# §1

## 1.1

2023 8 29

2023 1 1 2023 6 30

1.2

§1 ..... 2
1.1 ..... 2
1.2 ..... 3
§2 ..... 5
2.1 ..... 5
2.2 ..... 5
2.3 ..... 6
2.4 ..... 6
2.5 ..... 6
§3 ..... 6
3.1 ..... 6
3.2 ..... 7
§4 ..... 9
4.1 ..... 9
4.2 ..... 11
4.3 ..... 11
4.4 ..... 11
4.5 ..... 12
4.6 ..... 12
4.7 ..... 12
4.8 ..... 13
§5 ..... 13
5.1 ..... 13
5.2 ..... 13
5.3 ..... 13
§6 ..... 13
6.1 ..... 13
6.2 ..... 15
6.3 ..... 16
6.4 ..... 18
§7 ..... 39
7.1 ..... 39
7.2 ..... 40
7.3 ..... 41
7.4 ..... 42
7.5 ..... 45
7.6 ..... 45

# §2

## 2.1

| | | |
|---|---|---|
| | | |
| | | |
| | | |
| | 519996 | |
| | 519997 | |
| | 519996 | |
| | | |
| | 2005 1 17 | |
| | | |
| | | |
| | 451,571,046.41 | |
| | | |
| | A | C |
| | 519996 | 014572 |
| | 443,518,273.29 | 8,052,773.12 |

## 2.2

| | |
|---|---|
| | |
| | 1<br>“ ” “ ”<br>“ ”<br>“ ”<br>2<br>3<br>4 |
| | 300 *80%+ *20% |
| | |

| | |
|---|---|
| | |

## 2.3

| | | | |
|---|---|---|---|
| | | | |
| | | | |
| | | 021-61009999 | 010-66060069 |
| | | zhouyg@cxfund.com.cn | tgxxpl@abchina.com |
| | | 4007005566 | 95599 |
| | | 021-61009800 | 010-6812181.51 617.74 156.5 1 |

| 3.1.2 | (2023 6 30 ) | |
|---|---|---|
| | -42,239,723.69 | -591,706.41 |
| | -0.0952 | -0.0735 |
| | 401,278,549.60 | 7,461,066.71 |
| | 0.9048 | 0.9265 |
| 3.1.3 | (2023 6 30 ) | |
| | 723.26% | -20.03% |

1

2

3

## 3.2

### 3.2.1

A

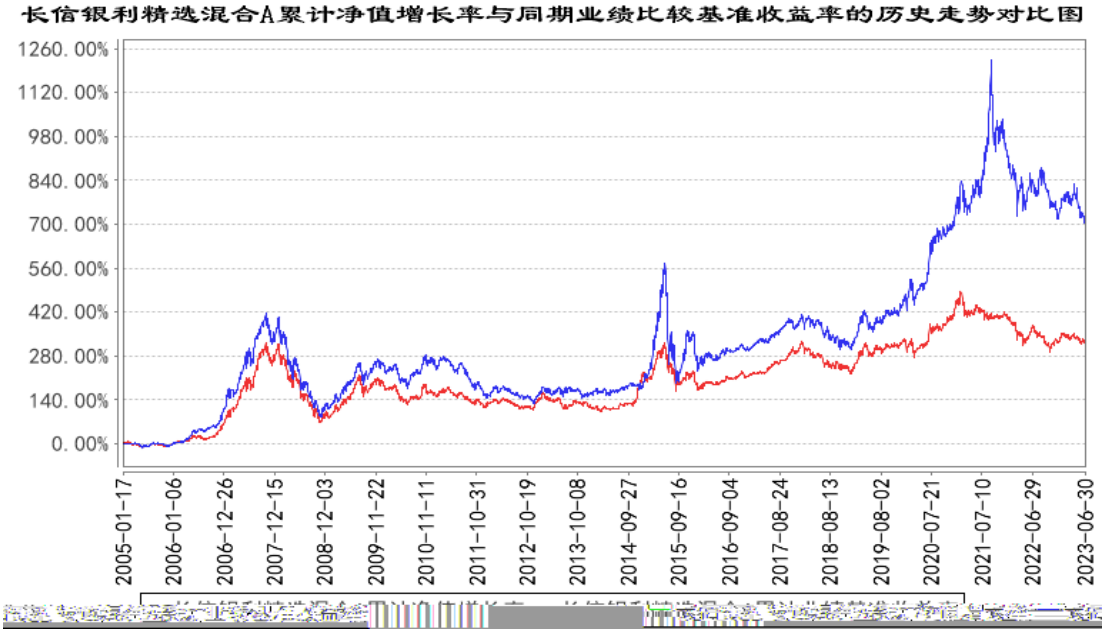
| | | | | | | |
|---|---|---|---|---|---|---|
| | 0.35% | 0.71% | 1.02% | 0.70% | -0.67% | 0.01% |
| | -5.55% | 0.96% | -3.79% | 0.66% | -1.76% | 0.30% |
| | -0.58% | 0.94% | -0.02% | 0.67% | -0.56% | 0.27% |
| | -12.44% | 0.98% | -10.74% | 0.79% | -1.70% | 0.19% |
| | 26.59% | 1.37% | -1.20% | 0.97% | 27.79% | 0.40% |
| | 723.26% | 33.81% | 324.69% | 25.45% | 398.57% | 8.36% |

