

50

2020

2020 12 31

2021 3 30

§ 1

**1.1**

2021 3 26

2020 1 16

2020 12 31

<b>1.2</b>		
<b>§ 1</b>	.....	<b>2</b>
1.1	.....	2
1.2	.....	3
<b>§2</b>	.....	<b>5</b>
2.1	.....	5
2.2	.....	5
2.3	.....	5
2.4	.....	6
2.5	.....	6
<b>§ 3</b>	.....	<b>7</b>
3.1	.....	7
3.2	.....	7
3.3	.....	10
<b>§4</b>	.....	<b>11</b>
4.1	.....	11
4.2	.....	13
4.3	.....	13
4.4	.....	15
4.5	.....	15
4.6	.....	15
4.7	.....	16
4.8	.....	16
4.9	.....	17
<b>§5</b>	.....	<b>18</b>
5.1	.....	18
5.2	.....	18
5.3	.....	18
<b>§6</b>	.....	<b>19</b>
6.1	.....	19
6.2	.....	19
<b>§7</b>	.....	<b>21</b>
7.1	.....	21
7.2	.....	22
7.3	.....	23
7.4	.....	24
<b>§8</b>	.....	<b>50</b>
8.1	.....	50
8.2	.....	50
8.3	.....	50
8.4	.....	50
8.5	.....	51
8.6	.....	51
8.7	.....	51

8.8	.....	51
8.9	.....	51
8.10	.....	52
8.11	.....	52
8.12	.....	52
<b>§ 9</b>	.....	<b>56</b>
9.1	.....	56
9.2	.....	56
9.3	.....	56
<b>§ 10</b>	.....	<b>57</b>
<b>§ 11</b>	.....	<b>58</b>
11.1	.....	58
11.2	.....	58
11.3	.....	58
11.4	.....	58
11.5	.....	58
11.6	.....	58
11.7	.....	58
11.8	.....	60
<b>§ 12</b>	.....	<b>64</b>
12.1	20% .....	64
12.2	.....	64
<b>§ 13</b>	.....	<b>65</b>
13.1	.....	65
13.2	.....	65
13.3	.....	65



	4007005566	95511-3
	021-61009800	0755-82080387
	68 9	5047
	9	5023 B 26
	200120	518001

## 2.4

	<a href="http://www.cxfund.com.cn">www.cxfund.com.cn</a>
	68 9 5023 B 26

## 2.5

		100 50
		68 9

## § 3

## 3.1

3.1.1	2020 1 16 ( )-2020 12 31	
	50 A	50 C
	4,016,205.61	1,852,821.22
	2,405,519.06	2,984,618.86
	0.0202	0.0427
	2.01%	4.26%
	2.29%	2.19%
3.1.2	2020	
	1,434,228.15	284,225.97
	0.0229	0.0219
	64,041,855.04	13,253,805.87
	1.0229	1.0219
3.1.3	2020	
	2.29%	2.19%

