

2015

1

2006 8 28

[2006]180

2006 11 9

()

2015 5 9

2015 3 31 (

)

.....	2
.....	3
.....	6
.....	17
.....	24
.....	36
.....	37
.....	38
.....	51
.....	58
.....	64
.....	65
.....	67
.....	75
.....	76
.....	79
.....	80
.....	85
.....	88
.....	91
.....	105
.....	120
.....	123
.....	125
.....	126

	68 9	
	68 9	
	200120	
	[2003]63	
	2003 5 9	
	021-61009999	
	021-61009800	
		7350 49%
		5149.5 34.33%
		2500.5 16.67%
		15000 100%

1

			()

3

			2001
			CIM
			Investors Group Financial Services Co. Ltd
			2

4

			2007 7
		2015 3 13	26 2010 5 26

	100
1	
2	2015 6 10

	121 2015 5 9

1

2

3

4

5

6

7

,

8

9

10

15

11

12

1

2

1

2

3

4

5

6

7

3

4

1

2

3

4

5

6

7

8

9

10

11

12

13

14

5

1

2

3

4

1

1

2

3

4

2

1

2

3

4

5

6

7

8

9

10

11

3

5

1

2

3

4

5

6

7

8

6

1

2

3

4

5

6

7

7

1

2

1

2

2

1996 2 7

2004 101

28,365,585,227

010-58560666

1996 1 12

2000 12 19

A 600016

2003 3 18

40

2004 11 8

58

2005 10 26

2007 11 2007
21

2007 12
50

2008 7 2008
2008 6

2009 6 2009
2009

2009 9
2009

2009 11 21 21
2009

2009 12 9 , 2009
2009 2009

2009 2009

2010 2 3 2009

2009

2010 10 2009

2011	12			CFCA	40		
2011				2011			
	2009	2010					
2012	6	20		,			
2011-2012				2012			
		2010					
2012	11	29		The Asset	2012	AAA	
				-			
2013	,						
					21	2013	
PE/VC							
2013							
	21				2013		
2013						2013	
					2013		
2013						2013	
2013							
2014							
2014							

2014

2014

21

2

3

2004 7 9

36

100%

80%

60

100%

2015 3 31

36

100

60

60

100

18

18

906.15

1

2

3

(1)

(2)

(3)

(4)

(5)

4

(1)

(2)

(3)

(4)

(5)

(6)

5

(1)

(2)

(3)

(4)

(5)

(6)

1	
68	
68	
021-61009916	021-61009917
400-700-5566	www.cxfund.com.cn
2	
1	
69	
69	
010-85109219	
95599	www.abchina.com
2	
1	
1	
010-66593657	
95566	www.boc.cn
3	
25	
1 1	
010-67596084	
:95533	www.ccb.com
4	
188	
188	
021-58781234	021-58408483
95559	www.bankcomm.com
5	

7088	
7088	
0755-83198888	0755-83195109
95555	www.cmbchina.com
6	
500	
12	
021-61618888	021-63604199
95528	www.spdb.com.cn
7	
154	
154	
0591-87839338	0591-87841932
95561	www.cib.com.cn
8	
2	
2	
010-58560666	010-57092611
9	
8	C
8	C
010-65558888	
95558	bank.ecitic.com
10	
153	
153	

22	
010-85238667	010-85238680
010-95577	www.hxb.com.cn
12	
933	
933	
027-82656224	027-82656236
027 96558, 4006096558	www.hkbchina.com
13	
46	
46	
0571-85108195	0571-85106576
96523 400-8888-508	www.hzbank.com.cn
14	
1099	
1099	
0755-22166118	0755-25841098
95511-3	bank.pingan.com
15	
101	
101	
0592-5365735	0592-5061952
400-858-8888	www.xmbankonline.com
16	
4018	35 28 A02
4018	35 28 A02
0755-82558305	0755-28558355
4008-001-001	www.essence.com.cn
17	
6008	14 16 17
6008	14 16 17

0755-83516289		0755-83515567	
400-6666-888		www.cgws.com	
18			
	8		
	8		
027-65799999		027-85481900	
95579 4008-888-999		www.95579.com	
19			
	24		
	129	39	
0411-39673202		0411-39673219	
4008-169-169		www.daton.com.cn	
20			
	510	9	
	500	26	
021-68761616		021-68767032	
400-8888-128		www.tebon.com.cn	
21			
	318	2	22-29
	318	2	21-29
021-63325888		021-63326173	
95503		www.dfzq.com.cn	
22			
	1		
	1	30	
0769-22112062		0769-22119423	
0769-961130		www.dgzq.com.cn	
23			
	5		
	5		