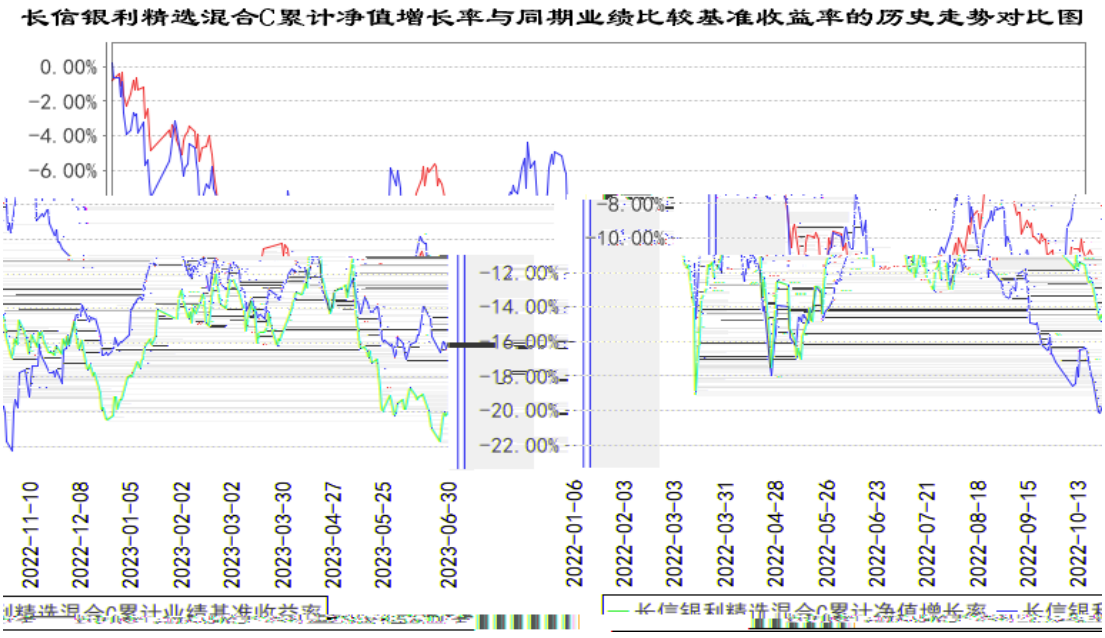
C

| | | | | | | |
|---|---|---|---|---|---|---|
| | 0.30% | 0.71% | 1.02% | 0.70% | -0.72% | 0.01% |

| | | | | | | |
|---|---|---|---|---|---|---|
| | -5.70% | 0.96% | -3.79% | 0.66% | -1.91% | 0.30% |
| | -0.88% | 0.94% | -0.02% | 0.67% | -0.86% | 0.27% |
| | -12.94% | 0.98% | -10.74% | 0.79% | -2.20% | 0.19% |
| | -20.03% | 1.16% | -15.95% | 0.93% | -4.08% | 0.23% |

### 3.2.2




1 2022 1 6

A C

2 A 2005 1 17 2023 6 30

C 2022 1 6 2023 6 30

3 6

# § 4

## 4.1

### 4.1.1

2003 63

1.65

44.55%

31.21% 15.15% 4.55%

4.54%

2023 6 30 86

100

(LOF)

(LOF)

LOF

500

FOF

300

FOF

87

FOF

6

30

FOF FOF

30

50

1000

**4.1.2**

| | | | | | |
|---|---|---|---|---|---|
| | | | | | |
| | | 2020<br>12 31 | - | 13 | 2011 9 2013 3<br>2019 1 |

| | | | | | |
|---|---|---|---|---|---|
| | | | | | |

1

2

## 4.2

## 4.3

### 4.3.1

### 4.3.2

5%

## 4.4

### 4.4.1

### 4.4.2

2023 6 30 , A 0.9048 , 3.7614 , A -0.58% C 0.9265 , 1.0515 , C -0.88%, -0.02%

### 4.5

### 4.6

### 4.7

**4.8**

## § 5

**5.1**

— 2023 1 1 2023 6 30

**5.2**

,

Ä

**5.3**

| | | | |
|---|---|---|---|
| | | - | - |
| | | 72,028,553.56 | 66,171,154.79 |
| | | - | - |
| | | - | - |

2023 6 30 A 0.9048

C 0.9265 451,571,046.41 A

443,518,273.29 C 8,052,773.12

## 6.2

2023 1 1 2023 6 30

| | | 2023 1 1 2023 6 30 | 2022 1 1 2022 6 30 |
|---|---|---|---|
| | | 212,307.52 | -51,137,413.73 |

| | | | |
|---|---|---|---|
| 1 | 6.4.10.2.1 | 2,850,412.25 | 4,199,612.93 |
| 2 | 6.4.10.2.2 | 475,068.73 | 699,935.52 |
| 3 | 6.4.10.2.3 | 8,257.97 | 2.36 |
| 4 | | - | - |
| 5 | | - | - |
| | | - | - |
| 6 | 6.4.7.22 | - | - |
| 7 | | 179.96 | 297.08 |
| 8 | 6.4.7.23 | 117,994.91 | 120,546.16 |
| “-” | | -3,239,606.30 | -56,157,807.78 |
| | | - | - |
| “-” | | -3,239,606.30 | -56,157,807.78 |
| | | - | - |
| | | -3,239,606.30 | -56,157,807.78 |