1 2020 1 16

2

3

4

## 3.2

## 3.2.1

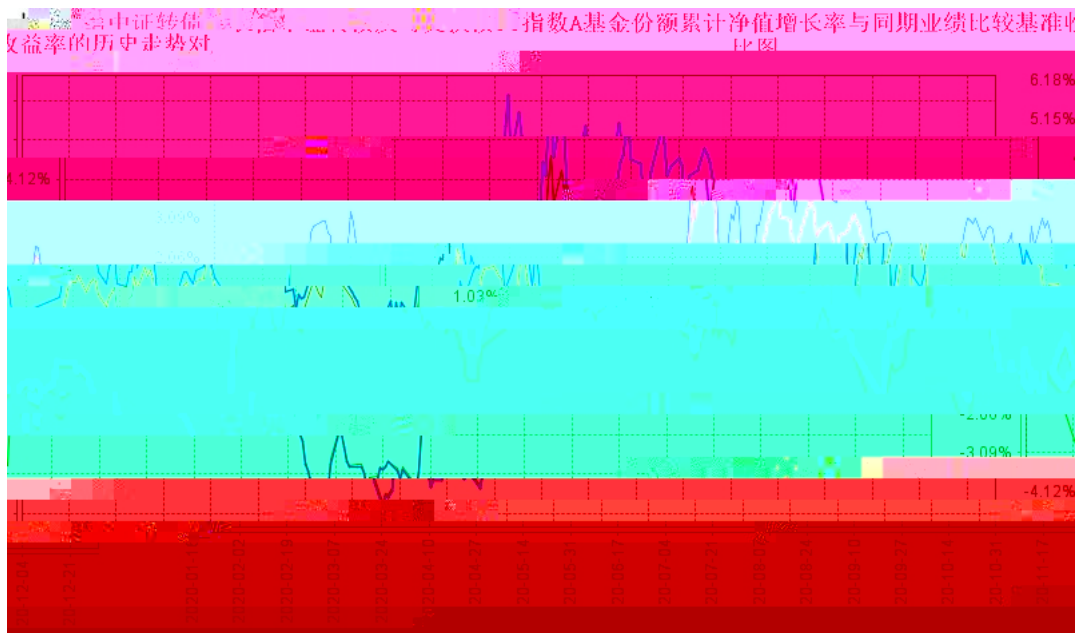
50 A

	0.99%	0.43%	1.29%	0.37%	-0.30%	0.06%
	5.49%	0.71%	4.74%	0.59%	0.75%	0.12%
	2.29%	0.63%	1.58%	0.55%	0.71%	0.08%

50 C

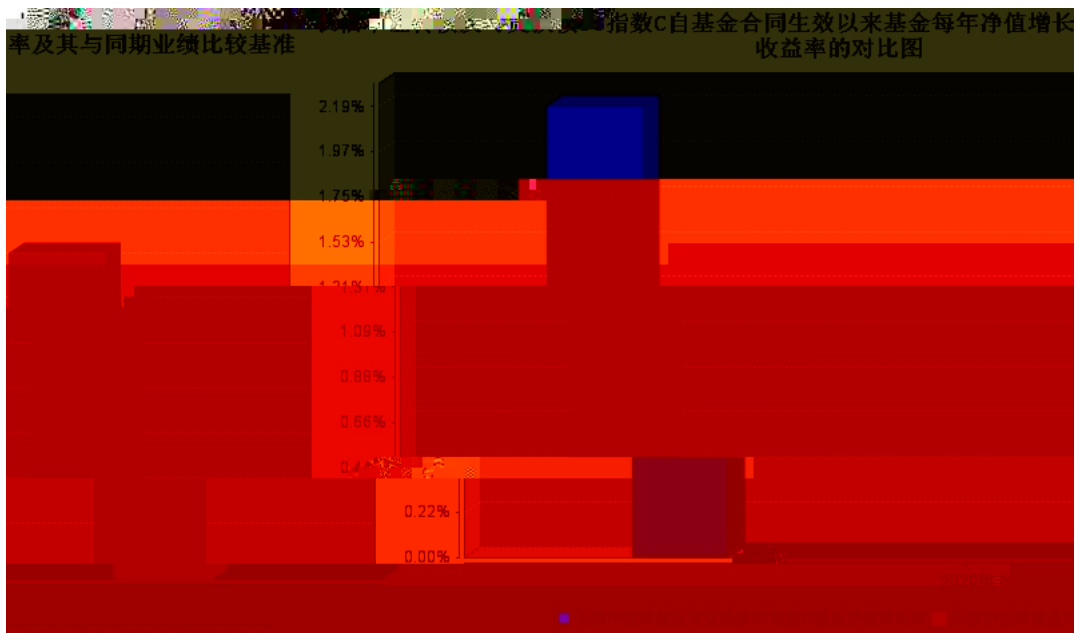
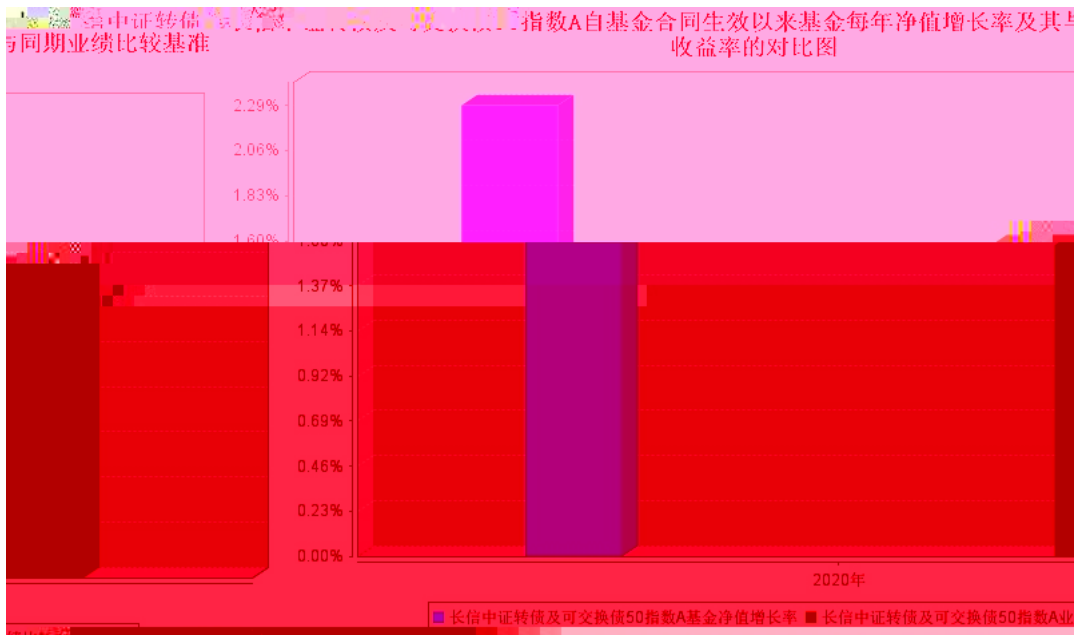
	0.96%	0.43%	1.29%	0.37%	-0.33%	0.06%
	5.43%	0.71%	4.74%	0.59%	0.69%	0.12%
	2.19%	0.63%	1.58%	0.55%	0.61%	0.08%

