,900.00	57,144,000.00	-	351,869,915.30
	-	-	-	-	-4,563,444.68	4,563,444.68
	4,120,047.89	37,763,000.00	255,106,900.00	57,144,000.00	4,563,444.68	358,697,392.57
	51,019,834.97	-	-	-	-	51,019,834.97
	-	-	-	-	5,913.53	5,913.53
	-	-	-	-	155,425.73	155,425.73
	-	-	-	-	25,904.29	25,904.29
	-	-	-	-	8,304.95	8,304.95
	-	-	-	-	11,784.24	11,784.24
	-	-	-	-	349.41	349.41

		-	-	-	-	46,008.35	46,008.35
		-	-	-	-	169,000.00	169,000.00
		51,019,834.97	-	-	-	422,690.50	51,442,525.47
		-46,899,787.08	37,763,000.00	255,106,900.00	57,144,000.00	4,140,754.18	307,254,867.10
2019							
12	6	6	-1	1-5	5		
31							
		465,808.22	-	-	-	-	465,808.22
		3,850,046.95	-	-	-	-	3,850,046.95
		3,316.94	-	-	-	-	3,316.94
		78,045,452.10	71,045,159.00	390,516,829.00	17,485,000.00	-	-557,092,440.10
		-	-	-	-	-9,007,964.28	9,007,964.28
		82,364,624.21	71,045,159.00	390,516,829.00	17,485,000.00	9,007,964.28	570,419,576.49
		173,846,288.23	-	-	-	-	-173,846,288.23
		-	-	-	-	7,679.37	7,679.37

		-	-	-	-	201,277.46	201,277.46
		-	-	-	-	33,546.26	33,546.26
		-	-	-	-	9,765.12	9,765.12
		-	-	-	-	9,538.17	9,538.17
		-	-	-	-	11,645.18	11,645.18
		-	-	-	-	76,998.19	76,998.19
		-	-	-	-	169,000.00	169,000.00
	173,846,288.23	-	-	-	-	519,449.75	174,365,737.98
	-91,481,664.02	71,045,159.00	390,516,829.00	17,485,000.00	8,488,514.53		396,053,838.51

#### 7.4.13.4.1.2

		2020 12 31	2019 12 31
	27	3,034,113.47	2,754,354.00
	27	-3,034,113.47	-2,754,354.00

#### 7.4.13.4.2

**7.4.13.4.3**

VaR (Value at Risk)

12 31

**7.4.13.4.3.1**

	2020 12 31		2019 12 31	
		%		%
-	-	-	-	-
	-	-	-	-
	351,869,915.30	114.52	557,092,440.10	140.66
	-	-	-	-
	-	-	-	-
	-	-	-	-
	351,869,915.30	114.52	557,092,440.10	140.66

**7.4.13.4.3.2****7.4.14**

(1)

(a)

(b)

---

(i)				
	2020	12	31	
				351,869,915.30
				( 2019
12	31			557,092,440.10 )
(ii)				( )

(iii)

(2)



**8.4.3****8.5**

1		-	-
2		-	-
3		100,919,000.00	32.85
		100,919,000.00	32.85
4		171,047,915.30	55.67
5		-	-
6		79,903,000.00	26.01
7		-	-
8		-	-
9		-	-
10		351,869,915.30	114.52

**8.6**

1	200215	20 15	500,000	50,680,000.00	16.49
2	200212	20 12	400,000	40,228,000.00	13.09
3	101901378	19 MTN001	200,000	20,210,000.00	6.58
4	163006	19 12	200,000	20,050,000.00	6.53
5	101901350	19 MTN005	200,000	20,020,000.00	6.52

**8.7****8.8**

**8.9**

**8.10**

**8.10.1**

**8.10.2**

**8.10.3**

**8.11**

**8.11.1**

2020 12 25

2020 67

:

“ ”

4880

**8.11.2****8.11.3**

1		1,027.50
2		-
3		-
4		4,563,444.68
5		-
6		-
7		-
8		-
9		4,564,472.18

**8.11.4****8.11.5****8.11.6**

## § 9

## 9.1

	( )					
A	626	421,333.66	218,284,780.04	82.76%	45,470,089.73	17.24%
C	402	57,357.68	1,923,076.92	8.34%	21,134,710.14	91.66%
	1,028	279,000.64	220,207,856.96	76.78%	66,604,799.87	23.22%

## 9.2

	A	1,714.22	0.00%
	C	0.00	0.00%
		1,714.22	0.00%

## 9.3

	A	0
	C	0
		0
	A	0
	C	0
		0

## § 10

	A	C
2013 年 11 月 29 日	205,068,134.62	97,977,007.09
	338,964,368.64	26,710,132.69
	50,603,892.59	7,075,158.73
:	125,813,391.46	10,727,504.36
"_"	-	-
	263,754,869.77	23,057,787.06

---

## § 11

### 11.1

### 11.2

#### 11.2.1

#### 11.2.2

### 11.3

### 11.4

### 11.5

40,000.00

4

### 11.6

### 11.7

#### 11.7.1


	2	-	-	-	-	-
	1	-	-	-	-	-
	1	-	-	-	-	-
	2	-	-	-	-	-
	2	-	-	-	-	-
	1	-	-	-	-	-
	1	-	-	-	-	-
	1	-	-	-	-	-

1

1

2

<1998>29

[2007]48

1

a

b

c

d

2

**11.7.2**

	331,959,555.18	100.00%	4,449,115,000.00	100.00%	-	-
	-	-	-	-	-	-
	-	-	-	-	-	-
	-	-	-	-	-	-
	-	-	-	-	-	-

	-	-	-	-	-	-
	-	-	-	-	-	-
	-	-	-	-	-	-

**11.8**

1			2020 1 16
2	2019 4		2020 1 17
3	2019		2020 1 17
4			2020 1 22
5		2020	2020 1 31
6			2020 3 13
7			2020 3 17
8	2019		2020 3 25
9	2020 1		2020 4 22
10	2020		2020 4 22
11	2019		2020 4 27
12	2019		2020 4 27
13			2020 5 16
14			2020 5

			19
15			2020 5 20
16	2020 1		2020 5 29
17			2020 6 3
18			2020 6 3
19			2020 6 10
20			2020 6 15
21			2020 6 29
22			2020 7 10
23			2020 7 14
24	2020 2		2020 7 21
25	2020		2020 7 21
26			2020 8 8

27	2020		2020 8 29
28	2020		2020 8 29
29	A		2020 8 31
30	C		2020 8 31
31			2020 8 31
32			2020 9 26
33			2020 10 27
34	2020 3		2020 10 28
35	2020 3		2020 10 28
36			2020 11 4
37			2020 12 16
38			2020 12 29
39			2020 12 29

## §12

### 12.1

### 20%

		20%					
	1	2020 1 1 2020 12 31	99,761,858.67	0.00	0.00	99,761,858.67	34.78%
	2	2020 1 1 2020 2 9	113,546,561.71	5,814,461.61	119,361,023.32	0.00	0.00%
	-	-	-	-	-	-	-
1							
2							
3							

### 12.2

## §13

### 13.1

- 1
- 2
- 3
- 4
- 5
- 6

### 13.2

### 13.3

<http://www.cxfund.com.cn>

2021 3 30