## 6.3

2023 1 1 2023 6 30

| | 2023 1 1 2023 6 30 | | | |
|---|---|---|---|---|
| | | | | |
| | 389,842,929.24 | - | -34,994,820.69 | 354,848,108.55 |
| | - | - | - | - |
| | - | - | - | - |
| | - | - | - | - |
| | 389,842,929.24 | - | -34,994,820.69 | 354,848,108.55 |
| “-” | 61,728,117.17 | - | -7,836,609.41 | 53,891,507.76 |
| | - | - | -3,239,606.30 | -3,239,606.30 |
| | 61,728,117.17 | - | -4,597,003.11 | 57,131,114.06 |

| | | | | |
|---|---|---|---|---|
| “ - ” | | | | |
| 1. | 78,935,831.76 | - | -5,327,690.91 | 73,608,140.85 |
| 2. | -17,207,714.59 | - | 730,687.80 | -16,477,026.79 |
| “ - ” | - | - | - | - |
| | - | - | - | - |
| | 451,571,046.41 | - | -42,831,430.10 | 408,739,616.31 |
| | 2022 1 1 | | 2022 6 30 | |
| | | | | |
| | 501,520,153.35 | - | 158,016,732.69 | 659,536,886.04 |
| | - | - | - | - |
| | - | - | - | - |
| | - | - | - | - |
| | 501,520,153.35 | - | 158,016,732.69 | 659,536,886.04 |
| “ - ” | -55,928,343.70 | - | -143,185,839.88 | -199,114,183.58 |
| | - | - | -56,157,807.78 | -56,157,807.78 |
| “ - ” | -55,928,343.70 | - | -6,551,122.45 | -62,479,466.15 |
| 1. | 106,982,089.98 | - | 12,023,696.51 | 119,005,786.49 |
| 2. | -162,910,433.68 | - | -18,574,818.96 | -181,485,252.64 |

| | | | | |
|---|---|---|---|---|
| “ - ” | - | - | -80,476,909.65 | -80,476,909.65 |
| | - | - | - | - |
| | 445,591,809.65 | - | 14,830,892.81 | 460,422,702.46 |

6.1 6.4

## 6.4

### 6.4.1

“ ”)

“ ” [2004]98

2005 1 17 1,182,530,117.97

2014 8 8

2015 8 7

2022 1 6

A A

C C

A

C

60%-80% 15%-35% 5%-15%

80%

80%

300 × 80%+ × 20%

### 6.4.2

——

“

”

3

3 XBRL

3 < >

### 6.4.3

2023 6 30

2023 1 1 2023 6 30

### 6.4.4

### 6.4.5

### 6.4.6

#### 6.4.6.1

2008 4 24

3‰ 1‰

2008 9 19

[2005]103

**6.4.6.2**

[2016]36

2016 5 1

[2016]46

[2016]70

[2016]140

[2017]56

3% 2018 1 1

[2017]90

**6.4.6.3**

2011

#### 6.4.6.4

[2004]78

2004 1 1

[2005]103

[2008]1

#### 6.4.6.5

[2005]103

[2008]132

2008 10 9

[2012]85

2013 1 1

1 1

1 1 1 50%

1 25% 20%

[2015]101

2015 9 8

1

### 6.4.7

### 6.4.7.1

| | |
|---|---|
| | 2023 6 30 |

| | |
|---|---|
| | 5,554,576.25 |
| | 5,553,493.44 |
| | 1,082.81 |
| | - |
| | - |
| | - |
| | - |
| | - |
| 1 | - |
| 1-3 | - |
| 3 | - |
| | - |
| | - |
| | - |
| | - |
| | 5,554,576.25 |

### 6.4.7.2

| | | 2023 6 30 | | | |
|---|---|---|---|---|---|
| | | | | | |
| | | 294,060,286.72 | - | 303,133,371.73 | 9,073,085.01 |
| - | | - | - | - | - |
| | | 71,476,220.84 | 510,053.56 | 72,028,553.56 | 42,279.16 |
| | | - | - | - | - |
| | | 71,476,220.84 | 510,053.56 | 72,028,553.56 | 42,279.16 |
| | | - | - | - | - |
| | | - | - | - | - |
| | | - | - | - | - |
| | | 365,536,507.56 | 510,053.56 | 375,161,925.29 | 9,115,364.17 |

### 6.4.7.3 /

### 6.4.7.3.1 /

/

### 6.4.7.4

### 6.4.7.4.1

### 6.4.7.4.2

6.4.7.5

6.4.7.5.1

6.4.7.6

6.4.7.6.1

6.4.7.7

6.4.7.7.1

6.4.7.8

6.4.7.9

| | 2023 6 30 |
|---|---|
| | - |
| | 121.19 |
| | - |
| | 2,284,143.87 |
| | 2,284,143.87 |
| | - |
| | - |
| | 9,300.00 |
| | 34,712.18 |
| | 399,507.37 |
| | 2,727,784.61 |

6.4.7.10

A

| | 2023 1 1 2023 6 30 | |
|---|---|---|
| | | |
| | 387,555,079.25 | 387,555,079.25 |
| | 72,234,049.81 | 72,234,049.81 |
| “ - ” | -16,270,855.77 | -16,270,855.77 |
| / | - | - |
| / | - | - |
| | - | - |

| | | |
|---|---|---|
| “ - ” | - | - |
| | 443,518,273.29 | 443,518,273.29 |

C

| | 2023 1 1 2023 6 30 | |
|---|---|---|
| | | |
| | 2,287,849.99 | 2,287,849.99 |
| | 6,701,781.95 | 6,701,781.95 |
| “ - ” | -936,858.82 | -936,858.82 |
| / | - | - |
| / | - | - |
| | - | - |
| “ - ” | - | - |
| | 8,052,773.12 | 8,052,773.12 |

**6.4.7.11**

**6.4.7.12**

| | 2023 1 1 2023 6 30 |
|---|---|
| | 20,386.18 |
| | - |
| | - |
| | 12,611.53 |
| | 6,402.90 |
| | 39,400.61 |