3.2.2



1 2020 1 16  
 2020 1 16 2020 12 31  
 2 6

3.2.3



2020 1 16 2020

### 3.3

2020 1 16



FOF

300

FOF 50

1-3

87

FOF

**4.1.2**

	50	2020 16	1	-	21
					2018 7
					50

	50  (LOF)	2020 2 12	-	5	2019 7  50 (LOF)

1

2

3 2021 1 28

## 4.2

## 4.3

### 4.3.1

1

2

1

2

5 1

3

4

5

### **4.3.2**

### **4.3.3**

5%

#### 4.4

##### 4.4.1

2020

			5
2020	2	7	
50	1.44%		
	5.26%		
			50

##### 4.4.2

2020	12	31		50	A	1.0229
1.0229				50	A	2.29%
	50	C	1.0219			1.0219
	50	C	2.19%			1.58%

#### 4.5

2021

,

2021

#### 4.6

**4.7**

**4.8**

1

3

2

A

C

3

4

5

## 4.9

**§5**

**5.1**

**5.2**

**5.3**

**§6**

**6.1**

	2021 61399737_B11

**6.2**

	50
	50
	2020 12 31 2020 1 16
	2020 12 31 2020 1 16
	50
	50
	2020 12 31 2020 1 16
	2020 12 31
	" "
	50
	-
	-
	50



**§7****7.1**

50

2020 12 31

		2020 12 31
	7.4.7.1	298,033.58
		1,281,450.89
		20,543.86
	7.4.7.2	80,613,704.96
		-
		-
		80,613,704.96
		-
		-
	7.4.7.3	-
	7.4.7.4	-
		-
	7.4.7.5	328,103.79
		-
		4,929.53
		-
	7.4.7.6	-
		82,546,766.61
		2020 12 31
		-
		-
	7.4.7.3	-
		5,000,000.00
		2,394.51
		76,352.90
		19,526.01
		3,254.32
		1,114.00
	7.4.7.7	165.00
		556.65
		-1,795.88



	7.4.7.15	-
	7.4.7.16	-
3. " -"	7.4.7.17	-478,888.91
4. " -"		-
5. " -"	7.4.7.18	16,399.55
		1,302,125.54
1	7.4.10.2.1	551,740.05
2	7.4.10.2.2	91,956.68
3	7.4.10.2.3	68,206.54
4	7.4.7.19	6,423.18
5		352,023.67
		352,023.67
6		1,996.30
7	7.4.7.20	229,779.12
" -"		5,390,137.92
		-
" -"		5,390,137.92