0512-62938521	0512-65588021
400 860 1555	www.dwzq.com.cn
24	
1508	
1508	
021-22169999	021-22169134
4008888788 10108998 95525	www.ebscn.com
(25)	
5	19 20
5	19 20
020-88836999	020-88836654
020-961303	www.gzs.com.cn
26	
157	7 8
0591-87383623	0591-87383610
96326	0591 www.hfzq.com.cn

www.gjzq.com.cn
702
0510-82830162
www.glsc.com.cn
0791-6281061
www.gsstock.com
29

36	
100 57	
100 57	
021-68777222	021-68777822

400-820-9898

www.cnhbstock.com

42	
86	
86	
0531-68889155	0531-68889752
95538	www.qlzq.com.cn
43	
336	
336	
021-53519888	021-53519888
4008918918 021-962518	www.962518.com
44	
989 45	
989 40	
021-33389888	021-33388224
95523 4008895523	www.swhysc.com
45	
:	2
	2
027-87618882	027-87618863
028-86711410	www.tfzq.com
46	
19	B 701
28	C 5
010-66045529	010-66045518
010-66045678	www.txsec.com
47	
101	
118	24
021-36533017	021-36533017
400-8811-177	www.xzsec.com

48	
198 11	
198 11	
021-68634518	021-68865680
400-888-1551	www.xcsc.com
49	
18	
1 11	
010-83991743	010-66412537
010-83991888	www.rxzq.com.cn
50	
268	
1199 . 1 21	
021-38565785	
400-8888-123	www.xyzq.com.cn
51	
38-45	
38-45	
0755-82960223	0755-82943121
95565 400-8888-111	www.newone.com.cn
52	
5 A 6-9	
5 A 6-9	
010-59355543	010-66553791
40088-95618	www.e5618.com
53	
35 C	
35 C	
010-66568888	010-66568990
4008-888-888	www.chinastock.com.cn
54	

66 4	
:	
188	
010-85130588	010-65182261
400-8888-108	www.csc108.com
55	
588 19 20	
588 19 20	
0571-86078823	0571-85783771
057196598	www.bigsun.com.cn
56	
8	
48	
010-60838888	010-60833739
95558	www.citics.com
57	
222 1 20 266061)	
222 1 20 266061	
0532-85022326	0532-85022605
95548	www.citicssd.com
58	
6009 29	
6009 29	
0755-83734659	0755-82960582
4001022011	www.zszq.com.cn
59	
9 1	
9 1	
010-63081000	010-63080978
4008008899	www.cindasc.com
60	
8	

8	
023-63786633	023-63786212
4008096096	www.swsc.com.cn
61	
2	
3588 12	
0571-28829790 021-60897869	0571-26698533
4000-766-123	www.fund123.cn
62	
526 2 220	
555 B 16	
021-20691832	021-20691861
400-820-2899	www.erichfund.com
63	
7650 205	
68 8 801	
021-38602377	021-38509777
400-821-5399	www.noah-fund.com
64	
685 37 4 449	
1118 903-906	
021-58870011	021-68596916
4007009665	www.ehowbuy.com
65	
22 10	
22 10	
021-68419822	021-20835779
400-920-0022	www.licaike.com
66	
190 2 2	
195 3C 7	

021-54509998	021-64385308
400-1818-188	fund.eastmoney.com
3	
http://www.sse.com.cn	

	17	256 1405	1 2 8

			2006	8	28		2006	180
		2006	9	18	2006	11	3	
						1.00		
580,617,102.63						6,507		

1

2

3

4

5

www.cxfund.com.cn

1

3

2

T

T+1

T 2

3

T 7

1 2014 5 15

1

1

100

100

99,999,900

2 2014 5 15

1

1

1

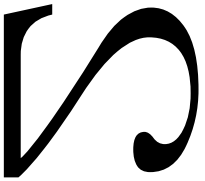
1

99,999,999

3

3

4



5

2

2

6

N		
N 1		1.8%
1 N 3		1.2%
3 N 5		0.6%
N 5		0

2

N		
N 1		0.5%
1 N 2		0.3%
N 2		0

3

3

4 2007 9 1

3

5

25%

1

1

/ 1

/

1

1

2.0000

1.5%

10,000	1.5%	9,852.22	147.78	2.0000	4,926.11

4,926.11

4,926

0.22

2

= /T

2

1

1.1

10,000	1.100	9,090.91

9090.91 = 10000/1.1

9090

1.00

3

2

2

2

1

= T
=
=

2

= T
=
=
=

3

0.5%

1.1

1

10,000	0.5%	11,000	55	1.100	10,945

4

1.1

1

0.5%

1.8%

1.2

10,000	1.200	12,000	1.100	1.8%	198	0.5%	60	11,742

3

2

2

3

/

T

T 1

T+1

T+2

T+1

3

1

2

3

4

5

1 4

1

2

3

4

3

20

1

10%

2

1

2

10%

3

2

2

20

2

519993
A B A 519999 B 519998
519995 519997

519992
A B A 519999 B 519998

1 [2009]32
2010 4 23

1

2
1

(-)