**6.4.7.14**

**6.4.7.14.1** ——

| | 2023 1 1 2023 6 30 |
|---|---|
| | 763,126,758.23 |
| | 770,904,269.45 |
| | 2,158,985.62 |
| | -9,936,496.84 |

**6.4.7.15**

**6.4.7.15.1**

| | 2023 1 1 2023 6 30 |
|---|---|
| —— | 381,809.14 |
| —— | -2,445,568.80 |
| —— | - |
| —— | |

6.4.7.15.3 ——

6.4.7.15.4 ——

6.4.7.16

6.4.7.16.1

6.4.7.17

6.4.7.17.1

6.4.7.18

6.4.7.18.1 ——

6.4.7.18.2 ——

6.4.7.19

| | 2023 1 1 2023 6 30 |
|---|---|
| | 3,287,053.65 |
| | - |
| | - |
| | 3,287,053.65 |

6.4.7.20

| | 2023 1 1 2023 6 30 |
|---|---|
| 1. | 8,869,243.66 |
| | 8,205,356.64 |
| | 663,887.02 |
| | - |
| | - |
| | - |
| | - |
| 2. | - |
| | - |

| | |
|---|---|
| 3. | - |
| | - |
| | 8,869,243.66 |

### 6.4.7.21

| | 2023 1 1 2023 6 30 |
|---|---|
| | 14,821.65 |
| | 2,044.45 |
| | 16,866.10 |

7

25% 75%

### 6.4.7.22

### 6.4.7.23

| | 2023 1 1 2023 6 30 |
|---|---|
| | 34,712.18 |
| | 59,507.37 |
| | - |
| | 18,600.00 |
| | 5,175.36 |
| | 117,994.91 |

### 6.4.8

### 6.4.8.1

### 6.4.8.2

2023 8 26

2023 8 28 1.50%

1.20% 0.25% 0.20%

### 6.4.9

### 6.4.9.1

### 6.4.9.2

| | |
|---|---|
| ( “ ” ) | |
| ( “ ” ) | |
| ( “ ” ) | |
| “ ” | |

### 6.4.10

### 6.4.10.1

### 6.4.10.1.1

| | 2023 1 1 2023 6 30 | | 2022 1 1 2022 6 30 | |
|---|---|---|---|---|
| | | % | | % |
| | 740,859,258.44 | 47.99 | - | - |

### 6.4.10.1.2

| | 2023 1 1 2023 6 30 | | 2022 1 1 2022 6 30 | |
|---|---|---|---|---|
| | | % | | % |
| | 54,383,461.37 | 15.91 | - | - |

### 6.4.10.1.3

### 6.4.10.1.4

### 6.4.10.1.5

| | 2023 1 1 2023 6 30 |
|---|---|

| | | | | |
|---|---|---|---|---|
| | | % | | % |
| | 689,969.98 | 54.18 | 689,969.98 | 30.21 |
| | 2022 1 1 2022 6 30 | | | |
| | | % | | % |
| - | - | - | - | - |

### 6.4.10.2

### 6.4.10.2.1

| | 2023 1 1 2023 6 30 | 2022 1 1 2022 6 30 |
|---|---|---|
| | 2,850,412.25 | 4,199,612.93 |
| | 1,048,068.17 | 1,215,347.56 |

1.5%

= ×1.5%/

### 6.4.10.2.2

| | 2023 1 1 2023 6 30 | 2022 1 1 2022 6 30 |
|---|---|---|
| | 475,068.73 | 699,935.52 |

0.25%

= ×0.25%/

### 6.4.10.2.3

| | 2023 1 1 2023 6 30 |
|---|---|
| | |

| | A | C | |
|---|---|---|---|
| | - | 3,081.09 | 3,081.09 |
| | - | 3,081.09 | 3,081.09 |
| | 2022 1 1 2022 6 30 | | |
| | | | |
| | A | C | |
| | - | 2.36 | 2.36 |
| | - | 2.36 | 2.36 |

A C 0.60% C

C 0.60%

H=E × C /

H C

E C

### 6.4.10.3 ( )

( )

### 6.4.10.4

### 6.4.10.4.1

### 6.4.10.4.2

### 6.4.10.5

### 6.4.10.5.1

| | 2023 1 1 2023 6 30 | 2023 1 1 2023 6 30 |
|---|---|---|

| | A | C |
|---|---|---|
| 2005 1 17 | - | - |
| | 429,334.12 | - |
| / | 0.00 | - |
| | 0.00 | - |
| / | 0.00 | - |
| | 429,334.12 | - |
| | 0.10% | - |
| | 2022 1 1 2022 6 30 | 2022 1 6 2022 6 30 |
| | A | C |
| 2005 1 17 | - | - |
| | 429,334.12 | - |
| / | 0.00 | - |
| | 0.00 | - |
| / | 0.00 | - |
| | 429,334.12 | - |
| | 0.10% | - |

**6.4.10.5.2**

C

| | 2023 6 30 | | 2022 12 31 | |
|---|---|---|---|---|
| | | % | | % |
| | 5,371,181.24 | 1.19 | - | - |

**6.4.10.6**

| | 2023 1 1 2023 6 30 | | 2022 1 1 2022 6 30 | |
|---|---|---|---|---|
| | | | | |
| | 5,554,576.25 | 20,386.18 | 3,686,957.20 | 49,636.33 |