2020 1 16 2020 12 31

2020 1

16

## 7.3

50

2020 1 16 ( ) 2020 12 31

	2020 1 16 ( ) 2020 12 31		
	297,014,100.57	-	297,014,100.57
	-	5,390,137.92	5,390,137.92
	-221,436,893.78	-3,671,683.80	-225,108,577.58

" -"			
1.	669,134.84	19,679.24	688,814.08
2.	-222,106,028.62	-3,691,363.04	-225,797,391.66
" -"	-	-	-
	75,577,206.79	1,718,454.12	77,295,660.91
	2020 1 16	2020 12 31	2020 1 1

16

7.1 7.4

\_\_\_\_\_

**7.4**

**7.4.1**

50

" "

" " [2019]2353

50

2019 12 16 2020 1 13

( ) 20 00032

2020 1 16

297,001,450.37

12,650.20

297,014,100.57 297,014,100.57 A 167,836,261.75

C 129,177,838.82

A

C

A

C

10

80%

80%

5%

50

\*95%+

( ) \*5%

### 7.4.2

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"

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XBRL

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2

3

4

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(2) (3)

6

#### **7.4.4.5**

(1)

(2)

(3)

(4)

**7.4.4.6**

**7.4.4.7**

**7.4.4.8**

/

/

" "

" / "

**7.4.4.9 / ( )**

(1)

(2)

(3)

(4)

(5) /

(6) /

(7) /

(8) / ( )

(9) /

(10)

(11) /

(12)

#### 7.4.4.10

#### 7.4.4.11

(1)

3

(2) A

C

(3)

(4)

(5)

#### 7.4.4.12

(1)

(2)

(3)

#### 7.4.4.13

< 2015 1  
> ( [2014]24 )  
( )

#### 7.4.5

##### 7.4.5.1

**7.4.5.2**

**7.4.5.3**

**7.4.6**

**7.4.6.1**

2008 4 24

3‰ 1‰

2008 9 19

[2005]103

**7.4.6.2**

[2016]36

2016 5 1

[2016]46

[2016]70

[2016]140

[2017]56

2018 1 1

3%

### 7.4.6.3

2011

2011

### 7.4.6.4

[2004]78

2004 1 1

[2005]103

[2008]1

### 7.4.6.5

[2005]103

[2008]132

2008 10 9

[2012]85

2013 1 1

1 1

1 1 1 50%

1 25% 20%

[2015]101

2015 9 8

1

**7.4.7****7.4.7.1**

	2020 12 31
	298,033.58
	-
1	-
1-3	-
3	-
	-
	298,033.58

**7.4.7.2**

		2020 12 31		
		-	-	-
-		-	-	-
		76,988,125.87	76,594,104.96	-394,020.91
		4,104,468.00	4,019,600.00	-84,868.00
		81,092,593.87	80,613,704.96	-478,888.91
		-	-	-
		-	-	-
		-	-	-
		81,092,593.87	80,613,704.96	-478,888.91

**7.4.7.3**

/

/

**7.4.7.4****7.4.7.4.1****7.4.7.4.2****7.4.7.5**

	2020 12 31
	28.52
	-
	-
	634.37
	327,430.67
	-
	-
	-
	-
	-
	10.23
	328,103.79

**7.4.7.6****7.4.7.7**

	2020 12 31
	-
	165.00
	165.00

**7.4.7.8**

	2020 12 31
	-

	38.19
	-
	4,500.00
	50,000.00
	70,000.00
	25,000.00
	149,538.19

7.4.7.9

50 A		
	2020 1 16 ( )	2020 12 31
	167,836,261.75	167,836,261.75
	427,937.45	427,937.45
" -"	-105,656,572.31	-105,656,572.31
- /	-	-
/	-	-
	-	-
" -"	-	-
	62,607,626.89	62,607,626.89

50 C		
	2020 1 16 ( )	2020 12 31
	129,177,838.82	129,177,838.82
	241,197.39	241,197.39
" -"	-116,449,456.31	-116,449,456.31
- /	-	-
/	-	-
	-	-
" -"	-	-
	12,969,579.90	12,969,579.90