2

)

(1.00)

2 2007 9 1

3

()

A

1

A

3

80%

20%

0% 35% 5% 40% 60% 95%

:

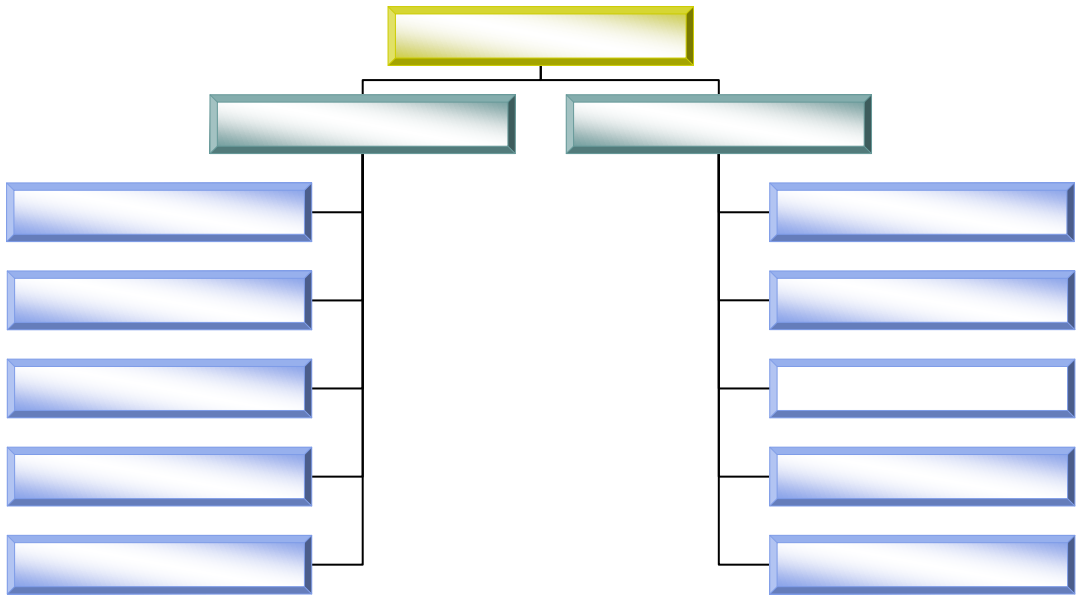
		(%)	(%)
	A	60	95
	()	0	35
	1	5	40

A

B

C

1



2

(I)

(II)

3

A

1

2

3

4

300

300

70%

30%

1

2

3

4

1

2

3

4

5

6

7

2

3

4

5

6

7

8

1

2

3

4

2015 6

2015 3 31

		()	%
1		2,248,158,656.48	91.19
		2,248,158,656.48	91.19
2			
3		90,020,000.00	3.65
		90,020,000.00	3.65
4			
5			
6			
7		109,686,189.91	4.45
8		17,362,662.13	0.70
9		2,465,227,508.52	100.00

			%
A			
B			

C		1, 533, 699, 014. 75	63. 11
D			
E			
F		278, 583, 709. 22	11. 46
G			
H			
I		174, 102, 145. 30	7. 16
J		97, 014, 336. 00	3. 99
K		71, 410, 126. 02	2. 94
L			
M			
N		14, 957, 683. 35	0. 62
O			
P			
Q			
R		19, 462, 416. 00	0. 80
S		58, 929, 225. 84	2. 42
		2, 248, 158, 656. 48	92. 50

					%
1	002640		2, 942, 414	179, 193, 012. 60	7. 37
2	300274		4, 737, 222	153, 485, 992. 80	6. 32
3	300115		4, 749, 578	140, 017, 559. 44	5. 76
4	002450		3, 535, 028	134, 861, 318. 20	5. 55
5	603456		1, 809, 794	86, 146, 194. 40	3. 54
6	002665		1, 518, 553	84, 325, 248. 09	3. 47
7	300224		2, 095, 030	67, 983, 723. 50	2. 80
8	000407		7, 741, 853	66, 425, 098. 74	2. 73
9	300228		945, 063	63, 791, 752. 50	2. 62

10	002227		1,849,929	62,879,086.71	2.59
----	--------	--	-----------	---------------	------