2023 6 30

“ ” 2022 6 30

**6.4.10.7**

**6.4.10.8**

**6.4.11**

**6.4.12 2023 6 30**

**6.4.12.1 /**

6.4.12.1.1

| | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|
| 301203 | | 2023 3 28 | 6 | | 46.13 | 34.40 | 225 | 10,379.25 | 7,740.00 | - |
| 301281 | | 2023 3 28 | 6 | | 44.18 | 25.10 | 338 | 10,647.38 | 8,483.80 | - |
| 301303 | | 2023 2 13 | 6 | | 26.80 | 22.32 | 608 | 16,294.40 | 13,570.56 | - |
| 301305 | | 2023 5 15 | 6 | | 25.25 | 19.69 | 581 | 14,670.25 | 11,439.89 | - |
| 301317 | | 2023 1 12 | 6 | | 20.67 | 26.17 | 673 | 13,910.91 | 17,612.41 | - |

| | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|
| 688146 | | 2023 4 13 | 6 | | 36.15 | 38.13 | 362 | 13,086.30 | 13,803.06 | - |
| 688429 | | 2023 6 20 | 6 | | 19.20 | 25.53 | 346 | 6,643.20 | 8,833.38 | - |
| 688479 | | 2023 5 4 | 6 | | 33.99 | 29.49 | 294 | 9,993.06 | 8,670.06 | - |
| 688543 | | 2023 6 14 | 6 | | 43.67 | 53.05 | 277 | 12,096.59 | 14,694.85 | - |
| 688562 | | 2023 5 15 | 6 | | 12.68 | 22.31 | 726 | 9,205.68 | 16,197.06 | - |
| 688581 | | 2023 5 12 | 6 | | 125.80 | 118.03 | 100 | 12,580.00 | 11,803.00 | - |
| 688603 | | 2023 6 30 | 1-6 | | 55.00 | 55.00 | 1,391 | 76,505.00 | 76,505.00 | - |
| 688631 | | 2023 6 19 | 6 | | 25.28 | 29.87 | 308 | 7,786.24 | 9,199.96 | - |

### 6.4.12.2

### 6.4.12.3

### 6.4.12.3.1

### 6.4.12.3.2

### 6.4.12.4

### 6.4.13

### 6.4.13.1

“ ”

### 6.4.13.2

10%

10%

### 6.4.13.2.1

| | 2023 6 30 | 2022 12 31 |
|---|---|---|
| A-1 | - | - |
| A-1 | - | - |
| | 20,865,174.38 | 18,363,772.60 |
| | 20,865,174.38 | 18,363,772.60 |

#### 6.4.13.2.2

#### 6.4.13.2.3

#### 6.4.13.2.4

| | 2023 6 30 | 2022 12 31 |
|---|---|---|
| AAA | 37,504,134.24 | 31,789,376.44 |
| AAA | 13,659,244.94 | 16,018,005.75 |
| | - | - |
| | 51,163,379.18 | 47,807,382.19 |

#### 6.4.13.2.5

#### 6.4.13.2.6

#### 6.4.13.3

#### 6.4.13.3.1

### 6.4.13.4

### 6.4.13.4.1

#### 6.4.13.4.1.1

| 2023 6 30 | 6 | 6 -1 | 1-5 | 5 | | |
|---|---|---|---|---|---|---|
| | | | | | | |
| | 5,554,576.25 | - | - | - | - | 5,554,576.25 |
| | 2,045,585.17 | - | - | - | - | 2,045,585.17 |
| | 465,940.79 | - | - | - | - | 465,940.79 |
| | 40,473,230.27 | 31,555,323.29 | - | - | 303,133,371.73 | 375,161,925.29 |
| | - | - | - | - | 30,008,482.31 | 30,008,482.31 |
| | 48,539,332.48 | 31,555,323.29 | - | - | 333,141,854.04 | 413,236,509.81 |
| | | | | | | |
| | - | - | - | - | 128,479.65 | 128,479.65 |
| | - | - | - | - | 464,896.00 | 464,896.00 |
| | - | - | - | - | 77,482.67 | 77,482.67 |
| | - | - | - | - | 1,093,984.64 | 1,093,984.64 |
| | - | - | - | - | 2,075.70 | 2,075.70 |
| | - | - | - | - | 2,190.23 | 2,190.23 |
| | - | - | - | - | 2,727,784.61 | 2,727,784.61 |
| | - | - | - | - | 4,496,893.50 | 4,496,893.50 |
| | 48,539,332.48 | 31,555,323.29 | - | - | 328,644,960.54 | 408,739,616.31 |
| 2022 12 31 | 6 | 6 -1 | 1-5 | 5 | | |
| | | | | | | |
| | 4,028,768.87 | - | - | - | - | 4,028,768.87 |
| | 2,110,966.03 | - | - | - | - | 2,110,966.03 |
| | 545,764.85 | - | - | - | - | 545,764.85 |
| | 32,987,590.69 | 33,183,564.10 | - | - | 283,389,950.61 | 349,561,105.40 |
| | - | - | - | - | 12,161.74 | 12,161.74 |
| | - | - | - | - | 5,915,942.91 | 5,915,942.91 |
| | 39,673,090.44 | 33,183,564.10 | - | - | 289,318,055.26 | 362,174,709.80 |

| | | | | | | |
|---|---|---|---|---|---|---|
| | - | - | - | - | 104,933.27 | 104,933.27 |
| | - | - | - | - | 462,515.20 | 462,515.20 |
| | - | - | - | - | 77,085.85 | 77,085.85 |
| | - | - | - | - | 5,127,863.10 | 5,127,863.10 |
| | - | - | - | - | 1,432.74 | 1,432.74 |
| | - | - | - | - | 2,637.91 | 2,637.91 |
| | - | - | - | - | 1,550,133.18 | 1,550,133.18 |
| | - | - | - | - | 7,326,601.25 | 7,326,601.25 |
| | 39,673,090.44 | 33,183,564.10 | - | - | 281,991,454.01 | 354,848,108.55 |