1

2

2020 1 16

297,001,450.37

12,650.20

297,014,100.57

297,014,100.57

A

167,836,261.75

C

129,177,838.82

**7.4.7.10**

50 A			
	-	-	-
	4,016,205.61	-1,610,686.55	2,405,519.06
	-1,705,497.32	734,206.41	-971,290.91
	16,140.83	-2,487.40	13,653.43
	-1,721,638.15	736,693.81	-984,944.34
	-	-	-
	2,310,708.29	-876,480.14	1,434,228.15

50 C			
	-	-	-
	1,852,821.22	1,131,797.64	2,984,618.86
	-1,387,101.31	-1,313,291.58	-2,700,392.89
	7,880.99	-1,855.18	6,025.81
	-1,394,982.30	-1,311,436.40	-2,706,418.70
	-	-	-
	465,719.91	-181,493.94	284,225.97

**7.4.7.11**

	2020 1 16 ( )	2020 12 31
		75,614.99
		-
		-
		28,306.23
		297.87
		104,219.09

**7.4.7.12****7.4.7.12.1**

—

**7.4.7.13****7.4.7.13.1**

	2020 1 16 ( ) 2020 12 31
---	5,527,932.32
---	-
---	-
	5,527,932.32

**7.4.7.13.2**      —

	2020 1 16 ( ) 2020 12 31
	315,575,899.74
	308,804,798.37
	1,243,169.05
	5,527,932.32

**7.4.7.13.3**      —**7.4.7.13.4**      —**7.4.7.13.5****7.4.7.14****7.4.7.14.1****7.4.7.15****7.4.7.15.1**      —

## 7.4.7.15.2

—

## 7.4.7.16

## 7.4.7.17

	2020 1 16 ( ) 2020 12 31
1.	-478,888.91
—	-
—	-478,888.91
—	-
—	-
—	-
2.	-
—	-
3.	-
:	-
	-478,888.91

## 7.4.7.18

	2020 1 16 ( ) 2020 12 31
	16,399.51
	0.04
	16,399.55

7

7

25%

## 7.4.7.19

	2020 1 16 ( ) 2020 12 31
	6,083.18
	340.00
	-

	-
	-
	6,423.18

**7.4.7.20**

	2020 1 16 ( ) 2020 12 31
	50,000.00
	70,000.00
	-
	13,500.00
	95,879.12
	400.00
	229,779.12

**7.4.8****7.4.8.1****7.4.8.2****7.4.9**

" "	
" "	
" "	
" " )	
" " )	
" "	
" )	
" "	
" "	

**7.4.10****7.4.10.1****7.4.10.1.1****7.4.10.1.2**

	2020 1 16 ( ) 2020 12 31	
	550,271,343.56	79.89%

2020 1 16

**7.4.10.1.3**

	2020 1 16 ( ) 2020 12 31	
	3,902,500,000.00	100.00%

2020 1 16

**7.4.10.1.4****7.4.10.1.5****7.4.10.2****7.4.10.2.1**

	2020 1 16 ( ) 2020 12 31
	551,740.05

	33,418.86
--	-----------

1 2020 1 16

2 0.30%

$H=E \times$  /

H

E

### 7.4.10.2.2

	2020 1 16 ( ) 2020 12 31
	91,956.68

1 2020 1 16

2 0.05%

$H=E \times$  /

H

E

### 7.4.10.2.3

	2020 1 16 ( ) 2020 12 31		
	50 A	50 C	
	-	59,628.94	59,628.94
	-	4,551.86	4,551.86
	-	64,180.80	64,180.80

1 2020 1 16

2 A C 0.10% C

C 0.10%

$H=E \times C$  /

H C

E C

**7.4.10.3** ( )  
( )

**7.4.10.4**

**7.4.10.4.1**

**7.4.10.4.2**

**7.4.10.5**

**7.4.10.5.1**

**7.4.10.5.2**

**7.4.10.6**

	2020 1 16 ( )	2020 12 31
	298,033.58	75,614.99

1 2020 1 16

2

2020 12 31

" "