			%
1			
2			
3		90,020,000.00	3.70
		90,020,000.00	3.70
4			
5			
6			
7			
8			
9		90,020,000.00	3.70

1 002450 2014
 3 18
 2014 7 2012

2

3

1		1,758,167.44
2		6,577,049.44
3		
4		2,881,748.94

5		6,145,696.31
6		
7		
8		
9		17,362,662.13

4

5

				%	
1	300274		153,485,992.80	6.32	

6

2015 1

2007	152.23%	1.92%	98.52%	1.61%	53.71%	0.31%
2008	-52.72%	2.36%	-50.46%	2.13%	-2.26%	0.23%
2009	42.98%	1.59%	62.82%	1.43%	-19.84%	0.16%
2010	-6.84%	1.26%	-7.46%	1.11%	0.62%	0.15%
2011	-28.73%	1.29%	-16.91%	0.91%	-11.82%	0.38%
2012	2.18%	1.24%	6.72%	0.90%	-4.54%	0.34%
2013	18.26%	1.42%	-4.17%	0.98%	22.43%	0.44%
2014	19.00%	1.32%	36.11%	0.85%	-17.11%	0.47%
2015 1 1 2015 3 31	42.27%	1.44%	10.78%	1.28%	31.49%	0.16%
		2006 11 9		2015 3 31		