### 6.4.13.4.1.2

| | | | |
|---|---|---|---|
| | | | |
| | | 2023 6 30 | 2022 12 31 |
| | 27 | -544,729.75 | -627,640.89 |
| | 27 | 544,729.75 | 627,640.89 |

### 6.4.13.4.2

### 6.4.13.4.3

VaR(Value at Risk)

6 30

### 6.4.13.4.3.1

| | 2023 6 30 | | 2022 12 31 | |
|---|---|---|---|---|
| | | % | | % |
| | 303,133,371.73 | 74.16 | 283,389,950.61 | 79.86 |
| | - | - | - | - |
| | 72,028,553.56 | 17.62 | 66,171,154.79 | 18.65 |
| | - | - | - | - |
| | - | - | - | - |
| | - | - | - | - |
| | 375,161,925.29 | 91.79 | 349,561,105.40 | 98.51 |

**6.4.13.4.3.2**

| | 95% | | |
|---|---|---|---|
| | | 2023 6 30 | 2022 12 31 |
| | VaR 2.32%(2022 12 31 VaR 3.67%) | -9,467,143.79 | -13,030,073.57 |

VaR

95%

2022

**6.4.14**

**6.4.14.1**

| |
|---|
| |

#### 6.4.14.2

##### 6.4.14.2.1

| | 2023 6 30 | 2022 12 31 |
|---|---|---|
| | 354,078,197.88 | 329,997,382.19 |
| | 20,941,679.38 | 18,363,772.60 |
| | 142,048.03 | 1,199,950.61 |
| | 375,161,925.29 | 349,561,105.40 |

##### 6.4.14.2.2

| |
|---|
| |

#### 6.4.14.3

| |
|---|
| |

#### 6.4.14.4

| |
|---|
| |

### 6.4.15

#### 6.4.15.1

#### 6.4.15.2

## § 7

### 7.1

| | | | % |
|---|---|---|---|
| 1 | | 303,133,371.73 | 73.36 |

| | | | |
|---|---|---|---|
| | | 303,133,371.73 | 73.36 |
| 2 | | - | - |
| 3 | | 72,028,553.56 | 17.43 |
| | | 72,028,553.56 | 17.43 |
| | | - | - |
| 4 | | - | - |
| 5 | | - | - |
| 6 | | - | - |
| | | - | - |
| 7 | | 7,600,161.42 | 1.84 |
| 8 | | 30,474,423.10 | 7.37 |
| 9 | | 413,236,509.81 | 100.00 |

## 7.2

### 7.2.1

| | | | % |
|---|---|---|---|
| A | | - | - |
| B | | 124,377,700.00 | 30.43 |
| C | | 83,418,424.76 | 20.41 |
| D | | 19,893,000.00 | 4.87 |
| E | | - | - |
| F | | - | - |
| G | | - | - |
| H | | - | - |
| I | | 34,067.08 | 0.01 |
| J | | 67,573,000.00 | 16.53 |
| K | | 7,818,000.00 | 1.91 |
| L | | - | - |
| M | | - | - |
| N | | 19,179.89 | 0.00 |
| O | | - | - |
| P | | - | - |
| Q | | - | - |
| R | | - | - |
| S | | - | - |

| | | | |
|---|---|---|---|
| | | 303,133,371.73 | 74.16 |

### 7.2.2

### 7.3

| | | | | | % |
|---|---|---|---|---|---|
| 1 | 601988 | | 5,900,000 | 23,069,000.00 | 5.64 |
| 2 | 601857 | | 2,400,000 | 17,928,000.00 | 4.39 |
| 3 | 601088 | | 550,000 | 16,912,500.00 | 4.14 |
| 4 | 600028 | | 2,600,000 | 16,536,000.00 | 4.05 |
| 5 | 601225 | | 900,000 | 16,371,000.00 | 4.01 |
| 6 | 600938 | | 900,000 | 16,308,000.00 | 3.99 |
| 7 | 600030 | | 800,000 | 15,824,000.00 | 3.87 |
| 8 | 600583 | | 2,600,000 | 15,210,000.00 | 3.72 |
| 9 | 601899 | | 1,300,000 | 14,781,000.00 | 3.62 |
| 10 | 601825 | | 2,700,000 | 14,715,000.00 | 3.60 |
| 11 | 600760 | | 324,000 | 14,580,000.00 | 3.57 |
| 12 | 600919 | | 1,900,000 | 13,965,000.00 | 3.42 |
| 13 | 002867 | | 700,000 | 12,446,000.00 | 3.04 |
| 14 | 600426 | | 400,000 | 12,252,000.00 | 3.00 |
| 15 | 002003 | | 1,300,000 | 12,168,000.00 | 2.98 |
| 16 | 000933 | | 900,000 | 11,700,000.00 | 2.86 |
| 17 | 000807 | | 900,000 | 11,457,000.00 | 2.80 |
| 18 | 600547 | | 440,000 | 10,331,200.00 | 2.53 |
| 19 | 600863 | | 2,400,000 | 9,960,000.00 | 2.44 |
| 20 | 600674 | | 660,000 | 9,933,000.00 | 2.43 |
| 21 | 000513 | | 220,000 | 8,560,200.00 | 2.09 |
| 22 | 001979 | | 600,000 | 7,818,000.00 | 1.91 |
| 23 | 688429 | | 3,452 | 98,752.08 | 0.02 |
| 24 | 688603 | | 1,391 | 76,505.00 | 0.02 |
| 25 | 301317 | | 673 | 17,612.41 | 0.00 |
| 26 | 688562 | | 726 | 16,197.06 | 0.00 |
| 27 | 688543 | | 277 | 14,694.85 | 0.00 |
| 28 | 688146 | | 362 | 13,803.06 | 0.00 |
| 29 | 301303 | | 608 | 13,570.56 | 0.00 |
| 30 | 688581 | | 100 | 11,803.00 | 0.00 |
| 31 | 301305 | | 581 | 11,439.89 | 0.00 |
| 32 | 688631 | | 308 | 9,199.96 | 0.00 |
| 33 | 688479 | | 294 | 8,670.06 | 0.00 |
| 34 | 301281 | | 338 | 8,483.80 | 0.00 |
| 35 | 301203 | | 225 | 7,740.00 | 0.00 |