**7.4.10.7**

**7.4.10.8****7.4.11****7.4.12** 2020 12 31**7.4.12.1** /

7.4.12.1.1										
113044		2020 12 16	2021 1 15		100.00	100.00	400	40,000.00	40,000.00	-

**7.4.12.2****7.4.12.3****7.4.12.3.1****7.4.12.3.2**

2020 12 31  
5,000,000.00 2021 1 4  
/

**7.4.12.4**

**7.4.13**

**7.4.13.1**

"

"

**7.4.13.2**

10%

10%

**7.4.13.2.1**

**7.4.13.2.2****7.4.13.2.3****7.4.13.2.4**

	2020 12 31
AAA	58,967,004.20
AAA	17,627,100.76
	4,019,600.00
	80,613,704.96

**7.4.13.2.5****7.4.13.2.6****7.4.13.3****7.4.13.3.1**

## 7.4.13.4

## 7.4.13.4.1

## 7.4.13.4.1.1

2020 12 31	6	6 -1	1-5	5		
	298,033.58	-	-	-	-	298,033.58
	1,281,450.89	-	-	-	-	1,281,450.89
	20,543.86	-	-	-	-	20,543.86
	34,065,225.46	36,816,824.40	9,731,655.10	-	-	80,613,704.96
	-	-	-	-	328,103.79	328,103.79
	99.61	-	-	-	4,829.92	4,929.53
	35,665,353.40	36,816,824.40	9,731,655.10	-	332,933.71	82,546,766.61
	5,000,000.00	-	-	-	-	5,000,000.00
	-	-	-	-	2,394.51	2,394.51
	-	-	-	-	76,352.90	76,352.90
	-	-	-	-	19,526.01	19,526.01
	-	-	-	-	3,254.32	3,254.32
	-	-	-	-	1,114.00	1,114.00
	-	-	-	-	165.00	165.00
	-	-	-	-	-1,795.88	-1,795.88
	-	-	-	-	556.65	556.65

	-	-	-	-	149,538.19	149,538.19
	5,000,000.00	-	-	-	251,105.70	5,251,105.70
	30,665,353.40	36,816,824.40	9,731,655.10	-	81,828.01	77,295,660.91

**7.4.13.4.1.2**

		2020	12 31
	27		849,918.49
	27		-849,918.49

**7.4.13.4.2****7.4.13.4.3****7.4.13.4.3.1**

	2020 12 31	
		%
-	-	-
	-	-
	80,613,704.96	104.29
	-	-
	-	-
	-	-
	80,613,704.96	104.29

**7.4.13.4.3.2**

**7.4.14**

**7.4.14.1**

**7.4.14.1.1**

**7.4.14.1.2**

**7.4.14.1.2.1**

2020 12 31

64,234,282.46

16,379,422.50

0.00

**7.4.14.1.2.2**

**7.4.14.1.2.3**

**7.4.14.2**

**7.4.14.3**



**8.4.3****8.5**

1		-	-
2		-	-
3		4,019,600.00	5.20
		4,019,600.00	5.20
4		-	-
5		-	-
6		-	-
7		76,594,104.96	99.09
8		-	-
9		-	-
10		80,613,704.96	104.29

**8.6**

1	113011		52,710	6,529,714.80	8.45
2	110075		42,550	5,365,129.50	6.94
3	113021		48,690	5,122,674.90	6.63
4	110059		45,000	4,581,000.00	5.93
5	110053		40,000	4,330,400.00	5.60

**8.7****8.8****8.9**

**8.10**

**8.10.1**

**8.10.2**

**8.11**

**8.11.1**

**8.11.2**

**8.11.3**

**8.12**

**8.12.1**

20 : 2020 5 2020 4

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2020 12

2013 2018

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2020 12 25

2020 67

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**8.12.2****8.12.3**

1		20,543.86
2		-
3		-
4		328,103.79
5		4,929.53
6		-
7		-
8		-
9		353,577.18

**8.12.4**

1	113011		6,529,714.80	8.45
2	113021		5,122,674.90	6.63
3	110059		4,581,000.00	5.93
4	110053		4,330,400.00	5.60
5	113013		1,997,705.60	2.58
6	113026		1,551,150.00	2.01
7	128112	2	1,484,150.40	1.92
8	127012		1,044,100.00	1.35
9	110051		1,036,195.60	1.34
10	110061		1,028,452.20	1.33
11	113008		1,015,951.20	1.31
12	110066		941,752.50	1.22
13	128108		824,606.20	1.07
14	110065		771,732.00	1.00
15	110043		757,167.60	0.98
16	110062		756,977.60	0.98
17	128083		741,790.00	0.96
18	110067		722,282.40	0.93
19	113014		712,215.00	0.92