1

2

3

4

5

6

7

8

9

1

2

3

1

2

3

4

5

6

7

1

1

0.25%

2

3

1

2

1

2

4

2

1

2

3

4

5

6

7

1 6

1 6

8

3

1

2

3

4

1 3

1 3

5

1

2

1

2

3

4

5

6

7

3

1

2

3

4

4

1

4

4

0.25%

0.5%

2

50%

50%

3

4

1

2

3

1

1 4

2 8

3 5

2

1

2

3

4

5

6

3

7

80%

12

12

8

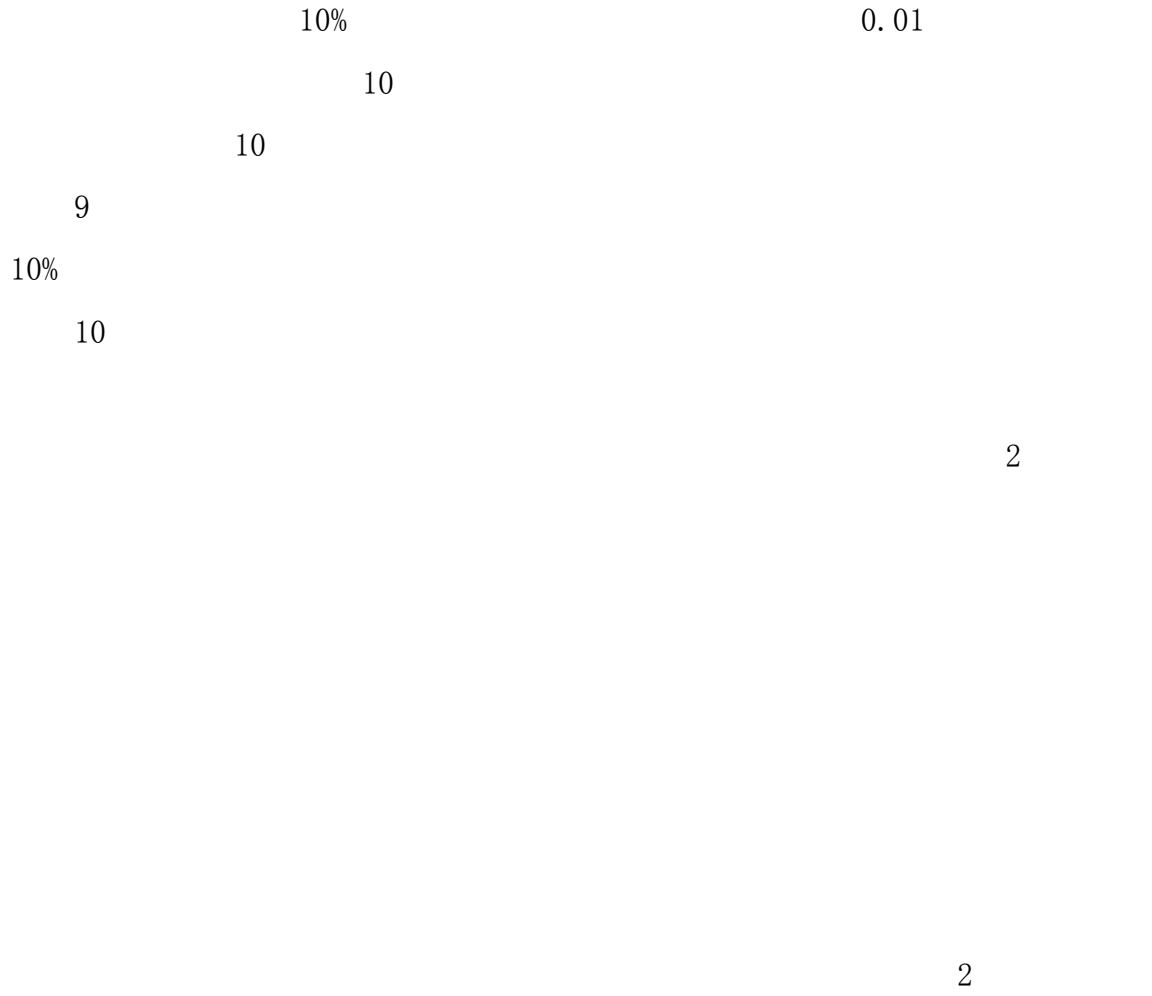
1

10%

0.01

10

2



1

1

2

3

4

5

6

7

8

2

1

1.5%

H E 1.5%

H

E

2

2

2.5

H=E 2.5

H

E

2

3

1

3

8

3

4

2

1

2

3

4

1

2

1 1 12 31

3

3

4

5

6

7

1

2

3

2

1

2

3

4

5

6

1

3

1

6

45

15

2

3

2

3

4

5

6

90

60

15

2

2

7

2

1

2

3

4

5

6

7

8

9

50%

10

30%

11

12

13

14

15

16

17

0.5%

18

19

20

21

22

23

24

25

26

8

9

30

10

10



3

4

5

6

7

IT

8

9

10

11

1

1 ;

2

3

4

5

6

7

8

9

10

1

2

3

2

3

1

1

2

6

3	
4	
2	
1	
1	30
2	
3	
2	
1	
2	
3	
4	
5	
6	
7	
3	
4	
1	
2	

3

4

1

2

3

5

6

15

1

1 1

1

2

3

4

5

6

7

8

9

10

11

12

14

15

16

1 2

1

2 ,

3

4

5

,

6 ,

7

8

9

10

11

12

13

14

15

16

2 1

1

2

3

4

5

6

7

8

9

2 2

1

2

3

4

5

6

7

8

9

10

11

12

13

14

15

16

17

18

19

20

21

22

23

3

3 1

1

2

3

4

5

6

7

8

9

3 2

1

2

3

4

5

6

7

1

1 1

1

2

3

4

5

6

4 10% 10%

10

60

10% 10%

10

60

5 4

10%

4

4 1

1

2

50% 50%

4 2

1

2

2

3

50% 50%

4

3

5

5

5 1

10%

10%

1

2

10% 10%

6

30

30

5 2

1

50%

50%

2

30

6

1

50%

50%

2

2

7

7 1

1

2

3

4

7 2

8

5

3

1

1 1

1

2

3

4

5

3

1 2

3

2

1

2

6

3

4

1

1600

16

130 3 4

200002

2003 4 28

[2003]63

1

2

4

2

1996 2 7

[2004]101

2, 586, 721, 300

1

1

()

()

2

A

10%

B

10%

C

40%

D

E

5%

F

0.5%

3%

10%

G

10%

10%

10%

20%

H

I

60% 95%

0% 35%

5% 40%

5%

(1)–(3)

(5)–(8)

10

3

4

3

5

6

7

2

3

1

1

2

3

4

5

6

7

8

2

1

2

2

2

3

3

1

2

3

4

4

1

2

3

5

1

2

6

1

， ，

2

7

8

1

2

3

1

2

3

1

2

A

B

C

D

E

F

G

3

A

B

C

D



4

C

D

4

5

1

2

A

B

1

2

1

2

3

4

			T 2
			15
			/ /
			T+2 /
			1
			2

			www.cxfund.com.cn
			7 24 5 ,
			400-700-5566 www.cxfund.com.cn (service@cxfund.com.cn) (021-61009865)

			400-700-5566 www.cxfund.com.cn
			4007005566 8 30-17 00
			021-61009865
			http://www.cxfund.com.cn
			service@cxfund.com.cn

1			2014-11-13
2			2014-11-26
3			2014-12-5
4			2014-12-5
5	2014 2		2014-12-23
6			2014-12-30
7	12 31	2014	2015-1-1
8			2015-1-15
9	4	2014	2015-1-21

14		2014	2015-3-28
15	1	2015	2015-4-20
16			2015-4-23
17			2015-4-29
2015 5 9			

2015 6 19