## 7.4

### 7.4.1 2% 20

| | | | | (%) |
|---|---|---|---|---|
| 1 | 601988 | | 30,031,344.00 | 8.46 |
| 2 | 601225 | | 17,737,170.00 | 5.00 |
| 3 | 001979 | | 17,319,480.00 | 4.88 |
| 4 | 000066 | | 16,779,502.00 | 4.73 |
| 5 | 601788 | | 16,677,942.01 | 4.70 |
| 6 | 600030 | | 16,251,502.00 | 4.58 |
| 7 | 600919 | | 15,487,193.33 | 4.36 |
| 8 | 601857 | | 15,017,357.10 | 4.23 |
| 9 | 601179 | | 14,639,742.00 | 4.13 |
| 10 | 002003 | | 14,280,141.42 | 4.02 |
| 11 | 600028 | | 14,269,700.00 | 4.02 |
| 12 | 002493 | | 14,038,289.00 | 3.96 |
| 13 | 600760 | | 13,887,754.40 | 3.91 |
| 14 | 000333 | | 13,806,498.00 | 3.89 |
| 15 | 688012 | | 13,775,988.32 | 3.88 |
| 16 | 600011 | | 13,712,166.00 | 3.86 |
| 17 | 000933 | | 12,992,201.99 | 3.66 |
| 18 | 600489 | | 12,992,028.00 | 3.66 |
| 19 | 603986 | | 12,406,973.05 | 3.50 |
| 20 | 600642 | | 12,341,633.00 | 3.48 |
| 21 | 000932 | | 11,685,000.00 | 3.29 |
| 22 | 002867 | | 11,608,162.00 | 3.27 |
| 23 | 000513 | | 11,197,543.00 | 3.16 |
| 24 | 600970 | | 10,514,971.00 | 2.96 |
| 25 | 000923 | | 10,288,085.00 | 2.90 |
| 26 | 300015 | | 10,100,713.64 | 2.85 |
| 27 | 603993 | | 10,048,287.00 | 2.83 |
| 28 | 600674 | | 10,047,472.00 | 2.83 |
| 29 | 688521 | | 9,975,324.13 | 2.81 |
| 30 | 600863 | | 9,863,000.00 | 2.78 |
| 31 | 600583 | | 9,817,597.00 | 2.77 |
| 32 | 601688 | | 9,784,720.00 | 2.76 |
| 33 | 300750 | | 9,713,231.20 | 2.74 |
| 34 | 601601 | | 9,672,030.00 | 2.73 |
| 35 | 600547 | | 9,626,783.24 | 2.71 |
| 36 | 300760 | | 9,621,818.00 | 2.71 |
| 37 | 688111 | | 9,408,224.72 | 2.65 |
| 38 | 002311 | | 9,279,424.25 | 2.62 |
| 39 | 600325 | | 9,180,526.00 | 2.59 |

| | | | | |
|---|---|---|---|---|
| 40 | 000975 | | 9,156,285.00 | 2.58 |
| 41 | 600900 | | 9,051,857.50 | 2.55 |
| 42 | 000596 | | 8,929,186.00 | 2.52 |
| 43 | 600150 | | 8,760,655.00 | 2.47 |
| 44 | 603738 | | 8,339,153.99 | 2.35 |
| 45 | 600426 | | 8,194,409.00 | 2.31 |
| 46 | 000807 | | 7,960,126.00 | 2.24 |
| 47 | 600027 | | 7,826,666.00 | 2.21 |
| 48 | 600938 | | 7,784,174.00 | 2.19 |
| 49 | 300474 | | 7,711,006.16 | 2.17 |
| 50 | 601636 | | 7,705,837.00 | 2.17 |
| 51 | 601098 | | 7,663,804.29 | 2.16 |
| 52 | 000719 | | 7,536,890.50 | 2.12 |
| 53 | 002901 | | 7,491,312.00 | 2.11 |
| 54 | 002155 | | 7,385,310.15 | 2.08 |
| 55 | 600977 | | 7,283,532.70 | 2.05 |
| 56 | 603995 | | 7,213,993.00 | 2.03 |
| 57 | 000063 | | 7,164,128.00 | 2.02 |

“ ”