---

20	110048		686,764.00	0.89
21	128048		679,320.00	0.88
22	128081		676,203.00	0.87
23	113024		659,685.00	0.85
24	113009		644,239.20	0.83
25	110045		623,195.60	0.81
26	113034		611,020.90	0.79
27	128107		586,547.36	0.76
28	128109		357,060.00	0.46

**8.12.5****8.12.6**

## § 9

## 9.1

	( )					
50 A	267	234,485.49	49,999,000.00	79.86%	12,608,626.89	20.14%
50 C	217	59,767.65	11,500,000.00	88.67%	1,469,579.90	11.33%
	484	156,151.25	61,499,000.00	81.37%	14,078,206.79	18.63%

## 9.2

	50 A	0.00	0.00%
	50 C	0.00	0.00%
		0.00	0.00%

## 9.3

	50 A	0
	50 C	0
		0
	50 A	0
	50 C	0
		0

## § 10

	50 A	50 C
2020年1月16日	167,836,261.75	129,177,838.82
	427,937.45	241,197.39
:	105,656,572.31	116,449,456.31
"_"	-	-
	62,607,626.89	12,969,579.90

2020 1 16

2020 1 16

2020 12

31

§ 11

11.1

11.2

11.2.1

11.2.2

11.3

11.4

11.5

50,000.00

1

11.6

11.7

11.7.1


	1	-	-	-	-	-
	1	-	-	-	-	-
	1	-	-	-	-	-
	1	-	-	-	-	-
	1	-	-	-	-	-

1

1

2

<1998>29

[2007]48

1

a

b

c

d

2

**11.7.2**

	138,488,616.45	20.11%	-	-	-	-
	550,271,343.56	79.89%	3,902,500,000.00	100.00%	-	-
	-	-	-	-	-	-
	-	-	-	-	-	-
	-	-	-	-	-	-

**11.8**

1			2020 1 8
2	50		2020 1 17
3			2020 1 17
4	2020		2020 1 31
5	50		2020 2 13
6	50		2020 2 14
7	50		2020 2 17
8			2020 3 13
9			2020 3 17
10	2019		2020 3 25
11	2020 1 50		2020 4 22
12	2020		2020 4 22
13	2019		2020 4 27
14			2020 5 7

15			2020 5 21
16			2020 6 3
17			2020 6 3
18			2020 6 10
19			2020 6 15
20			2020 6 29
21		50	2020 7 14
22		50 2020 2	2020 7 21
23	2020		2020 7 21
24			2020 8 6
25		50 2020	2020 8 29
26	2020		2020 8 29
27		50	2020 8 31

	50 A		
28	50 C	50	2020 8 31
29			2020 8 31
30			2020 9 2
31			2020 9 2
32	1	50 2020	2020 9 4
33		" "	2020 9 22
34			2020 9 26
35			2020 10 27
36		2020 3 50	2020 10 28
37	2020 3		2020 10 28
38			2020 11 4
39			2020 12 16
40			2020 12 29
41		50	2020 12 31

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	2	2020		
--	---	------	--	--

**§12**

**12.1**

**20%**

		20%					
1	2020 5						
	18						
	2020 12	0.00	49,999,000.00	0.00	49,999,000.00	66.16%	
	31						
2	2020 5						
	18						
	2020 7	0.00	50,000,000.00	50,000,000.00	0.00	0.00%	
	12						
3	2020 7						
	20						
	2020 11	0.00	30,001,700.00	30,001,700.00	0.00	0.00%	
	16						
-	-	-	-	-	-	-	
1							
2							
3							

**12.2**

## §13

### 13.1

1

2

50

3

50

4

50

5

6

### 13.2

### 13.3

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2021 3 30