**7.4.2 2% 20**

| | | | | (%) |
|---|---|---|---|---|
| 1 | 600011 | | 22,604,166.00 | 6.37 |
| 2 | 601601 | | 20,411,865.00 | 5.75 |
| 3 | 601728 | | 18,474,179.00 | 5.21 |
| 4 | 000066 | | 18,471,713.00 | 5.21 |
| 5 | 688012 | | 17,790,293.05 | 5.01 |
| 6 | 600027 | | 15,850,425.00 | 4.47 |
| 7 | 601788 | | 15,785,965.36 | 4.45 |
| 8 | 601179 | | 14,579,190.00 | 4.11 |
| 9 | 600309 | | 14,450,600.00 | 4.07 |
| 10 | 000630 | | 14,431,372.00 | 4.07 |
| 11 | 002493 | | 14,409,773.29 | 4.06 |
| 12 | 000932 | | 13,162,818.00 | 3.71 |
| 13 | 001322 | | 13,117,617.20 | 3.70 |
| 14 | 600009 | | 13,009,919.00 | 3.67 |
| 15 | 603871 | | 12,716,989.56 | 3.58 |
| 16 | 600048 | | 12,632,755.17 | 3.56 |
| 17 | 000333 | | 12,441,096.00 | 3.51 |
| 18 | 600489 | | 12,341,060.00 | 3.48 |
| 19 | 600642 | | 11,583,528.00 | 3.26 |
| 20 | 600970 | | 11,106,005.00 | 3.13 |
| 21 | 603986 | | 10,928,317.85 | 3.08 |

| | | 2023 | |
|---|---|---|---|
| 22 | [redacted] | 10,809,601.89 | 3.05 |

“ ” “ ”

## 7.5

| | | | % |
|---|---|---|---|
| 1 | | 20,865,174.38 | 5.10 |
| 2 | | - | - |
| 3 | | - | - |
| | | - | - |
| 4 | | - | - |
| 5 | | - | - |
| 6 | | - | - |
| 7 | | 51,163,379.18 | 12.52 |
| 8 | | - | - |
| 9 | | - | - |
| 10 | | 72,028,553.56 | 17.62 |

## 7.6

| | | | | | % |
|---|---|---|---|---|---|
| 1 | 019679 | 22 14 | 200,000 | 20,359,578.08 | 4.98 |
| 2 | 113065 | | 110,000 | 10,862,918.90 | |

### 7.11.2

### 7.12

### 7.12.1

2023 2 16

2023 5

1600 1680 3280

2022 9 24

2022 150

7

35%

653

30

### 7.12.2

### 7.12.3

| | | |
|---|---|---|
| 1 | | 465,940.79 |
| 2 | | - |
| 3 | | - |
| 4 | | - |
| 5 | | 30,008,482.31 |
| 6 | | - |
| 7 | | - |
| 8 | | - |
| 9 | | 30,474,423.10 |

### 7.12.4

:

| | | | | % |
|---|---|---|---|---|
| 1 | 113065 | | 10,862,918.90 | 2.66 |
| 2 | 113021 | | 10,360,316.71 | 2.53 |
| 3 | 110061 | | 8,745,136.99 | 2.14 |
| 4 | 113648 | | 8,329,086.58 | 2.04 |
| 5 | 123107 | | 7,535,761.64 | 1.84 |

### 7.12.5

### 7.12.6

## § 8

### 8.1

| | | | | |
|---|---|---|---|---|
| | | | | |

| | | | | % | | % |
|---|---|---|---|---|---|---|
| A | 18,531 | 23,933.86 | 111,626,091.62 | 25.17 | 331,892,181.67 | 74.83 |
| C | 16 | 503,298.32 | 7,807,310.67 | 96.95 | 245,462.45 | 3.05 |
| | 18,547 | 24,347.39 | 119,433,402.29 | 26.45 | 332,137,644.12 | 73.55 |

## 8.2

| | | | % |
|---|---|---|---|
| | A | 1,414,210.14 | 0.32 |
| | C | 777.48 | 0.01 |
| | | 1,414,987.62 | 0.31 |

## 8.3

| | | |
|---|---|---|
| | A | 10~50 |
| | C | 0 |
| | | 10~50 |
| | A | 10~50 |
| | C | 0 |
| | | 10~50 |

# § 9

| | A | C |
|---|---|---|
| 2005 1 17 | 1,182,530,117.97 | - |
| | 387,555,079.25 | 2,287,849.99 |

| | | |
|---|---|---|
| | 72,234,049.81 | 6,701,781.95 |
| | 16,270,855.77 | 936,858.82 |
| | - | - |
| | 443,518,273.29 | 8,052,773.12 |

## § 10

**10.1**

**10.2**

**10.2.1**

**10.2.2**

**10.3**

**10.4**

**10.5**

**10.6**

**10.6.1**

**10.6.2**

**10.7**

**10.7.1**

| | | | | | | |
|---|---|---|---|---|---|---|
| | | | | | | |
| | | | (%) | | (%) | |
| | 2 | 740,859,258.44 | 47.99 | 689,969.98 | 54.18 | - |

| | | | | | | |
|---|---|---|---|---|---|---|
| | 1 | 403,531,439.59 | 26.14 | 254,751.92 | 20.00 | - |
| | 1 | 189,055,854.43 | 12.25 | 157,162.76 | 12.34 | - |

**10.7.2**

| | | | | | | |
|---|---|---|---|---|---|---|
| | | (%) | | (%) | | (%) |
| [redacted] | 54,383,461.37 | 15.91 | - | - | | |

| | | | |
|---|---|---|---|
| 2 | 2022 | | 2023 1 20 |
| 3 | | | 2023 3 13 |
| 4 | 2022 | | 2023 3 31 |
| 5 | 2022 | | 2023 3 31 |
| 6 | | | 2023 4 20 |
| 7 | 2023 1 | | 2023 4 21 |
| 8 | 2023 | | 2023 4 21 |
| 9 | | | 2023 5 25 |

## § 11

**11.1** **20%**

20%

**11.2**

## § 12

**12.1**

1

2

3

4

5

6

7

**12.2**

**12.3**

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**2023 8 31**